

Expansions with $P = NP$

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Greifswald

Expansions with $P = NP$

1. The uniform model of computation
2. The complexity classes P_Σ , NP_Σ , DEC_Σ
3. Why is it difficult to find an R with $P_{\Sigma_R} = NP_{\Sigma_R}$?
4. How to construct a relation R such that $SAT_{\Sigma_R} \in P_{\Sigma_R}$?

1. The uniform model of computation over structures Σ

A structure:

$$\Sigma = (U; \underbrace{c_1, \dots, c_u}_{\text{constants}}; \underbrace{f_1, \dots, f_v}_{\text{operations}}; \underbrace{R_1, \dots, R_w, [=]}_{\text{relations}})$$

finite signature

finite universe
or
infinite universe

Examples:

$$\Sigma_{\text{bin}} = (\{0, 1\}; 0, 1; ; =) \quad (\Rightarrow \text{Turing machines}),$$

$$\Sigma_R = (\{a, b\}^*; \varepsilon; \text{add}_a, \text{add}_b, \text{sub}_a, \text{sub}_b; R, =)$$

the empty string

The Σ -machines

M

The uniform model of computation

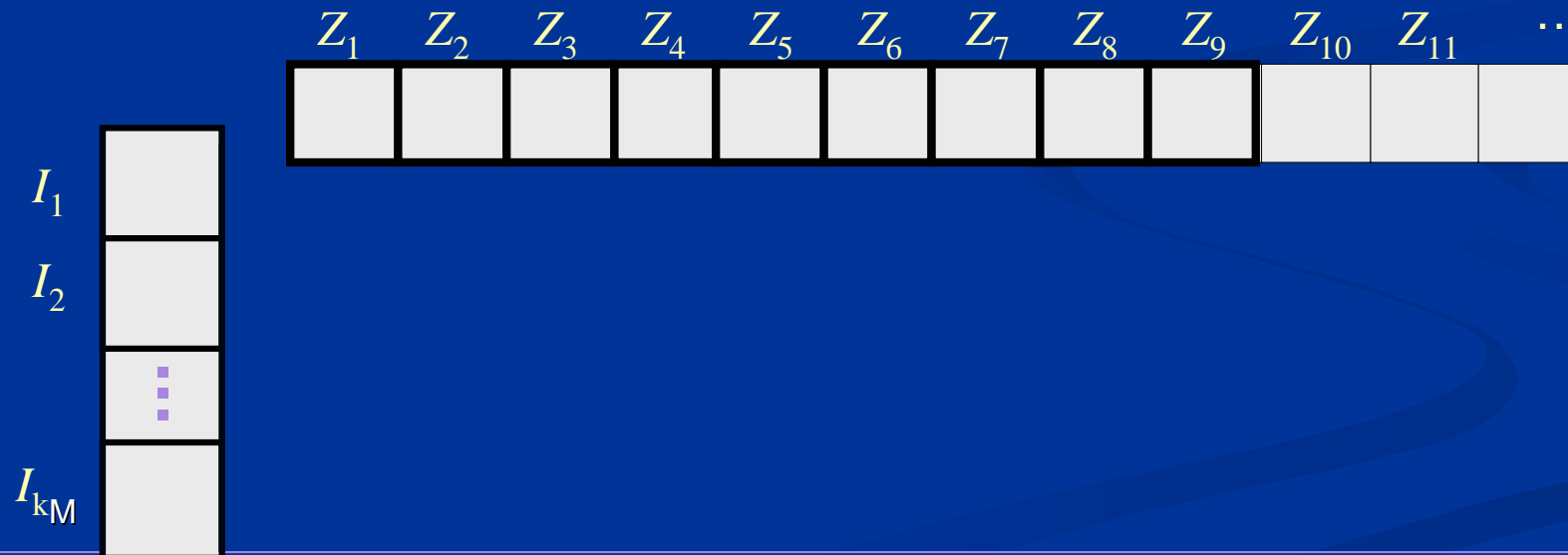
The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

Registers for elements of U : Z_1, Z_2, Z_3, \dots ($U \triangleq$ the universe)

Registers for indices: I_1, I_2, \dots, I_{k_M}



The Σ -machines (input)

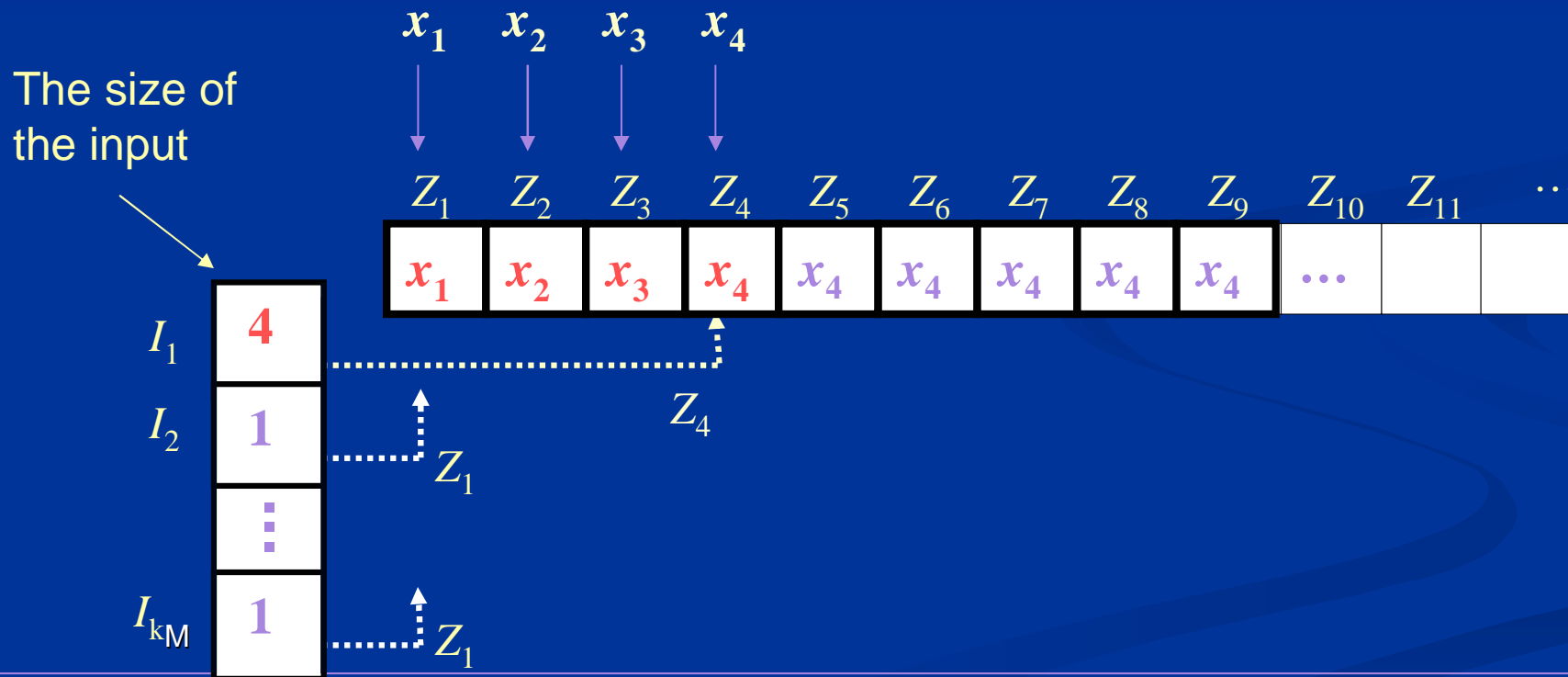
The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

The input: $(Z_1, \dots, Z_n) := (x_1, \dots, x_n); I_1 := n; I_2 := 1; \dots I_{k_M} := 1;$



The Σ -machines (output)

The uniform model of computation

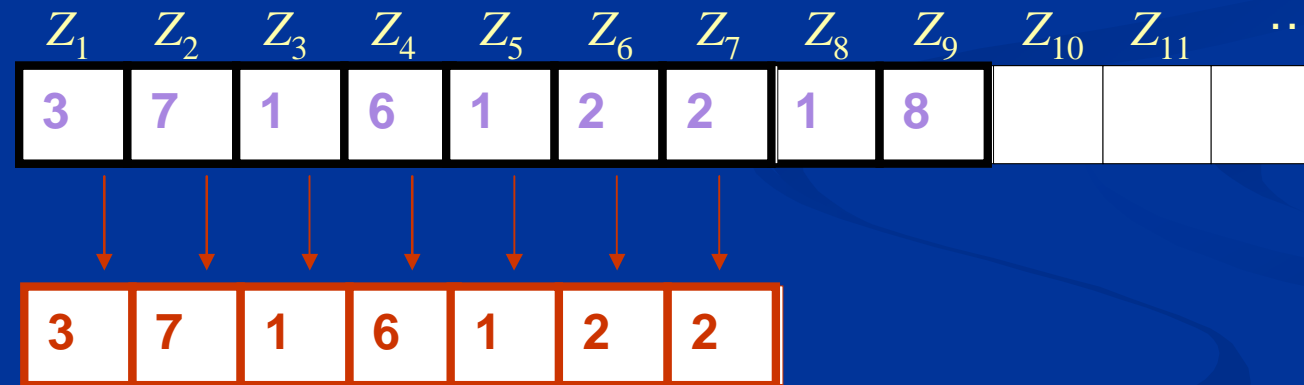
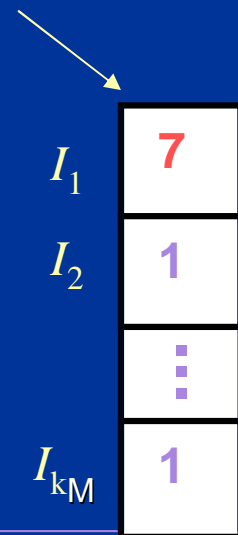
The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

The output: (Z_1, \dots, Z_{I_1})

The size of
the output



The Σ -machines (instructions)

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

Structure: $\Sigma = (U ; c_1, \dots, c_u ; f_1, \dots, f_v ; R_1, \dots, R_w, =)$

Computation: $l: Z_k := f_j(Z_{k_1}, \dots, Z_{k_{f_j}});$
 $l: Z_k := c_j;$

Branching: $l: \text{if } R_j(Z_{k_1}, \dots, Z_{k_{R_j}}) \text{ then goto } l_1 \text{ else goto } l_2;$
 $l: \text{if } Z_k = Z_j \text{ then goto } l_1 \text{ else goto } l_2;$

Copy: $l: Z_{I_k} := Z_{I_j};$

Index computation: $I_k := 1; I_k := I_k + 1; \text{ if } I_k = I_j \text{ then goto } l_1 \text{ else goto } l_2;$

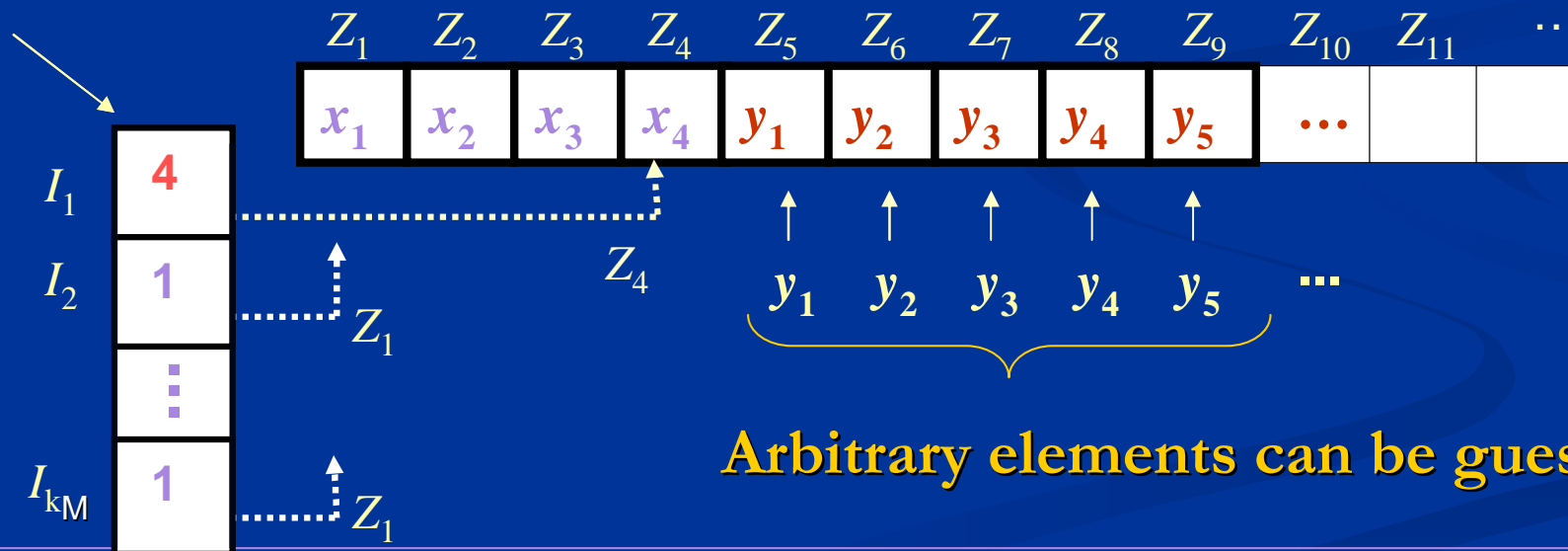
The non-deterministic machines

The uniform model of computation
The complexity classes P, NP, DEC for Σ
Why is it difficult to find an R with $P = NP$ for Σ_R ?
How to construct R such that $SAT \in P$ for Σ_R ?

The guessing: $(Z_{n+1}, \dots, Z_{n+m}) := (y_1, \dots, y_m) \in U^m$

non-deterministic!

The size of the input



Arbitrary elements can be guessed!

Representations of a Σ -machine

The uniform model of computation

The complexity classes P, NP, DEC for Σ

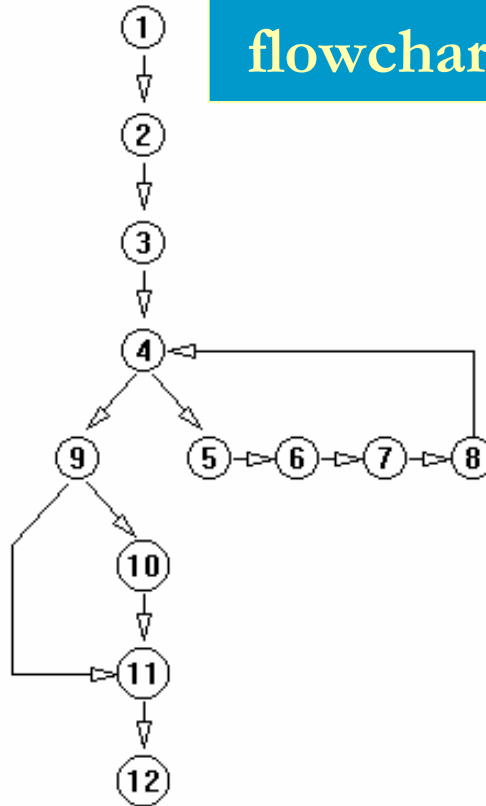
Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

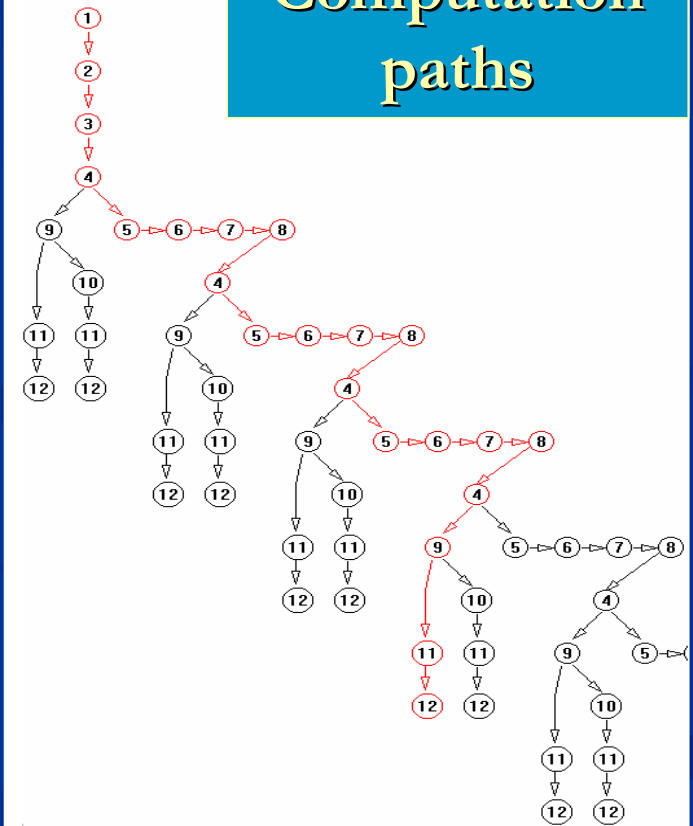
A program

- 1: Input: (x_1, \dots, x_n) .
Guess: $(y_1, \dots, y_n) \in \{0, 1\}$.
- 2: $I_2 := I_1 + 1$;
- 3: $Z_1 := 1 + Z_1 * Z_{I_2}$;
- 4: if $I_1 = I_3$ then goto 9
else goto 5;
- 5: $I_2 := I_2 + 1$;
- 6: $I_3 := I_3 + 1$;
- 7: $Z_1 := Z_1 + Z_{I_3} * Z_{I_2}$;
- 8: goto 4;
- 9: if $Z_1 = 1$ then goto 11
else goto 10;
- 10: $Z_1 := 0$;
- 11: $I_1 := 1$;
- 12: Output: Z_1 .

A flowchart




Computation paths



2. The complexity classes DEC_{Σ} , P_{Σ} , NP_{Σ}

Decidable, (non-)deterministically recognizable in polynomial time

Every element can be stored in one register !

One operation can be executed **in one time unit** (one step) ! 

⇒ Computation in **polynomial time** means

output after $p(n)$ steps for any (x_1, \dots, x_n) 
and some polynomial p .  

The usual problems (for structures with two constants):

SAT_{Σ} The Satisfiability Problem

(Compare: the Blum-Shub-Smale model introduced in 1989,
the algebraic programming systems considered by Asveld and Tucker in 1982.)

UNI_{Σ} The NP-complete problem recognized by a usual universal machine

H_{Σ} The Halting Problem

A question

The uniform model of computation

The complexity classes P , NP , DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

POIZAT (1995):

Is there a structure of finite signature with $P = NP$?

3. Why is it difficult to find an R with

$$P_{\Sigma_R} = NP_{\Sigma_R}?$$

- The idea: Some R with $SAT_{\Sigma_R} \in P_{\Sigma_R}$
- How can a tuple be encoded by one element?
- The undecidability of SAT_{Σ_R} for some R

The idea:
A new relation R with
 $SAT_{\Sigma_R} \in P_{\Sigma_R}$

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

tuple of elements

$(x_1, \dots, x_n, code(\psi)) \in SAT_{\Sigma_R} ?$

reduction

$\Sigma_R \models R(CODE(x_1, \dots, x_n, \psi)) ?$

one element



The first problem:

How can a tuple be encoded by one element?

How can a tuple be encoded by one element?

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

Solution: We extend $\Sigma = (U; c_1, \dots, c_u; f_1, \dots, f_v; R_1, \dots, R_w, =)$.

→ $\Sigma_R = (A; \varepsilon, a, b, c_1, \dots, c_u; \underbrace{?, ?, ?}_{\text{operations for concatenation, ...}}, f_1', \dots, f_v'; R_1', \dots, R_w', R, =)$

→ $A = U^*$

$f_j'(d_{k_1}, \dots, d_{k_{f_j}}) = f_j(d_{k_1}, \dots, d_{k_{f_j}}); f_j'(s_{k_1}, \dots, s_{k_{f_j}}) = \varepsilon$

$d_{k_1}, \dots, d_{k_{f_j}} \in U, (s_{k_1}, \dots, s_{k_{f_j}}) \notin U^{k_{f_j}}$

$(x_1, \dots, x_n, \text{code}(\psi)) \in SAT_{\Sigma_R}?$

tuple of strings

reduction

concat

$\Sigma_R \models R(\text{string}(x_1, \dots, x_n, \psi))?$

one string

Why is it difficult to find an
 R with
 $\text{SAT}_{\Sigma_R} \in \text{DEC}_{\Sigma_R}$?

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

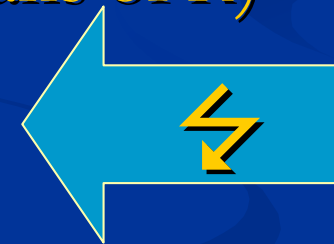
How to construct R such that $\text{SAT} \in P$ for Σ_R ?

For many relations R :

The assumption

of the decidability of SAT_{Σ_R} (by means of R)

implies the decidability of H_{Σ_R} .



⇒ $\text{SAT}_{\Sigma_R} \notin \text{DEC}_{\Sigma_R}$.

Some R_h with $SAT_{halt} \notin DEC_{\Sigma_{R_h}}$

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

$\Sigma_R = (\{a, b\}^*; \epsilon; \text{operations for concat. ; } R, =)$. TM set of all deterministic Turing machines.

$$R_h(s) = \begin{cases} true & \text{if } s = r \text{Code}_{TM}(M), M \in TM, M \text{ halts on } \text{Code}_{TM}(M) \text{ after } |r| \text{ steps} \\ false & \text{otherwise} \end{cases}$$

$$SAT_{halt} =_{df} \{ \underbrace{\text{code}(R_h(Y_1 d_1 \cdots d_{k-1}))}_{\text{Code}_{TM}(M)} \mid M \in TM \ \& \ \Sigma_{R_h} \models \exists Y_1 R_h(Y_1 d_1 \cdots d_{k-1}) \}$$

$SAT_{halt} \in DEC_{\Sigma_{R_h}}$

Simulation
by a TM

$H_{TM} \in DEC_{TM}$

$H_{TM} \notin DEC_{TM} \Rightarrow SAT_{halt} \notin DEC_{\Sigma_{R_h}} \Rightarrow SAT_{\Sigma_{R_h}} \notin DEC_{\Sigma_{R_h}}$

4. How to construct a relation R such that $\text{SAT}_{\Sigma_R} \in \text{P}_{\Sigma_R}$?

The idea:

$$\Sigma_R \models R(\text{string}(x, \psi)) \Leftrightarrow (x, \text{code}(\psi)) \in \text{SAT}_{\Sigma_R}$$



R is definable $\Leftrightarrow \text{SAT}_{\Sigma_R}$ is decidable!

- Can we find an R such that SAT_{Σ_R} is decidable?
- Can we give a definition of R such that $\text{SAT}_{\Sigma_R} \in \text{P}_{\Sigma_R}$?

Is R definable such that
 $R(\text{string}(x, \psi)) \leftrightarrow \exists Y \psi(x, Y)$?

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?


How to construct R such that $SAT \in P$ for Σ_R ?

■ Problems:

- ψ can contain R .
- The guesses for Y_1, \dots, Y_m can be the codes of formulae.
- Can all possible guesses for any formula be finitely described by one quantifier-free (\neg, \vee, \wedge) -formula over Σ_R ?

■ **Solution:** Definition of R such that

R and SAT_{Σ_R} can be **described by a tree**
which is similar to a tree of computation paths.



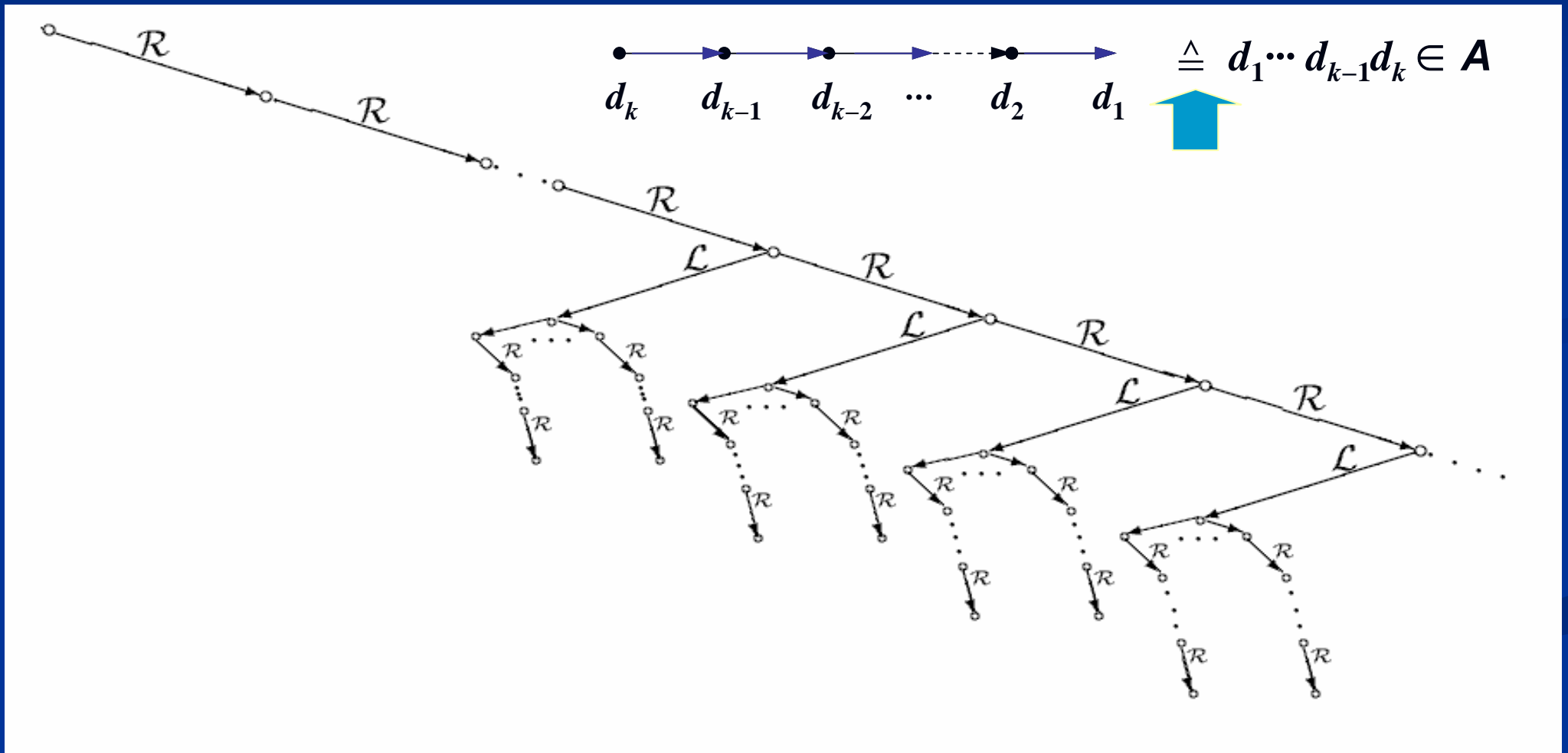
The description of SAT_{Σ_R} by a tree

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $\text{SAT} \in P$ for Σ_R ?



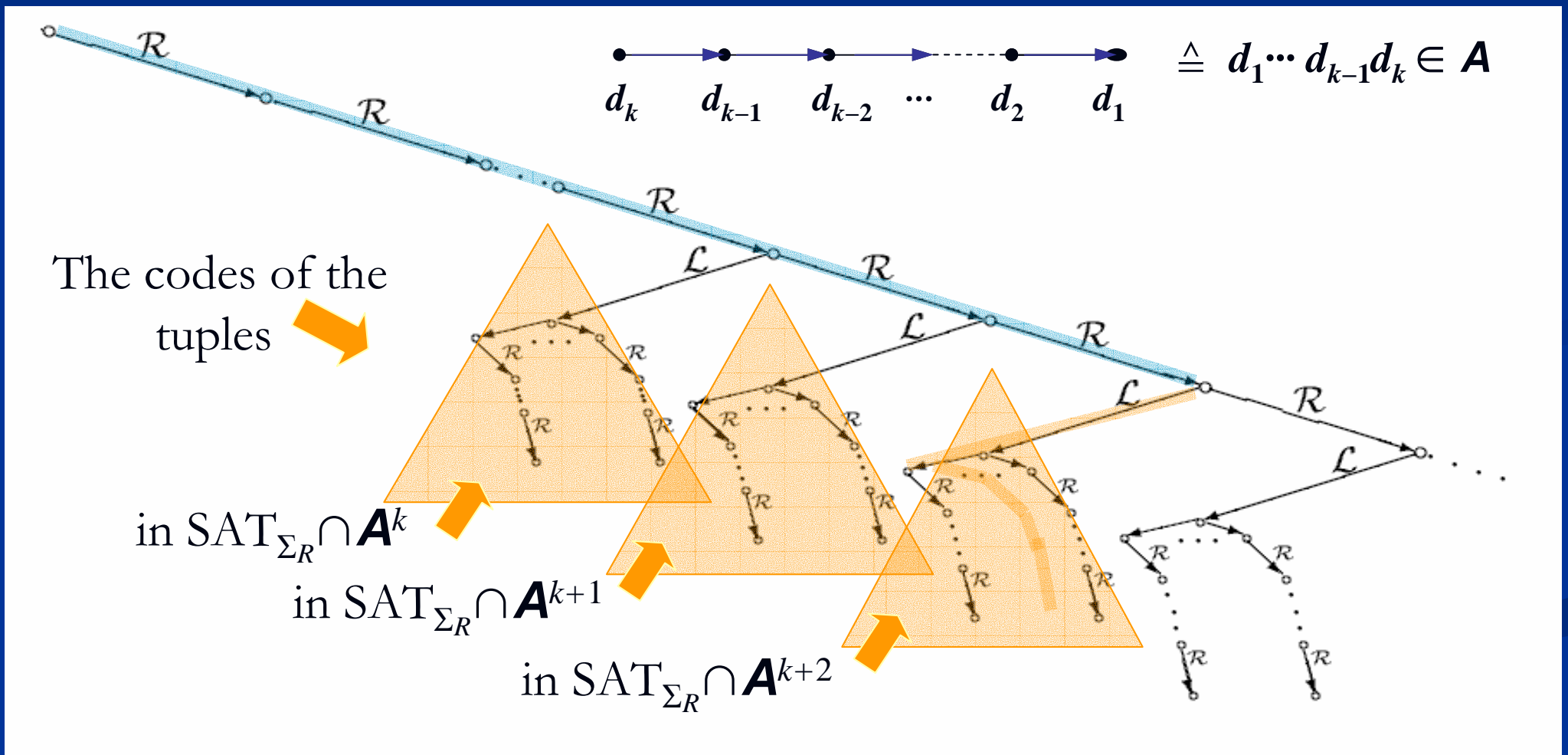
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The complexity classes P, NP, DEC for Σ

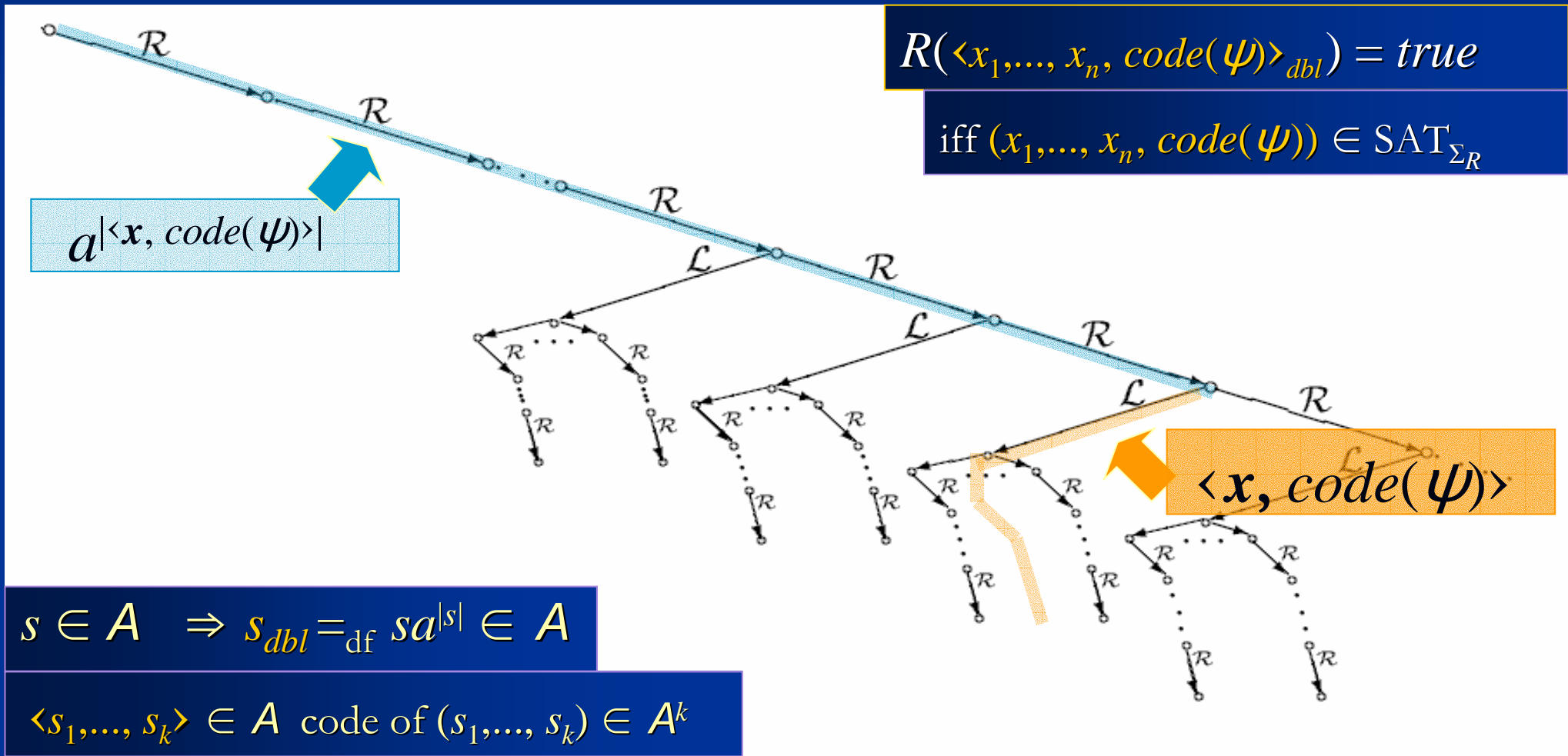
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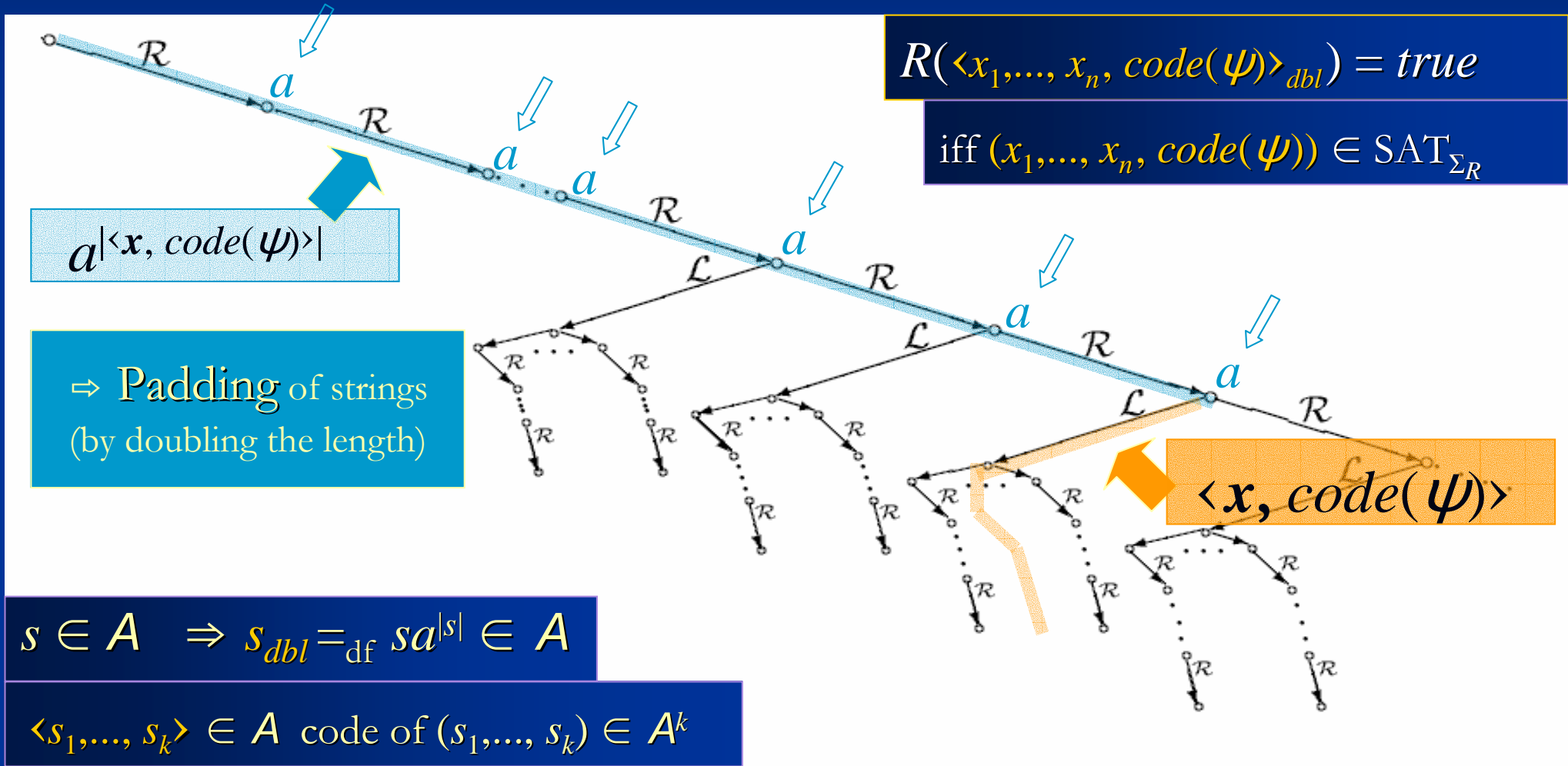
Some R with $\text{SAT}_{\Sigma_R} \in \text{DEC}_{\Sigma_R}$

The uniform model of computation
 The complexity classes P, NP, DEC for Σ
 Why is it difficult to find an R with $P = NP$ for Σ_R ?
 How to construct R such that $\text{SAT} \in P$ for Σ_R ?



Some R with $\text{SAT}_{\Sigma_R} \in \text{DEC}_{\Sigma_R}$

The uniform model of computation
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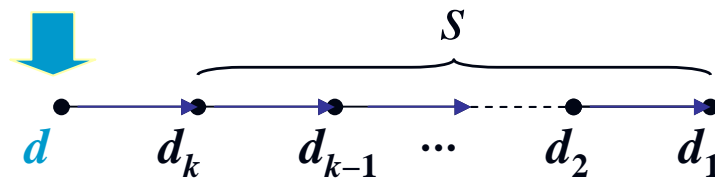
The new operations for slow (!!!) computation

The uniform model of computation
 The complexity classes P, NP, DEC for Σ
 Why is it difficult to find an R with $P = NP$ for Σ_R ?
 How to construct R such that $SAT \in P$ for Σ_R ?

$$\Sigma = (U; c_1, \dots, c_u; f_1, \dots, f_v; R_1, \dots, R_w, =),$$

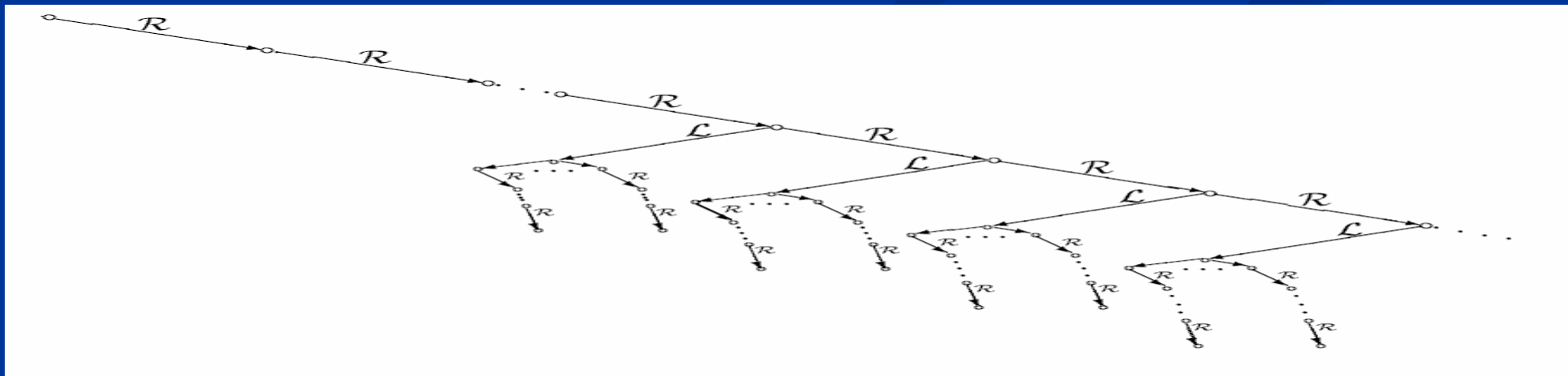
$$\rightarrow \Sigma_R = (A; \varepsilon, a, b, c_1, \dots, c_u; add, sub_l, sub_r, f'_1, \dots, f'_v; R'_1, \dots, R'_w, R, =)$$

$$\rightarrow add(s, d) = sd \quad sub_l(sd) = s \quad sub_r(sd) = d \quad s \in A, d \in U$$



$$s = d_1 \cdots d_{k-1} d_k \quad \downarrow$$

$$add(s, d) = d_1 \cdots d_k d$$



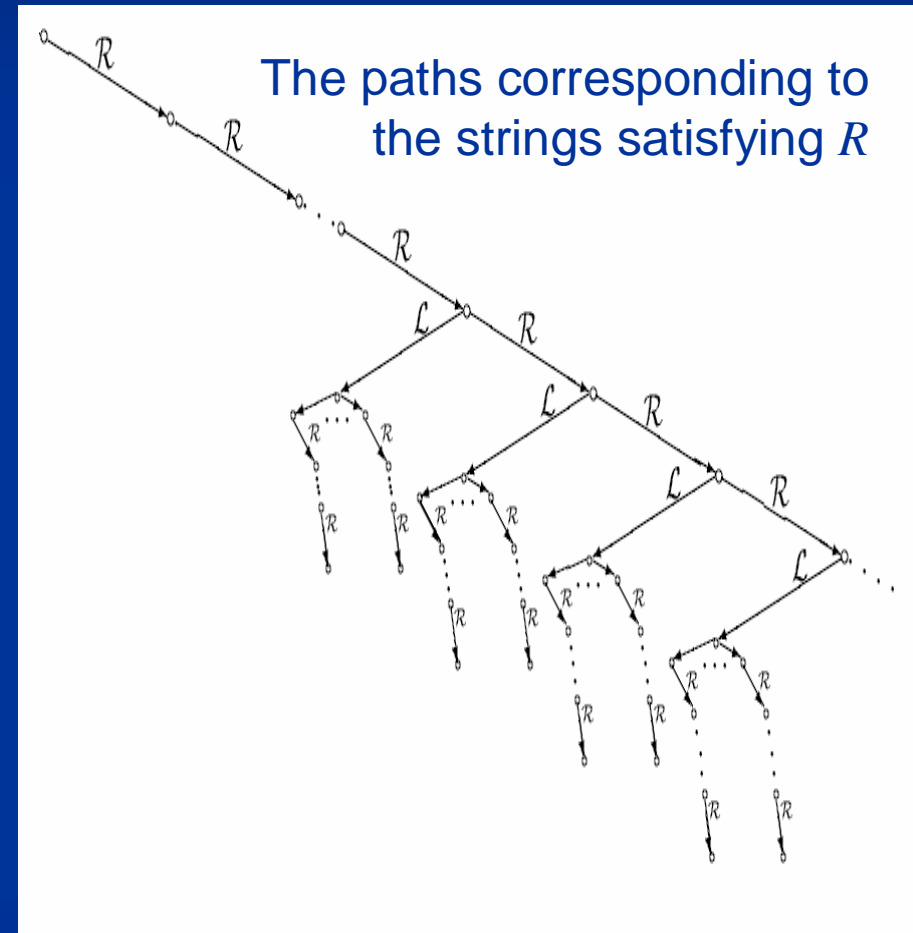
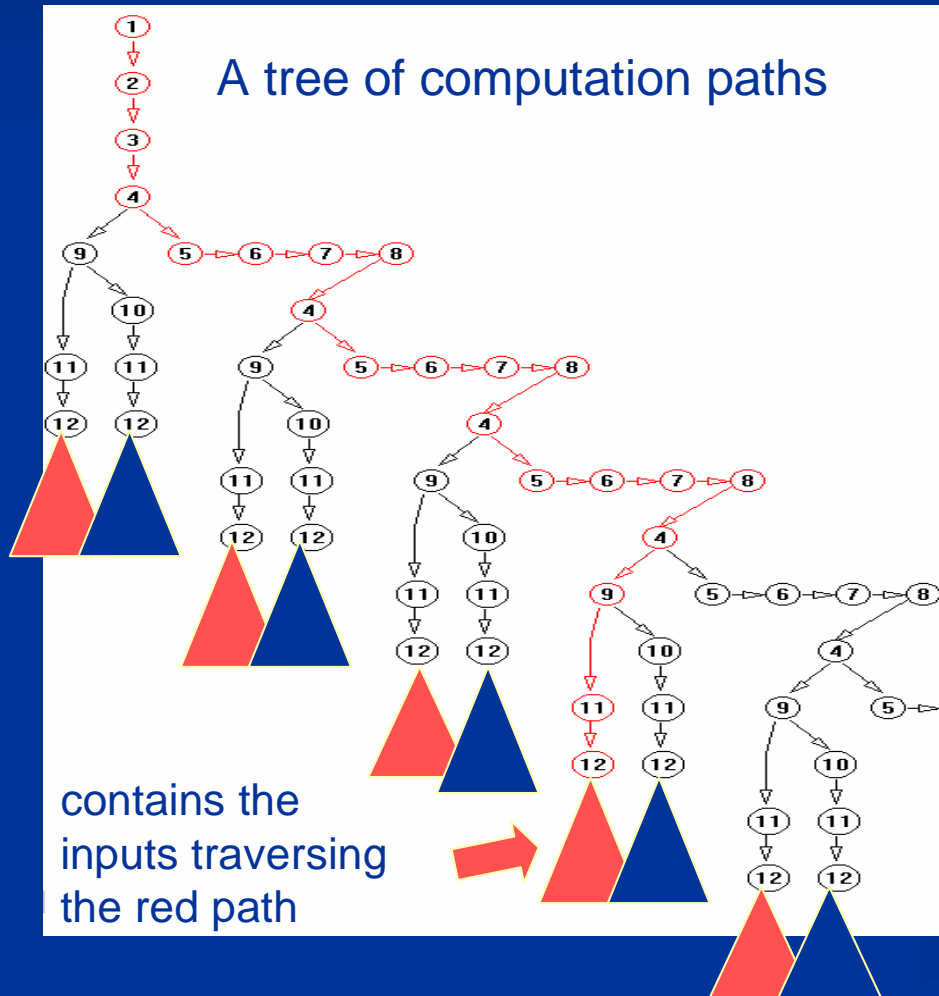
Similar trees

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?



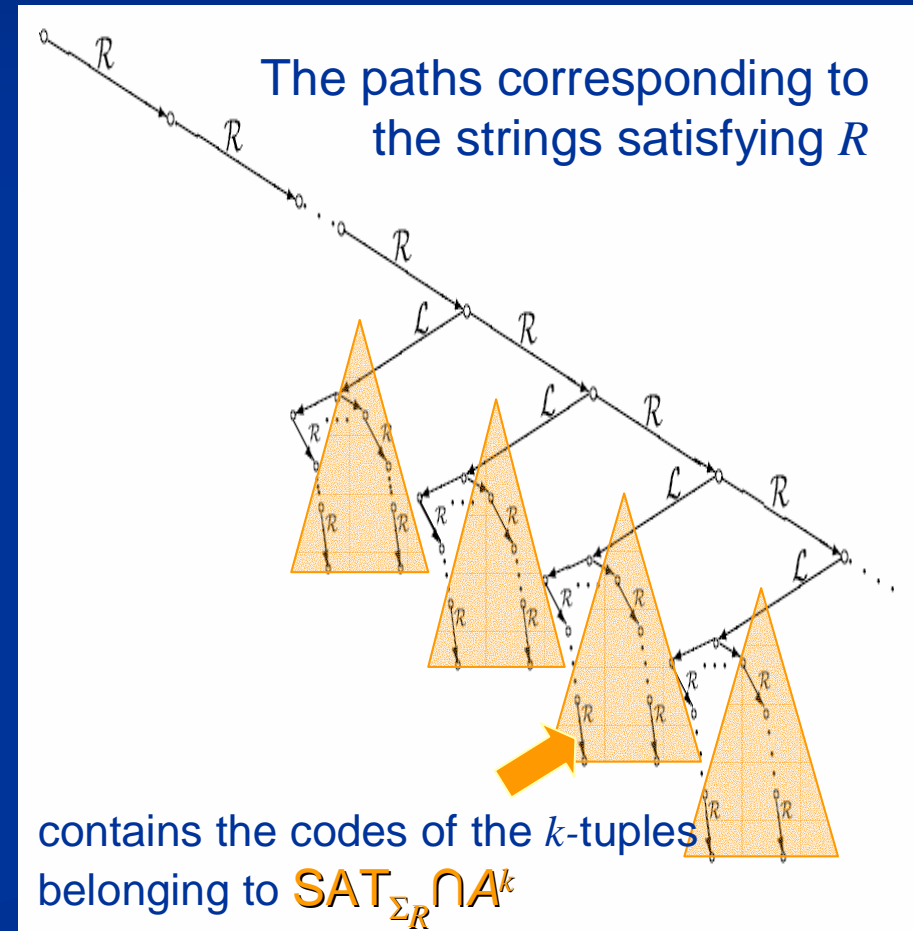
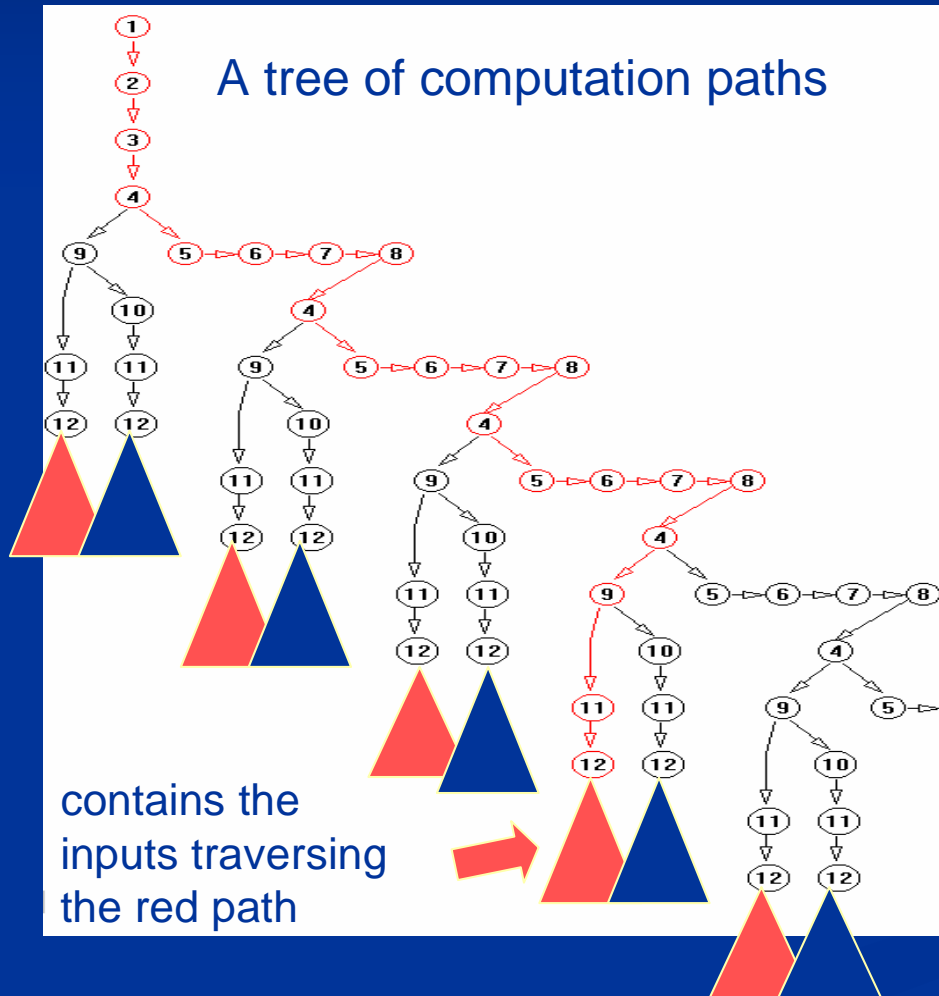
Similar trees

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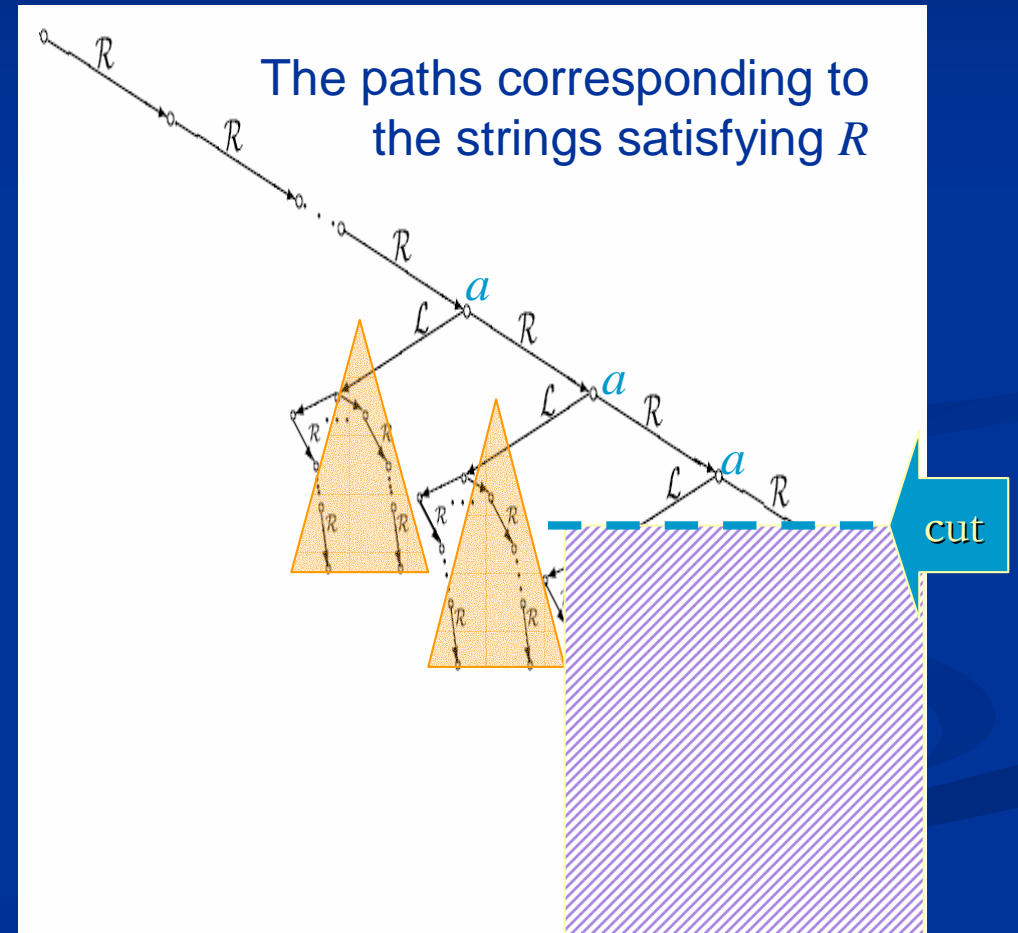
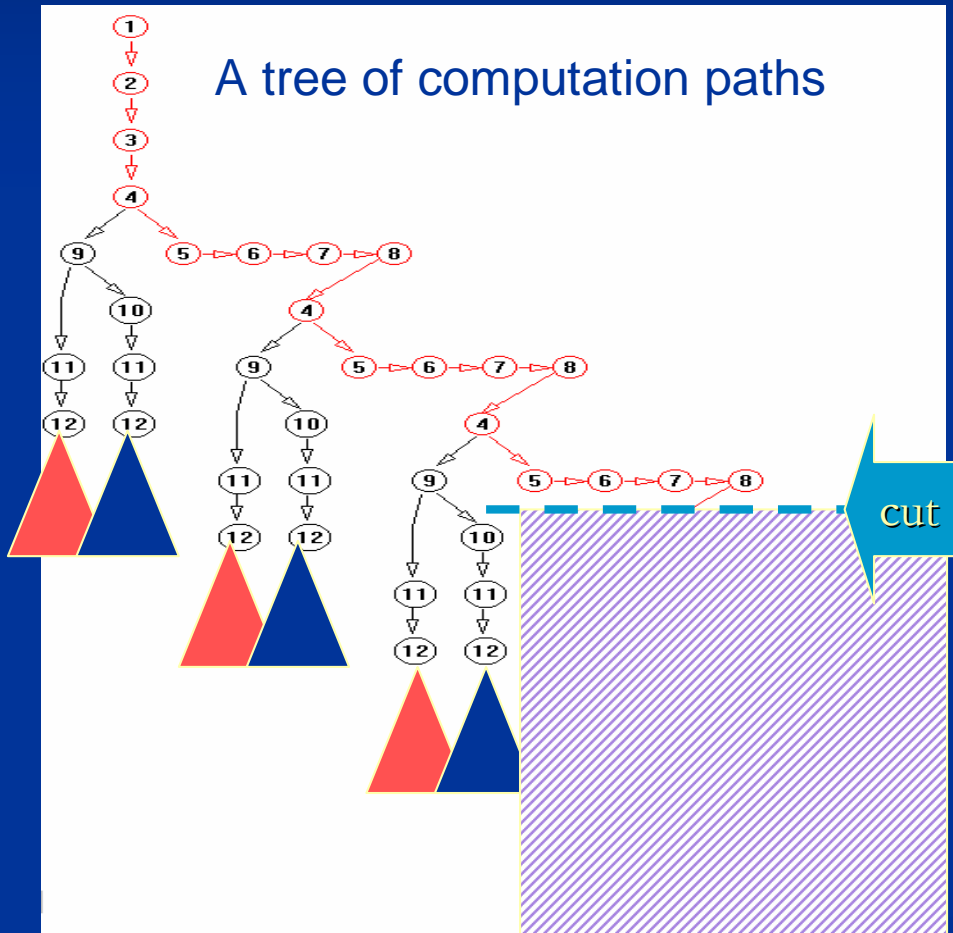
Trees and polynomial time

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?



The recursive definition is possible

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

R and SAT_{Σ_R} can be described by a tree which is similar to a tree of computation paths.

→ Reduction of the tuples $(x_1, \dots, x_n, code(\psi))$ to small strings.

→ Replacement of arbitrary guesses by small guesses.

→ Restriction of the quantifier domains.

→ Recursive definition of R .

Let us consider some details in the next talk.

Thank you for your attention!

Christine Gaßner

I thank

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Michael Schürmann

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Ernst Moritz Arndt University of Greifswald

for discussion on the presentation.

Appendix

$DEC_{\Sigma}, P_{\Sigma}, NP_{\Sigma}$

Decidable, (non-) deterministically
recognizable in polynomial time

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

The size of an input (x_1, \dots, x_n) : n .



Every $u \in U$ can be stored in one register.

The execution of any instruction: **one time unit** (one step).



The execution of one operation = one time unit.

Computation in polynomial time: **output after $p(n)$ steps**

for any input (x_1, \dots, x_n) and some polynomial p .

Non-deterministic / deterministic acceptance: output a (or halt).

Deterministic rejection: output b (or no halt). ($a, b \in U, a \neq b$)

$P_{\Sigma} \subseteq NP_{\Sigma}, P_{\Sigma} \subseteq DEC_{\Sigma}$



$NP_{\Sigma} \not\subseteq DEC_{\Sigma} \Rightarrow P_{\Sigma} \neq NP_{\Sigma}$

NP-complete problems, Halting Problem

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

The Satisfiability Problem

$$\mathbf{SAT}_{\Sigma} = \{(x, \text{code}(\psi)) \in U^{\infty} \mid \psi \in L_{\Sigma} \ \& \ \Sigma \models \exists Y \psi(x, Y)\}$$

The NP- complete problem recognized by a usual universal machine

$$\mathbf{UNI}_{\Sigma} = \{(x_1, \dots, x_n, \text{Code}(M), a, \dots, a) \in U^{n+k+t} \mid M \in NM_{\Sigma} \\ \& \ M \text{ accepts } (x_1, \dots, x_n) \text{ within } t \text{ steps}\} \subseteq U^{\infty}$$

The Halting Problem

$$\mathbf{H}_{\Sigma} = \{(x, \text{Code}(M)) \in U^{\infty} \mid M \in M_{\Sigma} \ \& \ M \text{ halts on } x\}$$

$U \triangleq$ the universe, $L_{\Sigma} \triangleq$ formulae, $NM_{\Sigma} \triangleq$ non-det. machines, $M_{\Sigma} \triangleq$ det. machines

SAT_{Σ}

Satisfiability Problem

The uniform model of computation

The complexity classes P, NP, DEC for Σ

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How to construct R such that $SAT \in P$ for Σ_R ?

$\Sigma = (U; a, b, c_3, \dots, c_u; f_1, \dots, f_v; R_1, \dots, R_w, =)$

L_{Σ} set of quantifier-free (\neg, \vee, \wedge) -formulae

- $(=, \neq, R_1, \dots, R_w, \neg R_1, \dots, \neg R_w)$ -literals
- $(a, b, c_3, \dots, c_u, f_1, \dots, f_v)$ -terms.

$\psi \in L_{\Sigma} \Rightarrow code(\psi) \in \{a, b\}^{\infty} \subseteq U^{\infty} =_{df} \bigcup_{k \geq 1} U^k$

$SAT_{\Sigma} = \{(x_1, \dots, x_n, code(\psi)) \in U^{\infty} \mid \psi \in L_{\Sigma}$
& $\Sigma \models \exists(Y_1, \dots, Y_m) \psi(x_1, \dots, x_n, Y_1, \dots, Y_m)\}$

$SAT_{\Sigma} \in NP_{\Sigma}$, SAT_{Σ} is NP_{Σ} -complete.



$SAT_{\Sigma} \in P_{\Sigma} \Rightarrow P_{\Sigma} = NP_{\Sigma}$

UNI_{Σ} and H_{Σ}

Recognition by universal machine, Halting Problem

The uniform model of computation

The complexity classes P , NP , DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

NM_{Σ} set of all non-deterministic Σ -machines.

$$UNI_{\Sigma} = \{ (x_1, \dots, x_n, Code(M), a, \dots, a) \in U^{n+k+t} \mid M \in NM_{\Sigma} \\ \& M \text{ accepts } (x_1, \dots, x_n) \text{ within } t \text{ steps} \}$$

$UNI_{\Sigma} \in NP_{\Sigma}$, UNI_{Σ} is NP_{Σ} -complete. $\Rightarrow UNI_{\Sigma} \in P_{\Sigma} \Rightarrow P_{\Sigma} = NP_{\Sigma}$

M_{Σ} set of all deterministic Σ -machines.

$$H_{\Sigma} = \{ (x_1, \dots, x_n, Code(M)) \mid (x_1, \dots, x_n) \in U^n \& M \in M_{\Sigma} \\ \& M \text{ halts on } (x_1, \dots, x_n) \}$$

$\Rightarrow H_{\Sigma} \notin DEC_{\Sigma}$

Some historical remarks

P versus NP for special structures

The uniform model of computation

The complexity classes P, NP, DEC for Σ

Why is it difficult to find an R with $P = NP$ for Σ_R ?

How to construct R such that $SAT \in P$ for Σ_R ?

GÖDEL, TURING, CHURCH, KLEENE, COOK, KARP (1931, 1936, 1971, 1972):

The classical theory of computation

ENGELER, FRIEDMAN, MANSFIELD (1967, 1971):

Computation over structures for functions of fixed arities

ASVELD, TUCKER (1980, 1982):

Deterministic and binary non-deterministic algebraic programming systems and an abstract Satisfiability Problem

BLUM, SHUB, SMALE (1989):

The uniform model of computation over the real numbers

CUCKER (1990):

Investigation of computation paths

Some historical remarks

P versus NP for special structures

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MEER (1992, 1993):

$P \neq NP$ for $(\mathbb{R}; 0, 1; +, -, =)$ and for $(\mathbb{R}; 0, 1; \sin, \cos, \cdot, +, -, =)$

KOIRAN (1994):

$DNP = NP$ for $(\mathbb{R}; 0, 1; +, -, \leq)$

$DN \triangleq$ digitally (binary) non-deterministic:

$y_1, \dots, y_m \in \{0, 1\}$

POIZAT (1995):

The question: Is there a structure of finite signature with $P = NP$?

PRUNESCU (2001, Greifswald):

Talk on Poizat's idea to define an additional relation for a structure over $\{0,1\}^*$ which implies $P = NP$

MAINHARDT (2001, Greifswald):

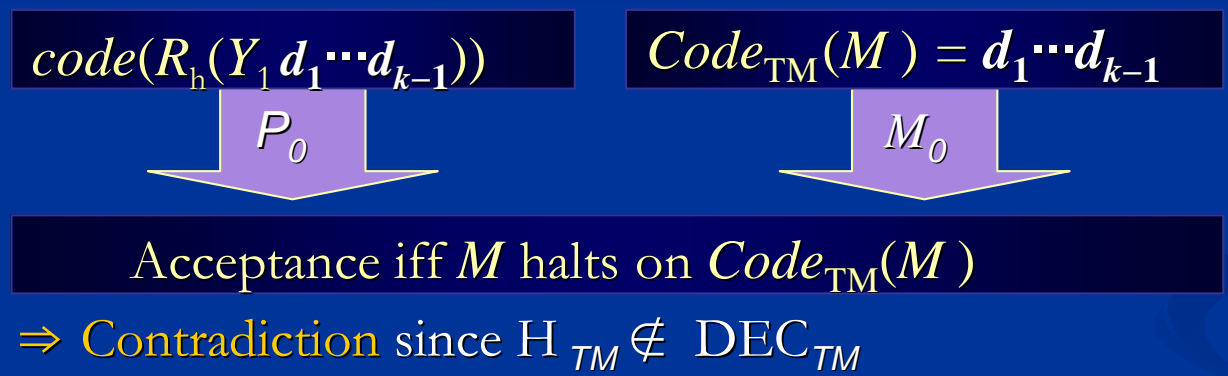
A structure of infinite signature with $P = NP$

Some R_h with $SAT_{halt} \notin DEC_{\Sigma_{R_h}}$ (The idea of the proof)

The uniform model of computation
 The complexity classes P, NP, DEC for Σ
 Why is it difficult to find an R with $P = NP$ for Σ_R ?
 How to construct R such that $SAT \in P$ for Σ_R ?

$R_h(s)$ iff $s = r Code_{TM}(M)$, $M \in TM$, M halts on $Code_{TM}(M)$ after $|r|$ steps

Assume: P_0 decides SAT_{halt}



Simulation of P_0
 by a TM M_0
 Simulation of any test
 $R_h(r Code_{TM}(M_s))$
 by simulation
 of $|r|$ steps of M_s

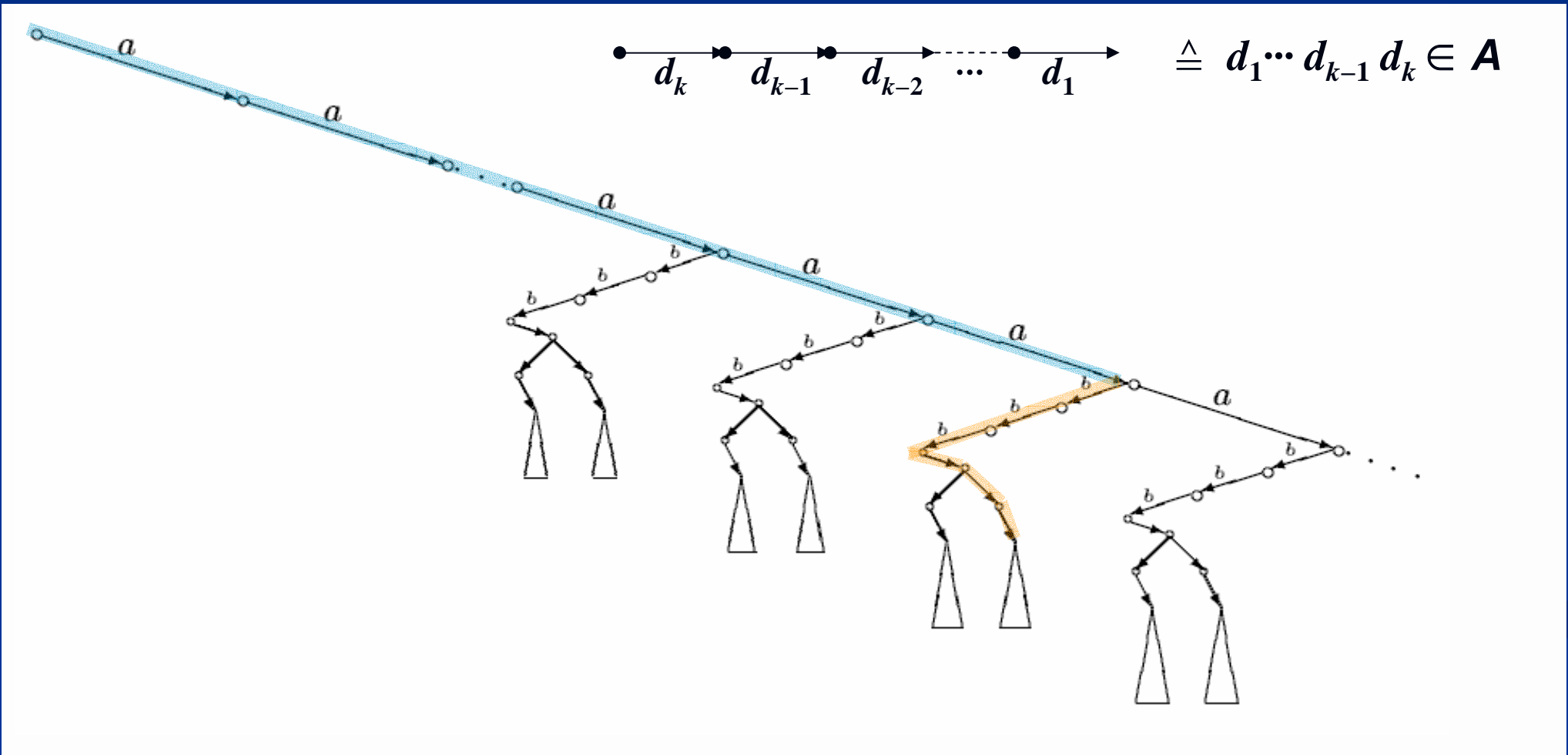
$\Rightarrow H_{TM} \notin DEC_{TM} \Rightarrow SAT_{halt} \notin DEC_{\Sigma_{R_h}}$

$\Rightarrow SAT_{\Sigma_{R_h}} \notin DEC_{\Sigma_{R_h}}$
 $\Rightarrow P_{\Sigma_{R_h}} \neq NP_{\Sigma_{R_h}}$

The description of SAT_{Σ_R} by a tree

for $\Sigma_R = (\{a, b\}^*; \varepsilon; \text{add}_a, \text{add}_b, \text{sub}_a, \text{sub}_b; R, =)$

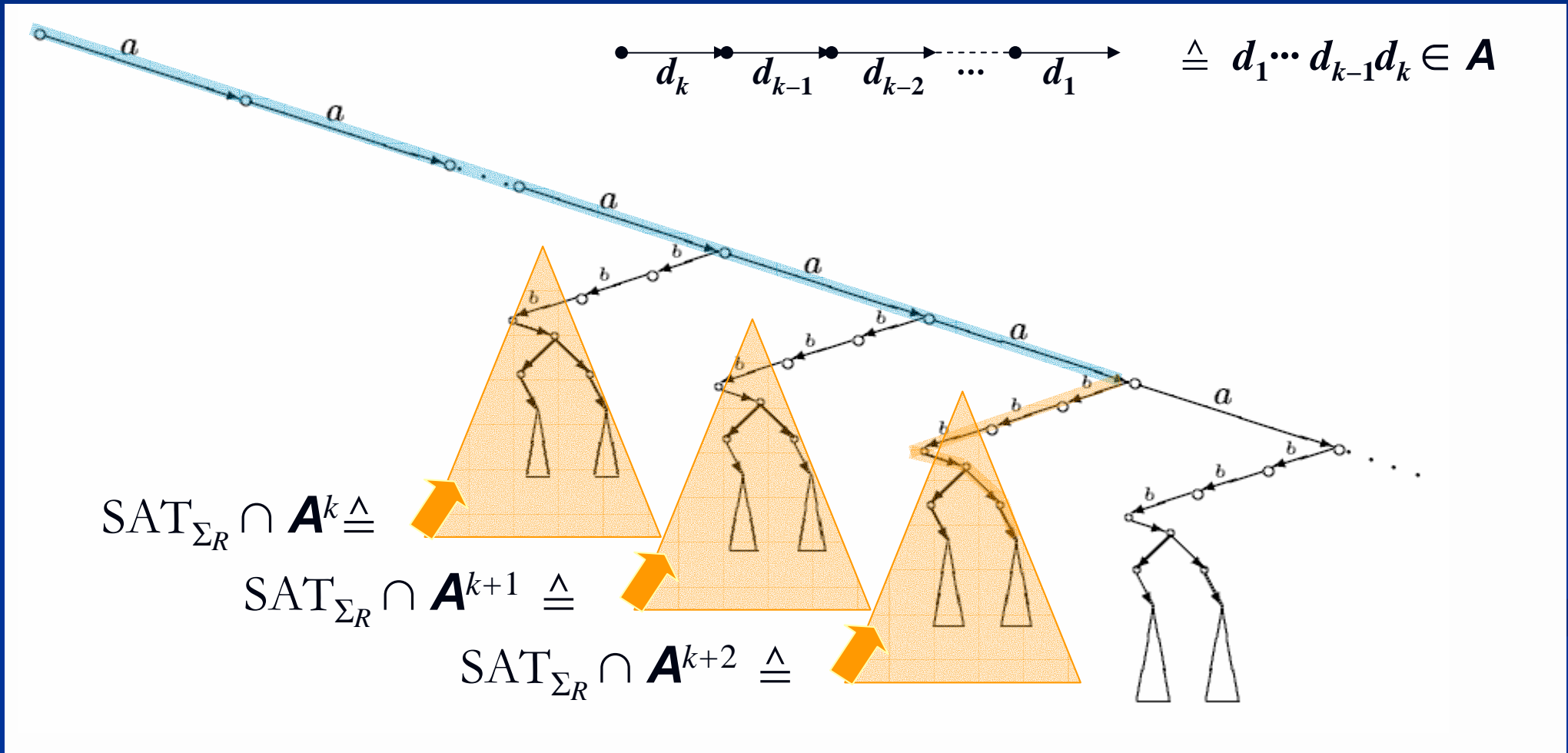
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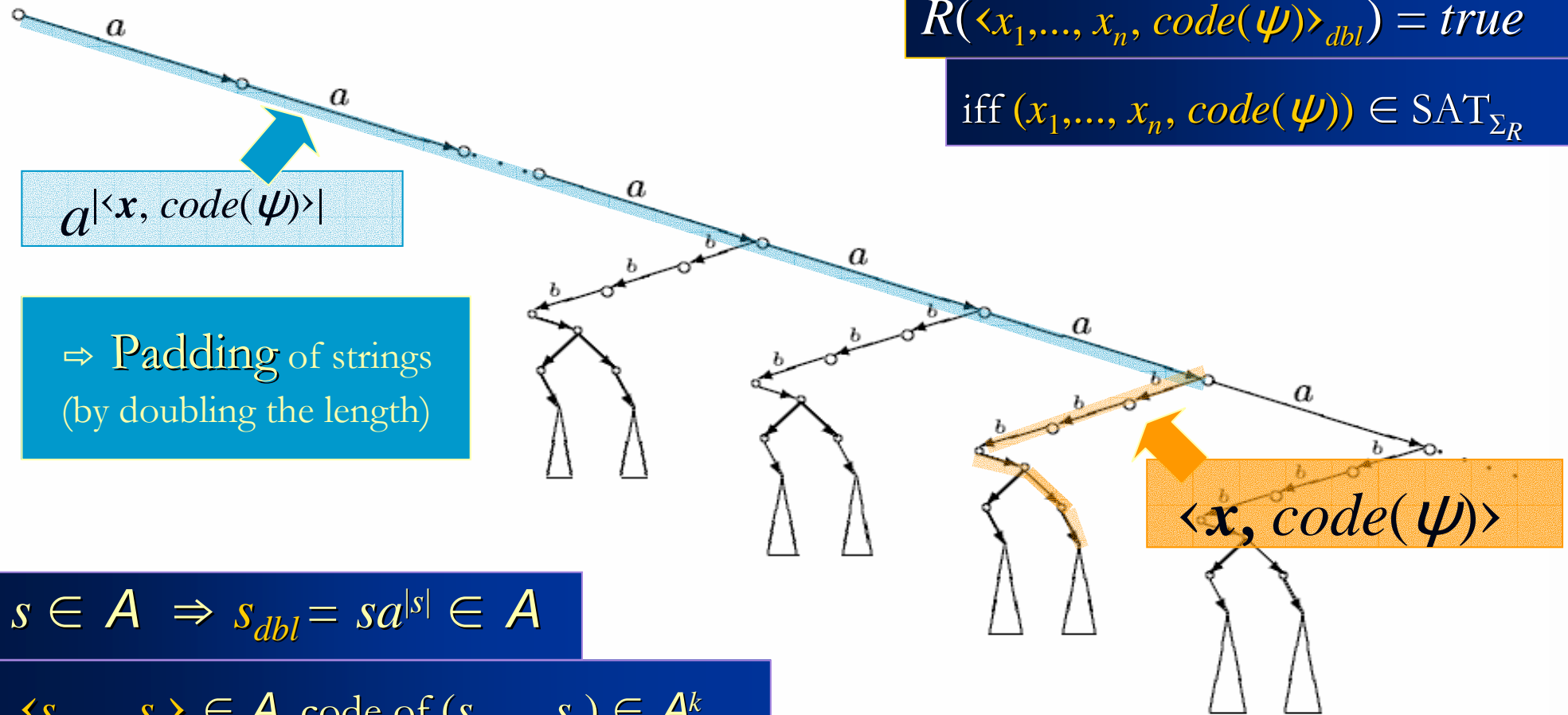
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$$s \in A \Rightarrow s_{dbl} = sa^{|s|} \in A$$

$$\langle s_1, \dots, s_k \rangle \in A \text{ code of } (s_1, \dots, s_k) \in A^k$$

Trees

for

$$\Sigma_R = (\{a, b\}^*; \varepsilon; add_a, add_b, sub_a, sub_b; R, =)$$

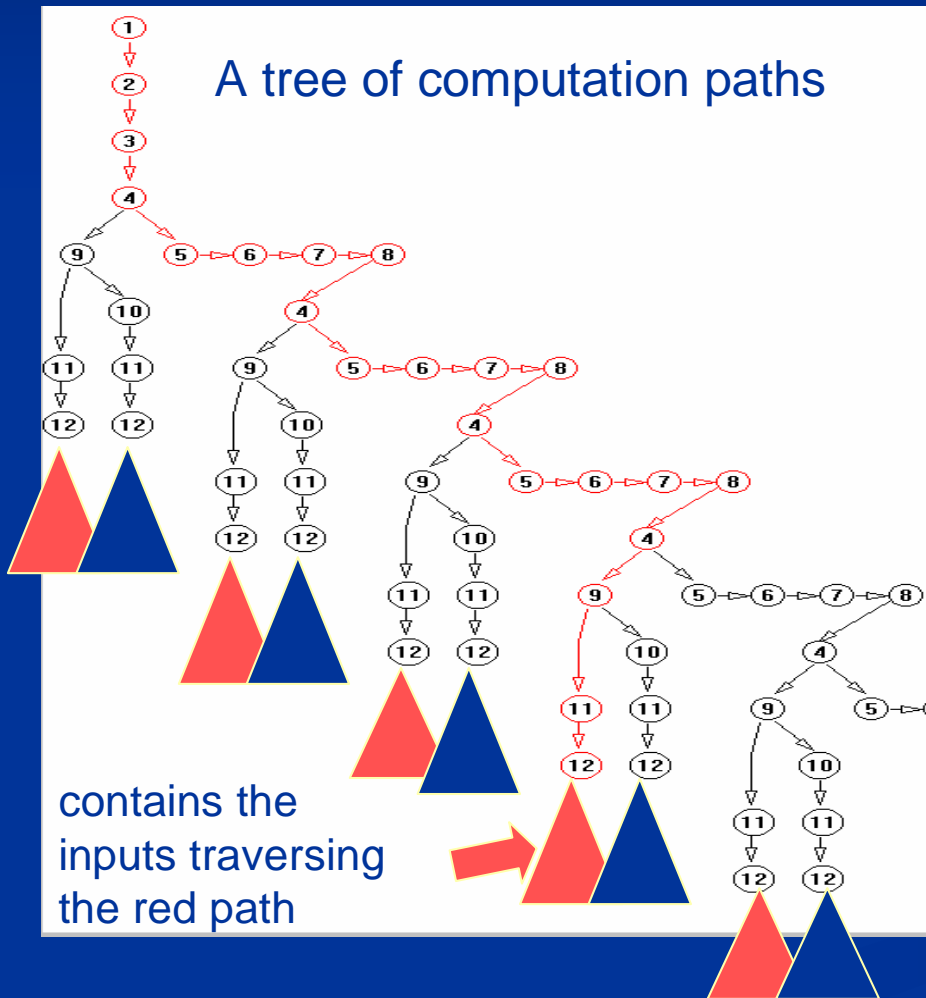
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A tree of computation paths



The paths corresponding to the strings satisfying R

