

# CS\_275 Automata and Formal Language Theory

Course Notes

Part II: The Recognition Problem (II)

Additional Material

(This material is no longer taught and not exam relevant)

Sect II.2.: Basics of Regular Languages and Expressions

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<http://www.cs.swan.ac.uk/~csetzer/lectures/automataFormalLanguage/current/index.html>

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Sect. II.2. (Additional Material)

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II.2.1. Regular Languages (12.2)

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II.2.2. Regular Expressions (13.8)

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Sect. II.2.1.

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II.2.1. Regular Languages (12.2)

II.2.2. Regular Expressions (13.8)

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Sect. II.2. (Additional Material)

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II.2.1. Regular Languages (12.2)

## Proof of Lemma II.2.1.2.

### Lemma (II.2.1.2.)

1. Assume a grammar  $G$  which has only productions of the form

$$A \rightarrow Bw \text{ or } A \rightarrow w$$

for some  $w \in T^*$ ,  $A, B \in N$ . Then  $L(G) = L(G')$  for some left-linear grammar  $G'$ , which can be computed from  $G$ .

2. Assume a grammar  $G$  which has only productions of the form

$$A \rightarrow wB \text{ or } A \rightarrow w$$

for some  $w \in T^*$ ,  $A, B \in N$ . Then  $L(G) = L(G')$  for some right-linear grammar  $G'$ , which can be computed from  $G$ .

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Sect. II.2.1.

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## Proof Step 1

Let  $G'$  be the result of applying Step 1 to the grammar as described in the lecture.

Then one can easily see that for  $w \in T^*$

$$S \Rightarrow_G^* w \text{ iff } S \Rightarrow_{G'}^* w$$

## Proof of Lemma II.2.1.3.

## Lemma (II.2.1.3.)

1. Assume a grammar  $G$  which has only productions of the form

$$A \longrightarrow Bw \text{ or } A \longrightarrow w'$$

for some  $w \in T^+$ ,  $w' \in T^*$ ,  $A, B \in N$ . Then  $L(G) = L(G')$  for some left-linear grammar  $G'$ , and  $G'$  can effectively be computed from  $G$ .

2. Assume a grammar  $G$  which has only productions of the form

$$A \longrightarrow wB \text{ or } A \longrightarrow w'$$

for some  $w \in T^+$ ,  $w' \in T^*$ ,  $A, B \in N$ . Then  $L(G) = L(G')$  for some right-linear grammar  $G'$ , and  $G'$  can effectively be computed from  $G$ .

## End of Proof of II.2.1.2.

We have now obtained a grammar which doesn't contain silent productions of the form  $A \longrightarrow B$  for nonterminals  $A, B$ .

The following lemma shows that such languages are definable by left-linear or right-linear grammars.

## Proof of Lemma II.2.1.3.

- ▶ In (2) replace
  - ▶ Productions  $A \longrightarrow a_1 a_2 \cdots a_n B$  with  $n \geq 2$  by  $A \longrightarrow a_1 A_1$ ,  $A_1 \longrightarrow a_2 A_2, \dots, A_{n-1} \longrightarrow a_n B$  for some new nonterminals  $A_i$ .
  - ▶ Productions  $A \longrightarrow a_1 a_2 \cdots a_n$  with  $n \geq 2$  by  $A \longrightarrow a_1 A_1$ ,  $A_1 \longrightarrow a_2 A_2, \dots, A_{n-1} \longrightarrow a_n$  for some new nonterminals  $A_i$ .
- ▶ (1) is proved similarly.

## Derivations in Regular Grammars

## Theorem

(a) Let  $G = (N, T, S, P)$  be a left-linear grammar,  $A \in N$ ,  $w \in (N \cup T)^*$ ,  $A \Rightarrow^* w$ .

Then the derivation of  $A \Rightarrow^* w$  is

$$A \Rightarrow A_1 a_1 \Rightarrow A_2 a_2 a_1 \Rightarrow \cdots \Rightarrow A_n a_n \cdots a_2 a_1 = w \quad (1)$$

$$\text{or } A \Rightarrow A_1 a_1 \Rightarrow A_2 a_2 a_1 \Rightarrow \cdots \Rightarrow A_n a_n \cdots a_2 a_1 \quad (2)$$

$$\Rightarrow a_{n+1} a_n \cdots a_2 a_1 = w$$

$$\text{or } A \Rightarrow A_1 a_1 \Rightarrow A_2 a_2 a_1 \Rightarrow \cdots \Rightarrow A_n a_n \cdots a_2 a_1 \quad (3)$$

$$\Rightarrow a_n \cdots a_2 a_1 = w$$

for productions

- ▶  $A_i \rightarrow A_{i+1} a_{i+1}$  (in (1) - (3)),
- ▶  $A_n \rightarrow a_{n+1}$  (in (2))
- ▶  $A_n \rightarrow \epsilon$  (in (3))

## Proof

The above are the only derivations possible.

## Derivations in Regular Grammars

## Theorem

(b) Let  $G = (N, T, S, P)$  be a right-linear grammar,  $A \in N$ ,  $w \in (N \cup T)^*$ ,  $A \Rightarrow^* w$ .

Then the derivation of  $A \Rightarrow^* w$  is

$$A \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow \cdots \Rightarrow a_1 a_2 \cdots a_n A_n = w \quad (1)$$

$$\text{or } A \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow \cdots \Rightarrow a_1 a_2 \cdots a_n A_n \quad (2)$$

$$\Rightarrow a_1 a_2 \cdots a_n a_{n+1} = w$$

$$\text{or } A \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow \cdots \Rightarrow a_1 a_2 \cdots a_n A_n \quad (3)$$

$$\Rightarrow a_1 a_2 \cdots a_n = w$$

for productions

- ▶  $A_i \rightarrow a_{i+1} A_{i+1}$  (in (1) - (3))
- ▶  $A_n \rightarrow a_{n+1}$  (in (2))
- ▶  $A_n \rightarrow \epsilon$  (in (3)).

II.2.1. Regular Languages (12.2)

II.2.2. Regular Expressions (13.8)

## Proof of Lemma II.2.2.1.

## Lemma (II.2.2.1.)

Let  $G, G'$  be both left-linear grammars or both right-linear grammars. Then we can define a left-linear or right-linear grammars  $G_1$  s.t.

1.  $L(G_1) = L(G) \mid L(G')$ ,
2.  $L(G_2) = L(G).L(G')$ ,
3.  $L(G_3) = L(G)^*$ .

These grammars can be computed from  $G$  and  $G'$ .

## Proof of 1.

We define  $G_1$  as follows:

<b>grammar</b>	$G_1$
<b>terminals</b>	$T \cup T'$
<b>nonterminals</b>	$N \cup N' \cup \{S''\}$
<b>start symbol</b>	$S''$
<b>productions</b>	$S'' \rightarrow S$ $S'' \rightarrow S'$ $P$ $P'$

## Proof of Lemma II.2.2.1.

Assume in 1./2./3.

$$G = (T, N, S, P), \quad G' = (T', N', S', P').$$

After renaming of nonterminals we can assume  $N \cap N' = \emptyset$ .

Let  $S''$  be a new symbol not in  $N \cup N' \cup T \cup T'$ .

We define multi-step left/right-linear grammars with those properties, from which one can construct ordinary (one-step) left/right-linear grammars with those properties.

We only carry out the proof for right-linear grammars.

## Proof of 1.

So  $G_1$  has the productions from  $G$  and  $G'$  plus

$$S'' \rightarrow S \text{ and } S'' \rightarrow S'.$$

Derivations in  $G_1$  have the form

$$S'' \Rightarrow S \Rightarrow^* w$$

and

$$S'' \Rightarrow S' \Rightarrow^* w'$$

for derivations

$$S \Rightarrow_G^* w$$

and

$$S' \Rightarrow_{G'}^* w'$$

So for  $w'' \in (T \cup T')^*$  we have

$S'' \Rightarrow_{G_1}^* w''$  iff  $S \Rightarrow_G^* w''$  or  $S' \Rightarrow_{G'}^* w''$ ,  
so  $L(G_1) = L(G) \cup L(G')$ .

## Proof of 2.

We define  $G_2$  as follows:

<b>grammar</b>	$G_2$
<b>terminals</b>	$T \cup T'$
<b>nonterminals</b>	$N \cup N'$
<b>start symbol</b>	$S$
<b>productions</b>	$A \rightarrow aA'$ for $A \rightarrow aA' \in P$ ( $A, A' \in N, a \in T$ ) $A \rightarrow aS'$ for $A \rightarrow a \in P$ ( $A \in N, a \in T$ ) $P'$

## Proof of 2.

Then this is followed by a derivation

$$a_1 a_2 \cdots a_n S' \Rightarrow a_1 a_2 \cdots a_n b_1 B_1 \Rightarrow a_1 a_2 \cdots a_n b_1 b_2 B_2 \Rightarrow \cdots \\ \Rightarrow a_1 a_2 \cdots a_n b_1 b_2 \cdots b_{m-1} B_{m-1} \Rightarrow a_1 a_2 \cdots a_n b_1 b_2 \cdots b_m,$$

for a derivation in  $G'$  of the form

$$S' \Rightarrow b_1 B_1 \Rightarrow b_1 b_2 B_2 \Rightarrow \cdots \\ \Rightarrow b_1 b_2 \cdots b_{m-1} B_{m-1} \Rightarrow b_1 b_2 \cdots b_m$$

Therefore  $S \Rightarrow_{G_2}^* w$  for some  $w \in (T \cup T')^*$  if and only if  $S \Rightarrow_{G_1}^* w'$  and  $S' \Rightarrow_{G_2}^* w''$  for some  $w', w''$  s.t.  $w = ww''$ .  
So  $L(G_2) = L(G).L(G')$ .

## Proof of 2.

So  $G_2$  has

- ▶ the productions from  $G'$ ,
- ▶ the productions of the form  $A \rightarrow aA$  from  $G$  and
- ▶ productions  $A \rightarrow aS'$ , if  $A \rightarrow a$  is a production from  $G$ .

A derivation in  $G_2$  starts with a derivation

$$S \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow a_1 a_2 a_3 A_3 \Rightarrow \cdots \Rightarrow a_1 a_2 \cdots a_{n-1} A_{n-1} \\ \Rightarrow a_1 a_2 \cdots a_n S'$$

for derivations in  $G$  of the form

$$S \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow a_1 a_2 a_3 A_3 \Rightarrow \cdots \Rightarrow a_1 a_2 \cdots a_{n-1} A_{n-1} \\ \Rightarrow a_1 a_2 \cdots a_n.$$

## Proof of 3.

We define  $G_3$  as follows:

<b>grammar</b>	$G_3$
<b>terminals</b>	$T$
<b>nonterminals</b>	$N$
<b>start symbol</b>	$S$
<b>productions</b>	$S \rightarrow \epsilon,$ $A \rightarrow aA'$ for $A \rightarrow aA' \in P$ ( $A, A' \in N, a \in T$ ) $A \rightarrow aS$ for $A \rightarrow a \in P$ ( $A \in N, a \in T$ )

## Proof of 3.

Derivations in  $G_3$  are  $S \Rightarrow \epsilon$  or they start similarly as for concatenation with

$$S \Rightarrow^* wS$$

for a derivation in  $G$

$$S \Rightarrow^* w$$

and  $w \in N^+$ . In the latter case it can continue either (using  $S \rightarrow \epsilon$ ) with  $wS \Rightarrow w$  or with

$$wS \Rightarrow^* ww'S$$

for a derivation in  $G$

$$S \Rightarrow^* w'$$

Again in the latter case we can continue (using  $S \rightarrow \epsilon$ ) with  $ww'S \rightarrow ww'$  or with

$$ww'S \Rightarrow^* ww'w''S$$

for a derivation in  $G$

$$S \Rightarrow^* w''$$

## Proof of Lemma II.2.2.2.

## Lemma (II.2.2.2.)

Let  $E$  be a regular Expression. Then there exist both left-linear and right-linear grammars  $G, G'$  s.t.

$$L(E) = L(G) = L(G')$$

$G$  and  $G'$  can be computed from  $L$ .

Proof: By Lemma II.2.2.1, and the fact that the finite languages  $\emptyset, \{\epsilon\}$  and  $\{a\}$  are regular.

## Proof of 3.

We obtain that in  $G_3$  we have

$$S \Rightarrow^* w$$

if there exist derivations in  $G$  of

- ▶  $S \Rightarrow^* w_1$
- ▶  $S \Rightarrow^* w_2$
- ▶ ...
- ▶  $S \Rightarrow^* w_n$

s.t.  $w = w_1w_2 \cdots w_n$ . So we get

$$L(G_3) = \{w_1w_2 \cdots w_n \mid n \geq 0, w_1, \dots, w_n \in L(G)\} = L(G)^*$$

## Proof of Lemma II.2.2.2.

Induction on the definition of regular expressions.

**Case 1:**  $L = \emptyset, \epsilon, a$

(where  $a \in T$ ). Then  $L$  is finite, therefore definable by a left/right-linear grammar.

**Case 2:**  $L = (L_1) \mid (L_2)$  or  $L = (L_1)(L_2)$  or  $L = (L_1)^*$ . By IH  $L_i$  are defined by left/right-linear grammars  $G_i$ . By Lemma II.2.2.1. it follows that  $L$  can be defined by a left/right-linear grammar.