

# A Model for a type theory with Mahlo Universe

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## Abstract

We present a type theory  $TTM$ , extending Martin-Löf Type Theory by adding one Mahlo universe  $V$ , a universe being the type theoretic analogue of one recursive Mahlo ordinal. A model, formulated in a Kripke-Platek style set theory  $KPM^+$ , is given and we show that the proof theoretical strength of  $TTM$  is  $\leq |KPM^+| = \psi_{\Omega_1}(\Omega_{M+\omega})$ . By [Se96a], this bound is sharp.

## 1 Introduction

$M$  is recursive Mahlo, iff  $M$  is admissible and every  $M$ -recursive closed unbounded subset of  $M$  contains an admissible ordinal. Equivalent to this is, that  $M$  is admissible and for all  $\Delta_0$  formulas  $\phi(x, y, \vec{z})$ , and all  $\vec{z} \in L_M$  such that  $\forall x \in L_M. \exists y \in L_M. \phi(x, y, \vec{z})$  there exists an admissible  $\beta \in L_M$ , which is admissible and  $\forall x \in L_\beta \exists y \in L_\beta. \phi(x, y, \vec{z})$ . One can easily see, that  $M$  is recursive inaccessible and that the  $\beta$  above can always be chosen to be recursive inaccessible.

Now one universe in Martin-Löf Type Theory is the least fixed point of an operator, which includes in the presence of the  $W$ -type the step to the next admissible. Since, using the  $W$ -type we can construct from the universe codes for a least universe, we can regard the universe as the least fixed point. We can construct this therefore precisely by iterating the operator up to the first recursive inaccessible. Proof theoretical analysis on the other hand shows, that we reach using universe and  $W$ -type the first recursive inaccessible  $I$  in the sense that we can show transfinite induction up to  $\psi_{\Omega_1}(I)$  (in fact up to  $\psi_{\Omega_1}(\Omega_{I+\omega})$ , see [Se95] or [Se93] and the related results in [GR94]). Therefore, universes in type theory correspond to recursive inaccessibles in set theory.

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Further, the universe and its Tarski-operator  $T$  have to be defined simultaneously, therefore the full universe is not only  $U$ , but  $\Sigma x \in U.T(x) \rightarrow U$ .

If we put this together, we get the following formulation:  $V$  is a Mahlo-universe (together with Tarski-operator  $T$ ), if  $V$  is a universe, and for every function  $f : (\Sigma x \in V.T(x) \rightarrow V) \rightarrow (\Sigma x \in V.T(x) \rightarrow V)$  there exists an element  $u_f : V$ , and a decoding function  $s_f : T(u_f) \rightarrow V$ , such that (with  $U_f := T(u_f)$ ) the following properties hold:

- $U_f$  is a sub-universe of  $V$ , i.e. it is closed under ordinary type constructions. For instance, if  $a : U_f$  and  $x : T(s_f(a)) \Rightarrow b : U_f$  then  $\sigma_f x \in a.b : U_f$ , and we have  $s_f(\sigma_f x \in a.b) = \sigma_V x \in s_f(a).s_f(b) : V$ ,  $T(\sigma_V x \in a.b) = \Sigma x \in T(a).T(b)$ .
- There is the restriction of  $f$  to  $U_f$ :  $Res_f : (\Sigma x \in U_f.T(s_f(x)) \rightarrow U_f) \rightarrow (\Sigma x \in U_f.T(s_f(x)) \rightarrow U_f)$  and with  $\iota_f : (\Sigma x \in U_f.T(s_f(x)) \rightarrow U_f) \rightarrow (\Sigma x \in V.T(x) \rightarrow V)$ ,  $\iota_f(p(r, t)) := p(s_f(r), \lambda x.s_f(tx))$  we have  $\iota_f \circ Res_f = f \circ \iota_f$ .

In order, to make this definition possible in Logical Frameworks, we have to split the function  $f$  into two functions  $g : V \rightarrow (T(x) \rightarrow V) \rightarrow V$  and  $h : \Pi x : V.\Pi y : (T(x) \rightarrow V).T(gxy) \rightarrow V$ . Further we have to define first a type  $U_{g,h}$  inductively and then define  $T(u_{g,h}) := U_{g,h}$ . We give the resulting theory preliminarily the name *TTM*, type theory with Mahlo universe.

In this article, we will present a model for this type theory which is an extension of the model given in [Se93] and [Se96b]. This model will show, that the proof theoretical strength of *TTM* is  $\leq |KPM^+| \leq \psi_{\Omega_1}\Omega_{M+\omega}$ . In [Se96a] we show, that this bound is in fact sharp by giving a series of well ordering proofs, showing transfinite induction up to  $\psi_{\Omega_1}(\Omega_{M+n})$  for  $n \in \omega$ . Therefore the resulting theory has exactly the desired strength.

There is **related work** by E. Griffor and M. Rathjen on the Mahlo universe, which treats other aspects of it. There will be probably soon a draft available.

The idea, to work with  $\Sigma x \in U.T(x) \rightarrow U$  instead of  $U$  goes back to E. Palmgren, who told this to the author in the Oberwolfach Meeting in Mathematical Logic 1995. E. Palmgren's studies of the super universe [Pa91] was a first major step towards the Mahlo universe.

## 2 The Type Theory with one Mahlo Universe

**Definition 2.1** (a) We have the symbols of Martin-Löf Type Theory as in [Se93], definition 2.1, with the following modifications: we remove the term-constructors  $\underline{n}$ ,  $\underline{n}_k$ ,  $\tilde{+}$ ,  $\pi$ ,  $\sigma$ ,  $w$ ,  $\tilde{i}$  and the type constructor  $U$ ; we add the term-constructors together with their arity  $\underline{n}_V$  (0),  $\underline{n}_{k,V}$  (0) (where  $k \in \omega$ ),  $\tilde{+}_V$  (2),  $\pi_V$  (2),  $\sigma_V$  (2),  $w_V$  (2),  $\tilde{i}_V$  (3),  $\underline{n}$  (2),  $\underline{n}_k$  (2) (where  $k \in \omega$ ),  $\tilde{+}$  (4),  $\pi$  (4),

$\sigma$  (4),  $w$  (4),  $\tilde{i}$  (5),  $u$  (2),  $s$  (3),  $Res0$  (4),  $Res1$  (5), and the type constructors  $V$  (1),  $U$  (2).

(b) The  $b$ -objects are defined as in [Se93] definition 2.2 (a). We write  $+$ ,  $\tilde{+}_V$  infix (that is  $(a+b)$  for  $+(a,b)$ )  $r\tilde{+}_{f,g}s$  for  $\tilde{+}fgrs$ ,  $(x)t$  for  $\lambda x.t$ ,  $(x,y)t$  for  $(x)(y)t$ ,  $(x,y,z)t$  for  $(x)(y,z)t$ ,  $\underline{n}_{f,g}$  for  $\underline{n}fg$ ,  $\underline{n}_{k,f,g}$  for  $\underline{n}_kfg$ ,  $\sigma_{f,g}(r,s)$  for  $\sigma(f,g,r,s)$ , similarly for  $\pi$ ,  $w$ ,  $\tilde{i}$ ,  $Res0$ ,  $Res1$ ,  $u$ ,  $s$  and if  $S \in \{\Sigma, \Pi, W, \sigma_V, \pi_V, w_V, \sigma_{f,g}, \pi_{f,g}, w_{f,g}\}$ ,  $Sx \in s.t := S(s, (x)t)$ . Further we write  $rs$  for  $Ap(r,s)$ . The conventions about omitting brackets, the definition of simultaneous substitution (however we write  $t[x_1 := s_1, \dots, x_n := s_n]$  instead of  $t[x_1/s_1, \dots, x_n/s_n]$  to avoid confusion) and  $\alpha$ -conversion are as in [Se93], definition 2.2.

(c) The set of  $g$ -objects (for generalised objects) is inductively defined as: variables  $x$  are  $g$ -terms; if  $n < k$ ,  $n, k \in \mathbb{N}$ , then  $n_k$  is a  $g$ -term; if  $k \in \mathbb{N}$ , then  $\underline{n}_k$  is a  $g$ -term; if  $r, s, t, s_0, t_0$  are  $g$ -terms,  $x, x', y, z, u, u', v, v', v'' \in Var_{ML}$ ,  $f := (u,v)s_0$ ,  $g := (u',v',v'')t_0$ , then  $0$ ,  $\underline{r}$ ,  $\underline{n}$ ,  $Sr$ ,  $\lambda x.r$ ,  $p(r,s)$ ,  $sup(r,s)$ ,  $i(r)$ ,  $j(r)$ ,  $P(r,s, (x,y)t)$ ,  $Ap(r,s)$ ,  $p_0(r)$ ,  $p_1(r)$ ,  $R(r, (x,y,z)s)$ ,  $D(r, (x)s, (x')t)$ ,  $\pi_V x \in r.s$ ,  $\sigma_V x \in r.s$ ,  $w_V x \in r.s$ ,  $r\tilde{+}_V s$ ,  $i_V(r,s,t)$ ,  $\pi_{f,g}x \in r.s$ ,  $\sigma_{f,g}x \in r.s$ ,  $w_{f,g}x \in r.s$ ,  $r\tilde{+}_{f,g}s$ ,  $\tilde{i}_{f,g}(r,s,t)$ ,  $Res0_{f,g}(r, (x)s)$ ,  $Res1_{f,g}(r, (x)s, t)$ ,  $s_{f,g}(r)$ ,  $u_{f,g}$  are  $g$ -terms; if  $n \in \mathbb{N}$  and  $r, s_1, \dots, s_n$  are  $g$ -terms, then  $C_n(r, s_1, \dots, s_n)$  is a  $g$ -term. Let  $Term_{CI}$  be the set of closed  $g$ -terms.

(d) The  $g$ -types are  $N_k$  ( $k \in \omega$ ),  $N$ ,  $V$  and if  $A, B$  are  $g$ -types,  $x, y, z, u, v, u' \in Var_{ML}$ ,  $r, s, s_0, t_0$   $g$ -terms,  $f := (y,z)s_0$ ,  $g := (u,v,u')t_0$ , then  $\Pi x \in A.B$ ,  $\Sigma x \in A.B$ ,  $Wx \in A.B$ ,  $A+B$ ,  $I(A,r,s)$ ,  $T(r)$ ,  $U_{f,g}$  are  $g$ -types.

(e) The  $g$ -context-pieces,  $g$ -contexts,  $g$ -statements and  $s[\vec{x} := \vec{t}]$  are defined as in [Se93]. We treat here the usual statements  $A$ : type and  $s$ :  $A$  as abbreviations:  $A$ : type  $\equiv A = A$ ,  $s$ :  $A$   $\equiv s = s$ :  $A$

**Definition 2.2** We define Type Theory with one Mahlo-universe TTM similarly as the definition of  $ML_1^e W_T$  in [Se93] definition 2.5, inductively defining  $TTM \vdash \Gamma \Rightarrow \Theta$ . We only remove all the rules for the Universe and replace them by the following rules for the Mahlo-universe  $V$ :

### Type introduction rules for $V$

$$(V_I) \quad V = V \qquad (T_I) \quad \frac{r = r' : V}{T(r) = T(r')}$$

$$(\pi_V)_I \quad \frac{r = r' : V \quad x : T(r) \Rightarrow s = s' : V}{\pi_V x \in r.s = \pi_V x \in r'.s' : V}$$

$$((\pi_V)=) \frac{r : V \quad x : T(r) \Rightarrow s : V}{T(\pi_V x \in r.s) = \Pi x \in T(r).T(s)}$$

Similar rules for  $N$ ,  $N_k$ ,  $\Sigma$ ,  $W$ ,  $+$  and  $I$

$$(u_I) \frac{x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 = s'_0 : V \quad x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 = t'_0 : V}{u_{(x,y)s_0,(x,y,z)t_0} = u_{(x,y)s'_0,(x,y,z)t'_0} : V}$$

$$(u_=) \frac{x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 : V \quad x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 : V}{T(u_{(x,y)s_0,(x,y,z)t_0}) = U_{(x,y)s_0,(x,y,z)t_0}}$$

### Rules for $U$

$$(U_T) \frac{x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 = s'_0 : V \quad x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 = t'_0 : V}{U_{(x,y)s_0,(x,y,z)t_0} = U_{(x,y)s'_0,(x,y,z)t'_0}}$$

$$(s_I) \frac{r = r' : U_{f,g} \quad x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 = s'_0 : V \quad x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 = t'_0 : V}{s_{(x,y)s_0,(x,y,z)t_0}(r) = s_{(x,y)s_0,(x,y,z)t_0}(r') : V}$$

### Introduction-Rules for $U$

In the following in all of the introduction-rules we have additional assumptions (rules with subscript  $I$ )  $x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 : V$  and  $x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 : V$ ;

and in all of the equality-rules (rules with subscript  $=$ ) additional assumptions  $x : V, y : (T(x) \rightarrow V) \Rightarrow s_0 = s'_0 : V$  and  $x : V, y : (T(x) \rightarrow V), z : T(s_0) \Rightarrow t_0 = t'_0 : V$ . Let  $f := (x, y)s_0$ ,  $f' := (x, y)s'_0$ ,  $g := (x, y, z)t_0$ ,  $g' := (x, y, z)t'_0$ .

$$(Res0_I) \frac{r = r' : U_{f,g} \quad u : T(s_{f,g}(r)) \Rightarrow s = s' : U_{f,g}}{Res0_{f,g}(r, (u)s) = Res0_{f',g'}(r', (u)s') : U_{f,g}}$$

$$(Res0_=) \frac{r : U_{f,g} \quad u : T(s_{f,g}(r)) \Rightarrow s : U_{f,g}}{s_{f,g}(Res0_{f,g}(r, (u)s)) = s_0[x := s_{f,g}(r), y := \lambda u. s_{f,g}(s)] : V}$$

$$(Res1_I) \frac{u : T(s_{f,g}(r)) \Rightarrow s = s' : U_{f,g} \quad t = t' : T(s_{f,g}(Res0_{f,g}(r, (x)s))) \quad r = r' : U_{f,g}}{Res1_{f,g}(r, (u)s, t) = Res1_{f',g'}(r', (u)s', t') : U_{f,g}}$$

$$(Res1=) \frac{r : U_{f,g} \quad u : T(s_{f,g}(r)) \Rightarrow s : U_{f,g} \quad t : T(s_{f,g}(Res0_{f,g}(r, (u)s)))}{s_{f,g}(Res1_{f,g}(r, (u)s, t)) = t_0[x := s_{f,g}(r), y := \lambda u.s_{f,g}(s), z := t] : V}$$

$$(\pi_{f,g})_I \frac{r = r' : U_{f,g} \quad x : T(s_{f,g}(r)) \Rightarrow s = s' : U_{f,g}}{\pi_{f,g}x \in r.s = \pi_{f',g'}x \in r'.s' : U_{f,g}}$$

$$(\pi_{f,g})_V = \frac{r : U_{f,g} \quad x : T(s_{f,g}(r)) \Rightarrow s : U_{f,g}}{s_{f,g}(\pi_{f,g}x \in r.s) = \pi_V x \in s_{f,g}(r).s_{f,g}(s) : V}$$

Similar rules for  $N$ ,  $N_k$ ,  $\Sigma$ ,  $W$ ,  $+$  and  $I$

### 3 Definition of $KPM^+$

**Definition 3.1** (a) The language of  $KPM^+$  is defined as the language of  $KPi^+$  in [Se93] but by adding one constant  $ad_m$  (the Mahlo admissible). We will use all the abbreviations of [Se93].

(b) The axiom schemes for  $KPM^+$  are defined as for  $KPi^+$  in [Se93] (in the extended language) except that we replace the axiom schemes  $(+_n)$  by the following:

$$\begin{aligned} (Mahlo)^{ad_M} \quad & \forall \vec{z} \in ad_M. ((\forall x \in ad_M. \exists y \in ad_M. \phi(x, y, \vec{z})) \rightarrow \\ & \exists w \in ad_M (Ad(w) \wedge \forall x \in w. \exists y \in w. \phi(x, y, \vec{z}))) \\ & (\phi \text{ a } \Delta_0\text{-formula}) \\ (Ad(ad_M)) \quad & Ad(ad_M) \\ (+_n) \quad & \exists x_1, \dots, x_n. Ad(x_1) \wedge \dots \wedge Ad(x_n) \wedge ad_M \in x_1 \wedge x_1 \in x_2 \wedge \dots \\ & \wedge x_{n-1} \in x_n. \end{aligned}$$

(c)  $KPM^+$  is the theory (Ext) + (Found) + (Pair) + (Union) + ( $\Delta_0$ -sep) + ( $\Delta_0$ -coll) + (inf) + (Ad.1 - 3) + (Mahlo)<sup>ad<sub>M</sub></sup> + (Ad(ad<sub>M</sub>)) +  $\{(+_n) | n \in \omega\}$ . So  $KPM^+$  is a theory, which guarantees the existence of one recursive Mahlo and of finitely many admissibles above it.

(d) We define  $L_\alpha$ ,  $func(f)$  (for  $f$  is a function)  $dom(f)$ ,  $rng(f)$  (for domain and range of a function) and  $Ord$  (the class of ordinals) as usual.  $M := \bigcup_{\alpha \in Ord \cap ad_M} \alpha$ . In the following  $\alpha, \beta$  always denote ordinals.  $Inacc(\alpha) := \alpha \in Ord \wedge Ad(L_\alpha) \wedge \forall x \in L_\alpha \exists y \in L_\alpha. (Ad(y) \wedge x \in y)$  ( $\alpha$  is an inaccessible).

(e) We define  $\alpha(a)$ ,  $ad(u)$ ,  $\alpha^+(u)$ ,  $Ad_1$ ,  $Ad_2$  as in [Se96b].

(f) In the following in all definitions and lemmata, where we don't mention which part can be done in  $KPM^+$ , the statement and definition can be carried out in  $KPM^+$ .

## 4 Interpretation of terms and types

As in [Se96b], definition 4.1 we define the meaning of  $a_0$ -extended g-terms, -types, b-objects.

**Definition 4.1** (a) *The introductory term constructors are the term constructors  $i, j, p, 0, S, \underline{\mathbf{r}}, \text{sup}, \underline{\mathbf{n}}_V, \underline{\mathbf{n}}_{k,V}$  ( $k \in \omega$ ),  $\tilde{\dagger}_V, \pi_V, \sigma_V, w_V, \tilde{i}_V, u$ .*

(b) *Let  $\rightarrow_{\text{red,imm}_{a_0}}$  or short  $\rightarrow_{\text{red,imm}}$  be the relation between closed  $a_0$ -extended g-terms, having the clauses for  $p_0, p_1, Ap, C_n, D, P, R, A_i$  as in [Se93], definition 5.1 (c), additionally:*

$$\begin{array}{ll} \underline{\mathbf{n}}_{f,g} \xrightarrow{\text{red,imm}} \underline{\mathbf{n}}_V & \underline{\mathbf{n}}_{k,f,g}(r, s) \xrightarrow{\text{red,imm}} \underline{\mathbf{n}}_{k,V} \\ s_{f,g}(r) \xrightarrow{\text{red,imm}} r & \sigma_{f,g}(r, s) \xrightarrow{\text{red,imm}} \sigma_V(r, s) \\ \pi_{f,g}(r, s) \xrightarrow{\text{red,imm}} \pi_V(r, s) & w_{f,g}(r, s) \xrightarrow{\text{red,imm}} w_V(r, s) \\ r \tilde{\dagger}_{f,g} s \xrightarrow{\text{red,imm}} r \tilde{\dagger}_V s & \tilde{i}_{f,g}(r, s, t) \xrightarrow{\text{red,imm}} \tilde{i}_V(r, s, t) \\ \\ \text{Res}0_{(x,y)_{s_0},(x',y',z')_{t_0}}(r, (u)s) \xrightarrow{\text{red,imm}} s_0[x := r, y := \lambda u.s] \\ \text{Res}1_{(x,y)_{s_0},(x',y',z')_{t_0}}(r, (u)s, t) \xrightarrow{\text{red,imm}} t_0[x' := r, y' := \lambda u.s, z' := t] \end{array}$$

(c) *Term<sub>nf</sub>,  $t^{\text{red}}$ ,  $t \rightarrow_{\text{red,imm}} t'$  are defined as in [Se93] definition 5.1*

**Lemma 4.2** *Lemma 5.3 of [Se93], with  $KPi^+$  replaced by  $KPM^+$ , holds.*

**Definition 4.3** (a) *We define  $F^\alpha, \text{Compl}(u), N_k^{\text{basis}}, N_k^{**}, N^{\text{basis}}, N_k^{**}, \Pi^{\text{basis}}, \Pi^*, \Sigma^{\text{basis}}, \Sigma^*, \lambda^*, F_W^{\text{basis}}, F_W, W^*, +^{\text{basis}}, +^*, i^{\text{basis}}, i^*$  as in [Se96b].*

(b)  $u \rightarrow^* v := \Pi^{\text{basis}}(u, \lambda^*(v))$ .

(c) *For  $A$  being a  $\Sigma$ -function,  $n_j \in \omega, i \in \omega$  we define  $A[\vec{x} := \vec{n}]$  as in [Se93], definition 5.7 (where it is written as  $A[\vec{x}/\vec{n}]$ ).*

(d) *In the situation of (c), let  $(z_i)A$  be the  $\Sigma$ -function with the same arguments as  $A$  except  $u_i$  i.e.  $((z_i)A)[\vec{x} := \vec{n}] = \{ \langle u, A[z_i := u, \vec{x} := \vec{n}] \rangle \mid u \in \text{Term}_{Cl} \}$ .*

(e) *Overloading a little bit the notation of (c), we define for  $z \in \text{Var}_{ML}, t$  a set (which should be a function),  $r \in \text{Term}, (z)t(r) := \{ \langle s, t(r[z := s]) \rangle \in \text{Term}_{Cl} \times TC(t) \mid r[z := s] \in \text{dom}(t) \}$ .*

(f) *We define the interpretation of g-types  $A$  as in [Se96b], except that we remove the definition of  $U^*$ , and  $T(t)^*$  and add instead:*

$$\begin{array}{l} \text{lev}(V) := 1, V^* := \hat{V}^M, \\ \text{lev}(T(t)) := 0, (T(t))^*[\vec{x} := \vec{s}] := \hat{T}^M(t[\vec{x} := \vec{s}]), \\ \text{lev}(U_{f,g}) := 0, (U_{f,g})^* := \hat{T}^M(u_{f,g}), \end{array}$$

*where  $\hat{V}^\alpha, \hat{T}^\alpha$  are defined in the next definition.*

*For finitely many g-types this definition can be done in  $KPM^+$ .*

**Definition 4.4** (a)  $Complv(v) := \{ \langle r, r' \rangle \in Term_{Cl} \times Term_{Cl} \mid \exists s, s' \in Term_{nf}. r \rightarrow_{red} s \wedge r' \rightarrow_{red} s' \wedge \langle s, s' \rangle \in v \}$ .  
 $Complt(tv) := \{ \langle s, v \rangle \in Term_{Cl} \times TC(tv) \mid \exists s' \in Term_{Cl}. s \rightarrow_{red} s' \wedge \langle s', v \rangle \in tv \}$ .

(b)  $\tilde{V}_\alpha(fv, tv) := Complv(\tilde{V}_\alpha^{basis}(fv, tv))$ ,  $\tilde{T}_\alpha(fv, tv) := Complt(\tilde{T}_\alpha^{basis}(fv, tv))$ ,  
where

$$\begin{aligned} \tilde{V}_\alpha^{basis}(fv, tv) &:= \{ \langle \underline{n}_V, \underline{n}_V \rangle \} \\ &\cup \{ \langle \underline{n}_{k,V}, \underline{n}_{k,V} \rangle \mid k \in \omega \} \\ &\cup \{ \langle \pi_V x \in r.s, \pi_V x' \in r'.s' \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \phi(r, x, s, r', x', s', fv(\alpha), tv) \} \\ &\cup \{ \langle \sigma_V x \in r.s, \sigma_V x' \in r'.s' \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \phi(r, x, s, r', x', s', fv(\alpha), tv) \} \\ &\cup \{ \langle w_V x \in r.s, w_V x' \in r'.s' \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \phi(r, x, s, r', x', s', fv(\alpha), tv) \} \\ &\cup \{ \langle r \tilde{\vdash}_V s, r' \tilde{\vdash}_V s' \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \mid \langle r, r' \rangle, \langle s, s' \rangle \in fv(\alpha) \} \\ &\cup \{ \langle \tilde{i}_V(r, s, t), \tilde{i}_V(r', s', t') \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \mid \langle r, r' \rangle \in fv(\alpha) \wedge \langle s, s' \rangle, \langle t, t' \rangle \in tv(r) \} \\ &\cup \{ \langle u_{(x,y)s,(x_0,y_0,z)t}, u_{(x',y')s',(x'_0,y'_0,z')t'} \rangle \in Term_{nf} \times Term_{nf} \mid \\ &\quad \mid \psi(x, y, s, x_0, y_0, z, t, x', y', s', x'_0, y'_0, z', t', \beta, \alpha + 1, fv, tv) \}, \end{aligned}$$

$$\begin{aligned} \tilde{T}_\alpha^{basis}(fv, tv) &:= \{ \langle \underline{n}_V, N^{**} \rangle \} \\ &\cup \{ \langle \underline{n}_{k,V}, N_k^{**} \rangle \mid k \in \omega \} \\ &\cup \{ \langle \pi_V x \in r.s, \Pi^*(tv(r), (x)tv(s)) \rangle \in Term_{nf} \times ad(fv \cup tv) \mid \\ &\quad \phi(r, x, s, r, x, s, fv(\alpha), tv) \} \\ &\cup \{ \langle \sigma_V x \in r.s, \Sigma^*(tv(r), (x)tv(s)) \rangle \in Term_{nf} \times ad(fv \cup tv) \mid \\ &\quad \phi(r, x, s, r, x, s, fv(\alpha), tv) \} \\ &\cup \{ \langle w_V x \in r.s, W^*(tv(r), (x)tv(s)), \alpha^+(fv \cup tv) \rangle \\ &\quad \in Term_{nf} \times ad(ad(fv \cup tv)) \mid \phi(r, x, s, r, x, s, fv(\alpha), tv) \} \\ &\cup \{ \langle r \tilde{\vdash}_V s, +^*(tv(r), tv(s)) \rangle \in Term_{Cl} \times ad(fv \cup tv) \mid \\ &\quad \langle r, r \rangle, \langle s, s \rangle \in fv(\alpha) \} \\ &\cup \{ \langle \tilde{i}_V(r, s, t), I^*(tv(r), s, t) \rangle \in ad(fv \cup tv) \mid \\ &\quad \langle r, r \rangle \in fv(\alpha) \wedge \langle s, s \rangle, \langle t, t \rangle \in tv(r) \} \\ &\cup \{ \langle u_{(x,y)s,(x_0,y_0,z)t}, fv(\beta) \rangle \in Term_{nf} \times ad(fv \cup tv) \mid \\ &\quad \psi(x, y, s, x_0, y_0, z, t, x, y, s, x_0, y_0, z, t, \beta, \alpha + 1, fv, tv) \} \end{aligned}$$

$$\begin{aligned} \phi(r, x, s, r', x', s', v, tv) &:= \\ &\langle r, r' \rangle \in v \wedge (\forall \langle t, t' \rangle \in tv(r). \langle s[x := t], s'[x' := t'] \rangle \in v) \\ \psi(x, y, s, x_0, y_0, z, t, x', y', s', x'_0, y'_0, z', t', \beta, \alpha, fv, tv) &:= \\ &\psi'(x, y, s, x_0, y_0, z, t, x', y', s', x'_0, y'_0, z', t', \beta, \alpha, fv, tv) \wedge \\ &\forall \beta' < \beta \neg \psi'(x, y, s, x_0, y_0, z, t, x', y', s', x'_0, y'_0, z', t', \beta', \alpha, fv, tv), \\ \text{and} \\ \psi'(x, y, s, x_0, y_0, z, t, x', y', s', x'_0, y'_0, z', t', \beta, \alpha, fv, tv) &:= \end{aligned}$$

$$\begin{aligned}
& \text{Inacc}(L_\beta) \wedge \beta < \alpha \in \text{Ord} \wedge \\
& (\forall < r, r' > \in \text{fv}(\beta). \forall < r_0, r'_0 > \in \text{tv}(r) \rightarrow^* \text{fv}(\beta). \\
& \quad (< s[x := r, y := r_0], s'[x' := r', y' := r'_0] > \in \text{fv}(\beta) \wedge \\
& \quad \forall < s_0, s'_0 > \in \text{tv}(s[x := r, y := r_0]). \\
& \quad < t[x := r, y := r_0, z := s_0], t'[x' := r', y' := r'_0, z := s'_0] > \in \text{fv}(\beta)).
\end{aligned}$$

(c) By simultaneous recursion on  $\alpha \in \text{Ord}$  we define  $\hat{V}^\alpha, \hat{T}^\alpha$ :

$$\begin{aligned}
\hat{V}^0 &:= \hat{T}^0 := \emptyset. \\
\hat{V}^{\alpha+1} &:= \tilde{V}_\alpha(\hat{V}|\alpha, \hat{T}^\alpha), \quad \hat{T}^{\alpha+1} := \tilde{T}_\alpha(\hat{V}|\alpha, \hat{T}^\alpha), \\
&\text{where } \hat{V}|\alpha := \{< \gamma, \hat{V}^\gamma > \mid \gamma \leq \alpha\}. \\
\hat{V}^\lambda &:= \bigcup_{\alpha < \lambda} \hat{V}^\alpha, \quad \hat{T}^\lambda := \bigcup_{\alpha < \lambda} \hat{T}^\alpha \text{ for } \lambda \text{ limit ordinal.}
\end{aligned}$$

We verify that similar properties as in 6.1 - 6.17 of [Se93] hold and assume the same definitions as there. Additionally we have

**Lemma 4.5**  $\forall \alpha \in M.U \uparrow (\alpha + 1), T^\alpha \in L_M$ .

## 5 Main Lemma

**Lemma 5.1 (Main lemma)**

Let  $\Gamma, \Delta$  be  $g$ -context-pieces,  $x, x_i \in \text{Var}_{ML}$ ,  $A_i, A, B$   $g$ -types,  $t, t'$   $g$ -terms,  $\theta$  a  $g$ -judgement. Assume  $\Gamma = x_1 : A_1, \dots, x_n : A_n$ .

(a) If  $\text{TTM} \vdash \Gamma \Rightarrow t = t' : A$ , then

$$(i) \text{KPM}^+ \vdash \forall \Gamma = (\vec{r}; \vec{s}). (t = t' : A)^* [\vec{x}/\vec{r}; \vec{s}].$$

$$(ii) \text{KPM}^+ \vdash \forall \Gamma = (\vec{r}; \vec{s}). (A = A)^* [\vec{x}/\vec{r}; \vec{s}].$$

(b) If  $\text{TTM} \vdash \Gamma \Rightarrow A = A'$ , then

$$\text{KPM}^+ \vdash \forall \Gamma = (\vec{r}; \vec{s}). (A = A')^* [\vec{x}/\vec{r}; \vec{s}].$$

(c) If  $\text{TTM} \vdash \Gamma, x : A, \Delta \Rightarrow \theta$ , then

$$\text{KPM}^+ \vdash \forall \Gamma = (\vec{r}; \vec{s}). (A = A)^* [\vec{x}/\vec{r}; \vec{s}].$$

**Proof:** As lemma 6.18 in [Se93], with changes for the new rules. Because of lack of space, we only give hints for some rules and treat the rule  $(u_I)$  carefully. For simplicity we assume for this rule that the context  $\Gamma$  is empty.

Assume  $\alpha < M$ . If  $< r, r' > \in \hat{V}^\alpha$ ,  $< s, s' > \in \hat{T}^\alpha(s) \rightarrow^* \hat{V}^\alpha$ , then  $< r, r' > \in V^*$ ,  $< s, s' > \in (T(s) \rightarrow V)^*$ , by IH therefore  $< s_0[x := r, y := s], s'_0[x := r', y := s'] > \in V^\beta$  for some  $\alpha < \beta < M$ . By  $(\Delta_0 - \text{Coll})^M$  there exists  $\alpha < h_0(\alpha) < M$  such that all  $\beta$  can be chosen to be less than  $h_0(\alpha)$ .



If  $\langle t, t' \rangle \in \hat{T}^{h_0(\alpha)}(s_0[x := r, y := s])$ , then  $\langle t_0[x := r, y := s, z := t], t'_0[x := r', y := s', z := t'] \rangle \in \hat{V}^\gamma$  for some  $\gamma$ , again by the collection-axiom we can find some  $h_0(\alpha) < h_1(\alpha) < M$  such that we can bound the  $\gamma$  as before by  $h_1(\alpha)$ .

Further we can find  $h_1(\alpha) < h_2(\alpha) < M$  such that  $Ad(h_2(\alpha))$ .

Since  $M$  is Mahlo, we can find some  $\beta < M$  s.t.  $Ad(\beta), \forall \alpha < \beta. h_2(\alpha) < \beta$ .

Therefore  $Inacc(\beta)$  and  $\beta$  fulfils  $\psi'(x, y, s_0, x, y, z, t_0, x, y, s'_0, x, y, z, t'_0, \beta, \beta + 1, \hat{U}|\beta, \hat{T}^\beta)$ , therefore with the minimal such  $\beta'$  we have  $\psi(x, y, s_0, x, y, z, t_0, x, y, s'_0, x, y, z, t'_0, \beta', \beta + 1, \hat{U}|\beta, \hat{T}^\beta)$ , and therefore  $\langle u_{(x,y)s_0, (x,y,z)t_0}, u_{(x,y)s'_0, (x,y,z)t'_0} \rangle \in \hat{V}^{\beta+1} \subset \hat{V}^M$ .

The other rules are easy, since  $U_{(x,y)r, (x,y,z)s}^* = (T(u_{(x,y)r, (x,y,z)s}))^* = V^\beta$  (if the assumptions as for the rule  $u_I$  are fulfilled) for some inaccessible  $\beta$ , therefore has (as in the construction of  $U^*$  in [Se93]) the closure properties of a universe, and by our reduction rules we identify  $\pi_V$  and  $\pi_{f,g}$ , the same for the other type constructors, omit  $s_{f,g}$  and evaluate  $Res0_{f,g}, Res1_{f,g}$ .

A more detailed proof will be given in a forthcoming paper.

## 6 Results

**Lemma 6.1**  $|KPM^+| \leq \psi_{\Omega_1}(\Omega_{M+\omega})$ .

**Proof:** Adapt [Ra91] or [Bu93] as in similar to the arguments for  $KPi^+$  in the proof of theorem 7.8 in [Se93].

**Remark:** We write  $\leq$  instead of  $=$  in 6.1 only, because we haven't seen a well-ordering proof for  $KPM$  yet (which could then be lifted to  $KPM^+$ ) although such a proof probably exists. However the sequence (which is an unnecessary detour)  $|TTM| \leq |KPM^+| \leq \psi_{\Omega_1}(\Omega_{M+\omega}) \leq |TTM|$  shows anyway, that  $=$  holds.

**Lemma 6.2** *Assume  $\phi$  is a  $\Pi_1^1$ -formula. Let  $\hat{\phi}$  be the standard interpretation of  $\phi$  in type theory and  $\tilde{\phi}$  its interpretation in  $KPM^+$  as in [Se96b] (with the power set of the natural numbers restricted to sets in  $Ad_1$ ). Then, if  $TTM \vdash s : \hat{\phi}$ , follows  $KPM^+ \vdash \tilde{\phi}$ .*

**Proof:** By modifying the interpretation slightly by taking in the above proof the corresponding definitions and proofs of [Se96b] instead of those in [Se93] and then as in [Se93].

**Theorem 6.3**  $|TTM| \leq \psi_{\Omega_1}(\Omega_{M+\omega})$ .

**Proof:** as in [Se96b].

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