

# Understanding and Using Spector's Bar Recursion

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
## A 3-player game


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2. Person  $i$  is assigned the number  $x_i := g_i(i)$
3.  $g_1(x_1) = x_2 + x_3$  and  $g_2(x_2) = x_1 + x_3$  and  $g_3(x_3) = x_1 + x_2$




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$$g_1(x) = c_1$$

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$$g_1(x) = \lambda x.(5x + 4)$$

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$$g_3(x) = \lambda x.29$$

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3.  $g_i(x_i) = \Delta(x_1, x_2, \dots)$



# Outline

- 1 Bar recursion
  - Finite bar recursion
  - Spector's bar recursion
  
- 2 An application



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- Facts:
  - Classical computational interpretation of countable choice (due to Spector'62)
  - In particular, provides interpretation of full comprehension
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- Facts:
  - Classical computational interpretation of countable choice (due to Spector'62)
  - In particular, provides interpretation of full comprehension
  - Difficult to understand
- Goal:
  - Explain bar recursion
  - Use it in simple (practical) examples
  - Understand how it solves the problem



## Interpreting countable choice

- Give classical computation interpretation of cAC

$$\forall n^{\mathbb{N}} \exists y^{\tau} A(n, y) \rightarrow \exists f \forall n A(n, fn)$$



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- Consider cAC for universal formulas

$$\forall n^{\mathbb{N}} \neg \exists y^{\tau} \forall x^{\sigma} A_{\text{qf}}(n, y, x) \rightarrow \neg \exists f \forall n, x^{\sigma} A_{\text{qf}}(n, fn, x)$$



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Interpretation asks for functionals  $n, g, f$  depending on  $\Phi, \Psi, \Delta$  s.t.

$$\neg A_{\text{qf}}(n, \Phi_n g, g(\Phi_n g)) \rightarrow \neg A_{\text{qf}}(\Psi f, f(\Psi f), \Delta f)$$



## Interpreting countable choice

How to produce  $n, g, f$  (parametrised by  $\Phi, \Psi, \Delta$ ) such that

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Enough to satisfy equations:

$$\left\{ \begin{array}{l} n \quad \stackrel{\mathbb{N}}{=} \quad \Psi f \\ f n \quad \stackrel{\tau}{=} \quad \Phi_n g \\ g(f n) \quad \stackrel{\sigma}{=} \quad \Delta f \end{array} \right\}$$



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Given  $\Psi \hat{\mathbf{x}} < |\mathbf{x}|$  then  $f := \hat{\mathbf{x}}$  and  $n := \Psi \hat{\mathbf{x}}$  and  $g := g_n$ .



## A particular case

Let's consider the particular case in which  $\Psi \leq 3$

$$i \leq 3$$

$$x_i \stackrel{\tau}{=} \Phi_i g_i$$

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$$G_3[x_1, x_2] := \lambda x_3. \Delta(x_1, x_2, x_3)$$

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$$g_1 := \lambda x_1. \Delta(x_1, X_2[x_1], X_3[x_1, X_2[x_1]])$$

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# Finite bar recursion

General case (with a fixed bound  $k$ )

$$i \leq k$$

$$x_i \stackrel{\tau}{=} \Phi_i g_i$$

$$g_i(x_i) \stackrel{\sigma}{=} \Delta(x_1, \dots, x_k)$$



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General solution can be constructed as follows ( $\mathbf{x}_{i-1} \equiv x_1, \dots, x_{i-1}$ )

$$\text{fB}(\mathbf{x}_{i-1}) = \begin{cases} x_1, \dots, x_k & k = i - 1 \\ \text{fB}(\mathbf{x}_{i-1}, X_i[\mathbf{x}_{i-1}]) & \text{otherwise} \end{cases}$$

where  $X_i[\mathbf{x}_{i-1}] := \Phi_i G_i[\mathbf{x}_{i-1}]$  and  $G_i[\mathbf{x}_{i-1}] := \lambda x_i. \Delta(\text{fB}(\mathbf{x}_{i-1}, x_i))$ .



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Then take  $\langle x_1, \dots, x_k \rangle := \text{fB}(\langle \rangle)$  and  $g_i := G_i[\mathbf{x}_{i-1}]$ .



# Spector's bar recursion

Back to the original problem

$$i \leq |\mathbf{x}|$$

$$x_i \stackrel{\tau}{=} \Phi_i g_i$$

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# Spector's bar recursion

Back to the original problem

$$\begin{aligned} i &\leq |\mathbf{x}| \\ \mathbf{x}_i &\stackrel{\tau}{=} \Phi_i g_i \\ g_i(\mathbf{x}_i) &\stackrel{\sigma}{=} \Delta \mathbf{x} \end{aligned}$$

can be solved with  $(\mathbf{x}_{i-1} \equiv x_1, \dots, x_{i-1})$

$$\text{BR}(\mathbf{x}_{i-1}) = \begin{cases} \mathbf{x}_{i-1} & \Psi \hat{\mathbf{x}} < i - 1 \\ \text{BR}(\mathbf{x}_{i-1}, X_i[\mathbf{x}_{i-1}]) & \text{otherwise} \end{cases}$$

where  $X_i[\mathbf{x}_{i-1}] := \Phi_i G_i[\mathbf{x}_{i-1}]$  and  $G_i[\mathbf{x}_{i-1}] := \lambda x_i. \Delta(\text{BR}(\mathbf{x}_{i-1}, x_i))$ .

Finally, take  $\mathbf{x} := \text{fB}(\langle \rangle)$  and  $g_i := G_i[\mathbf{x}_{i-1}]$ .



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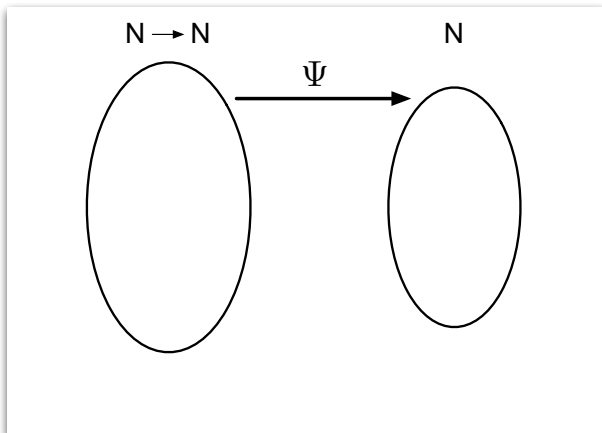
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# No injection from $\mathbb{N} \rightarrow \mathbb{N}$ to $\mathbb{N}$

## Theorem

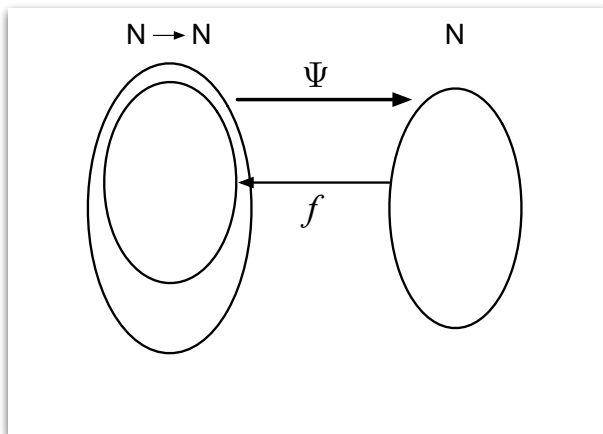
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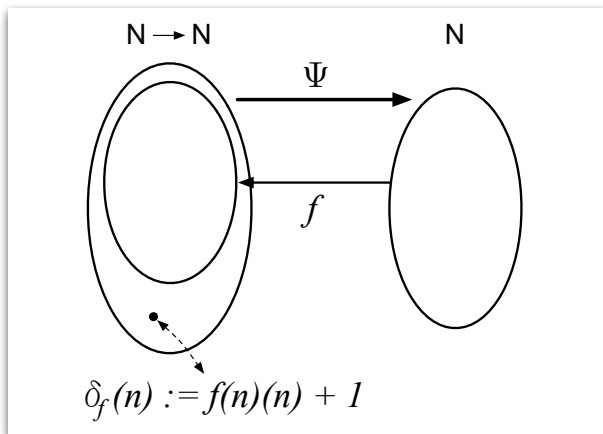
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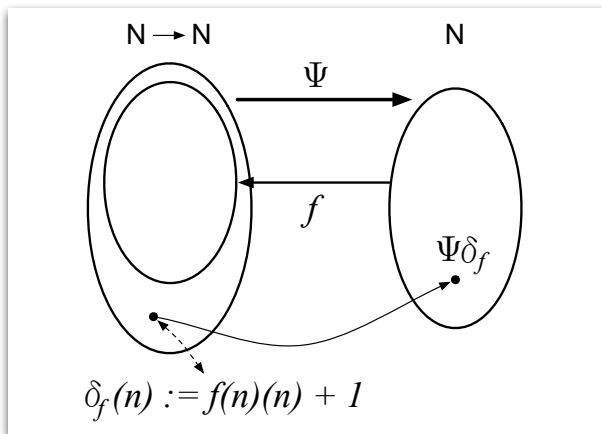
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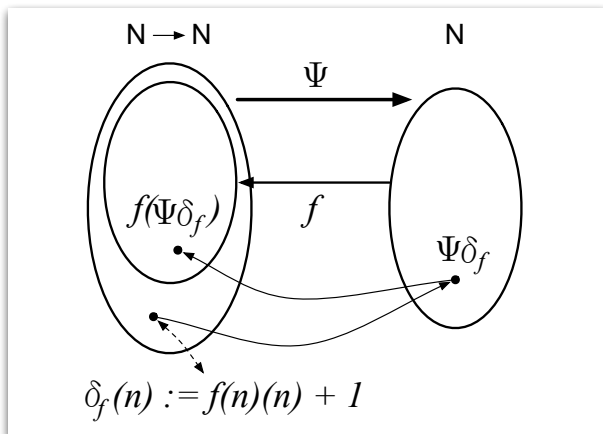
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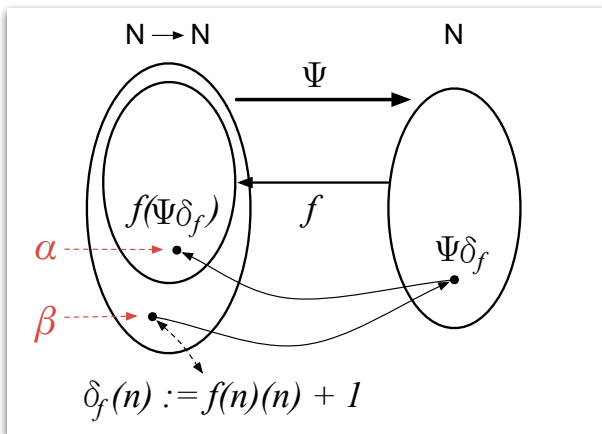
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## Bar recursive solution

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Let

$$B(s, k) := \begin{cases} s & \Psi \delta_{\hat{s}} < k \\ r & \Psi \delta_{\hat{r}} \neq k \quad (\text{and } \Psi \delta_{\hat{s}} \geq k) \\ B(s * \delta_{\hat{r}}, k + 1) & \Psi \delta_{\hat{r}} = k \quad (\text{and } \Psi \delta_{\hat{s}} \geq k) \end{cases}$$

where  $r := B(s * 0^1, k + 1)$ . Then take  $t := B(\langle \rangle, 0)$ .



## Final remarks

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- Other application in the paper
  - compute fixed-point for update procedures (Avigad'02)
- Models of bar recursion
  - Total continuous functions (Scarpellini'71)
  - Strongly majorizable functions (Bezem'85)
- Interpretation used
  - Dialectica interpretation (Gödel'58)
  - Using realizability interpretations:  
*Modified bar recursion* (Berardi et al.'98, Berger/O.'05)

