

# Extraction of Programs from Proofs using Postulated Axioms

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1. Agda in 5 Slides

2. Real Number Computations in Agda

3. Theory of Program Extraction

Conclusion

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# Agda

- ▶ Agda is a theorem prover based on Martin-Löf's intuitionistic type theory.
- ▶ Proofs and programs are treated the same:

$$n : \mathbb{N}$$
$$n = \text{exp } 5 \ 20$$
$$p : A \wedge B$$
$$p = \langle \dots, \dots \rangle$$

- ▶ For historic reasons **types** denoted by keyword **Set**.
- ▶ 3 main constructs:
  - ▶ dependent function types,
  - ▶ algebraic data types,
  - ▶ coalgebraic data types.

# Dependent Function Types



$$(x : A) \rightarrow B$$

type of functions mapping  $a : A$  to an element of type  $B[x := a]$ .



E.g.

$\text{matmult} : (n\ m\ k : \mathbb{N}) \rightarrow \text{Mat}(n, m) \rightarrow \text{Mat}(m, k) \rightarrow \text{Mat}(n, k)$   
 $\text{matmult } n\ m\ k\ A\ B = \dots$

# Algebraic data types

```
data ℕ : Set
  zero  : ℕ
  succ  : ℕ → ℕ
```

Functions defined by pattern matching

```
f : ℕ → ℕ
f   zero      = 5
f   (suc zero) = 12
f (suc (suc n )) = (f n) * 20
```

# Coalgebraic data types

Syntax as I would like it to be:

```
coalg Stream : Set where
  head  : Stream → ℕ
  tail  : Stream → Stream
```

```
inc : ℕ → Stream
head (inc n) = n
tail (inc n) = inc (n + 1)
```

# Further Elements of Agda

- ▶ Postulated functions (functions without a definition)

postulate false :  $\perp$

- ▶ Hidden arguments

cons : {X : Set} → X → List X → List X

l : List ℕ

l = cons 0 nil



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# Program Extraction in Agda

- ▶ Question by Ulrich Berger:  
Can you extract programs from proofs in Agda?
- ▶ Obvious because of Axiom of Choice?

From

$$p : (x : A) \rightarrow \exists [y : B] \varphi(y)$$

we get of course

$$f = \lambda x. \pi_0(f \ x) : A \rightarrow B$$

$$p = \lambda x. \pi_1(f \ x) : (x : A) \rightarrow \varphi(f \ x)$$

- ▶ However what happens in the presence of axioms?

# Abstract Real Numbers

- Approach of Ulrich Berger transferred to Agda:  
Axiomatize the real numbers abstractly. E.g.

```

postulate  $\mathbb{R}$            : Set
postulate  $_ == _$          :  $\mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Set}$ 
postulate  $_ + _$          :  $\mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathbb{R}$ 
postulate commutative   :  $(r\ s : \mathbb{R}) \rightarrow r + s == s + r$ 
...

```

# Computational Numbers

- Formulate  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$  as standard computational data types.

data  $\mathbb{N}$  : Set where

zero :  $\mathbb{N}$

suc :  $\mathbb{N} \rightarrow \mathbb{N}$

$_ + _$  :  $\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$

$n + \text{zero} = n$

$n + \text{suc } m = \text{suc } (n + m)$

$_ * _$  :  $\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$

...

data  $\mathbb{Z}$  : Set where

...

data  $\mathbb{Q}$  : Set where

# Embedding of $\mathbb{N}$ , $\mathbb{Z}$ , $\mathbb{Q}$ into $\mathbb{R}$

- ▶ Embed  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{Q}$  into  $\mathbb{R}$ :

$$\mathbb{N}2\mathbb{R} : \mathbb{N} \rightarrow \mathbb{R}$$

$$\mathbb{N}2\mathbb{R} \text{ zero} = 0_{\mathbb{R}}$$

$$\mathbb{N}2\mathbb{R} (\text{suc } n) = \mathbb{N}2\mathbb{R} n +_{\mathbb{R}} 1_{\mathbb{R}}$$

$$\mathbb{Z}2\mathbb{R} : \mathbb{Z} \rightarrow \mathbb{R}$$

...

$$\mathbb{Q}2\mathbb{R} : \mathbb{Q} \rightarrow \mathbb{R}$$

...

- ▶ We obtain a link between computational types and the postulated type  $\mathbb{R}$ :

## Cauchy Reals

```

data CauchyReal (r : ℝ) : Set where
  cauchyReal : (f : ℚ+ → ℚ)
    → ((q : ℚ+) → |ℚ2ℝ (f q) -ℝ r|ℝ <ℝ ℚ+2ℝ r)
    → CauchyReal r

```

# Program Extraction for Cauchy Reals

- ▶ Show `CauchyReal` closed under certain operations:

$$\begin{aligned} \text{lemma} : (r\ s : \mathbb{R}) \rightarrow \text{CauchyReal } r \rightarrow \text{CauchyReal } s \\ \rightarrow \text{CauchyReal } (r *_{\mathbb{R}} s) \end{aligned}$$

- ▶ Extract from Cauchy Reals their approximations:

$$\text{extract} : \{r : \mathbb{R}\} \rightarrow \text{CauchyReal } r \rightarrow \mathbb{Q}^+ \rightarrow \mathbb{Q}$$

- ▶ If we have  $r : \mathbb{R}$  and  $p : \text{CauchyReal } r$ , then for  $q : \mathbb{Q}^+$

$$\text{extract } p\ q : \mathbb{Q}$$

is an approximation of  $r$  up to  $q$ . Can be computed in Agda.

# Signed Digit Representations

- ▶ We can consider as well the real numbers with signed digit representations.
- ▶ Signed digit representable real numbers in  $[-1, 1]$  are of the form

$$0.111(-1)0(-1)01(-1)\dots$$



# Coalgebraic Definition of Signed Digit Real Numbers (SD)

data Digit : Set where

$-1_d$   $0_d$   $1_d$  : Digit

coalg SD :  $\mathbb{R} \rightarrow$  Set where

$\in[-1, 1]$  :  $\{r : \mathbb{R}\} \rightarrow$  SD  $r \rightarrow r \in_{\mathbb{R}} [-1, 1]$

digit :  $\{r : \mathbb{R}\} \rightarrow$  SD  $r \rightarrow$  Digit

tail :  $\{r : \mathbb{R}\} \rightarrow (p : \text{SD } r) \rightarrow \text{SD } (2_{\mathbb{R}} *_{\mathbb{R}} r -_{\mathbb{R}} (\text{digit } p))$

Proof of “ $1_{\mathbb{R}} = 0.1_d 1_d 1_d 1_d \dots$ ”

$$\begin{array}{l}
 1_{\text{SD}} : (r : \mathbb{R}) \rightarrow (r ==_{\mathbb{R}} 1_{\mathbb{R}}) \rightarrow \text{SD } r \\
 \in [-1, 1] \quad (1_{\text{SD}} r q) = \dots \\
 \text{digit} \quad (1_{\text{SD}} r q) = 1_d \\
 \text{tail} \quad (1_{\text{SD}} r q) = 1_{\text{SD}} (2_{\mathbb{R}} *_{\mathbb{R}} r -_{\mathbb{R}} 1_{\mathbb{R}}) \dots
 \end{array}$$

Proofs of  $\dots$  can be

- ▶ inferred purely logically from axioms about  $\mathbb{R}$  (using automated theorem proving?)
- ▶ added as postulated axioms.

# Extraction of Programs

- ▶ From

$$p : \text{SD } r$$

one can extract the first  $n$  digits of  $r$ .

- ▶ Show e.g. closure of  $\text{SD}$  under  $\mathbb{Q} \cap [-1, 1]$ ,  $+$ ,  $*$ ,  $\frac{\pi}{10} \dots$
- ▶ Then we extract the first  $n$  digits of any real number formed using these operations.
- ▶ Has been done (excluding  $\frac{\pi}{10}$ ) in Agda.

First 1000 Digits of  $\frac{29}{37} * \frac{29}{3998}$ 

```

C:\find digits>Appendix1.exe
0.000000<-1>010010<-1>00<-1>0<-1>01001000<-1><-1>010<-1>0000010<-1>000<-1>00<-1>
0100000<-1>00110<-1>00<-1>001010<-1>0100<-1>0<-1><-1>0100<-1>00<-1>010000<-1>0
1000<-1><-1>010<-1>00<-1><-1>010<-1>00010110<-1>000101000000<-1>0<-1>0000<-1>00
00<-1>0<-1><-1>010<-1>000<-1>00010<-1>000100100<-1>00<-1>0000<-1>00010000<-1><-1>
>01001010100<-1>000<-1>0<-1>0100100000010010100010<-1>00100<-1>0000<-1>010000110
<-1>00<-1>00<-1>00<-1>00110<-1>00<-1>00<-1>00<-1>0<-1>00<-1>0100000010<-1>00<-1>
0010<-1>00000<-1><-1>00110<-1>001000100<-1>0100<-1>0010<-1>0010<-1>0001000<-1>00
110<-1>00<-1>010000<-1>000100101010010101000<-1>0<-1>000<-1>01000110<-1>00<-1>00
<-1>0<-1>0010010001010<-1>00001010010000<-1>000<-1>000<-1>0<-1>0000101000010<-1>
000100000<-1>00<-1>00110<-1>0010001001000000<-1>0100<-1>000010<-1>00010100001010
00<-1>00100<-1>0000<-1>001010<-1>010<-1>00<-1>00010000010010110<-1>00<-1><-1>010
<-1>0100100<-1>0010100010100<-1><-1>0100<-1>0<-1>001010100100100<-1>01001000<-1>
01000<-1>0<-1>00100000101001001000<-1>0100<-1>00110<-1>00<-1>0000<-1>010010010
0<-1>0<-1>0<-1>0100<-1>01001001000100<-1>00010101010101010<-1>010001000001000<-1>
>0<-1>0<-1>00001000<-1>0<-1>0<-1>0<-1>0<-1>0010010010<-1>00<-1><-1>0010110<-1>00
1001010<-1>010<-1>000<-1>00000100<-1>00<-1>0<-1><-1>010010<-1>000<-1>000<-1><-1>
0100<-1>00<-1>00010<-1>0100<-1>00<-1>000<-1>000<-1>0<-1>000<-1>00<-1>00<-1>0<-1>
0010<-1>0100<-1>0<-1><-1>01000110<-1>00<-1>0<-1>000<-1>010<-1>0010000<-1>000<-1>
010000010100<-1>000001000<-1>00<-1>00010000101000000<-1>0001010<-1>0000<-1>01001
0
C:\find digits>

```

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# Problem with Program Extraction

- ▶ Because of postulates it is not guaranteed that each program reduces to canonical head normal form.
- ▶ Example 1

postulate  $ax : (x : A) \rightarrow B[x] \vee C[x]$

$a : A$

$a = \dots$

$f : B[a] \vee C[a] \rightarrow \mathbb{B}$

$f (\text{inl } x) = \text{tt}$

$f (\text{inr } x) = \text{ff}$

$f (ax \ a)$  in Normal form, doesn't start with a constructor

- ▶ Axioms with computational content should not be allowed.

## Example 2

postulate ax :  $A \wedge B$

$f : A \rightarrow B \rightarrow \mathbb{B}$

$f\ a\ b = \dots$

$g : A \wedge B \rightarrow \mathbb{B}$

$g\ \langle a, b \rangle = f\ a\ b$

$g$  ax in normal form doesn't start with a constructor

- ▶ Problem actually occurred.
- ▶ Axioms with result type algebraic data types are not allowed.

## Example 3

 $r0 : \mathbb{R}$  $r0 = 1_{\mathbb{R}}$  $r1 : \mathbb{R}$  $r1 = 1_{\mathbb{R}} +_{\mathbb{R}} 0_{\mathbb{R}}$ postulate ax :  $r0 == r1$



postulate ax :  $r0 == r1$

transfer :  $(r s : \mathbb{R}) \rightarrow r == s \rightarrow \text{SD } r \rightarrow \text{SD } s$

transfer  $r r$  refl  $p = p$

firstdigit :  $(r : \mathbb{R}) \rightarrow \text{SD } r \rightarrow \text{Digit}$

firstdigit  $r a = \dots$

$p : \text{SD } r_0$

$p = \dots$

$q : \text{SD } r_1$

$q = \text{transfer } r_0 r_1 \text{ ax}$

$q' : \text{Digit}$

$q' = \text{firstdigit } r_1 q$

NF of  $q'$  doesn't start with a constructor

Problem actually occurred.

# Main Restriction

- ▶ If  $A$  is a postulated constant then either
  - ▶  $A : (x_1 : B_1) \rightarrow \cdots \rightarrow (x_n : B_n) \rightarrow \text{Set}$  or
  - ▶  $A : (x_1 : B_1) \rightarrow \cdots \rightarrow (x_n : B_n) \rightarrow A' t_1 \cdots t_n$  where  $A'$  is a postulated constant.
- ▶ Essentially: postulated constants have result type a postulated type.

# Theorem

- ▶ Assume some healthy conditions (e.g. strong normalisation, confluence, elements starting with different constructors are different).
- ▶ Assume no record types or indexed inductive definitions are used (probably can be removed).
- ▶ Assume **result type of postulated axioms is always a postulated type**.
- ▶ Then every closed term in normal form which is an element of an algebraic data type is in **canonical normal form** (starts with a constructor).

# Proof Assuming Simple Pattern Matching

- ▶ Assume  $t : A$ ,  $t$  closed in normal form,  $A$  algebraic data type.
- ▶ Show by induction on  $\text{length}(t)$  that  $t$  starts with a constructor:
  - ▶ We have  $t = f t_1 \cdots t_n$ ,  $f$  function symbol or constructor.
  - ▶  $f$  cannot be postulated or directly defined.
  - ▶ If  $f$  is defined by pattern matching on say  $t_j$ .
    - ▶ By IH  $t_j$  starts with a constructor.
    - ▶  $t$  has a reduction, wasn't in NF
  - ▶ So  $f$  is a constructor.

# Reduction of Nested Pattern Matching to Simple Pattern Matching

Difficult proof in the thesis of Chi Ming Chuang.

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- ▶ If result types of postulated constants are postulated types, then closed elements of algebraic types evaluate to constructor normal form.
- ▶ Reduces the need burden of proofs while programming (by postulating axioms or proving them using ATP).
- ▶ Axiomatic treatment of  $\mathbb{R}$ .
- ▶ Program extraction for proofs with real number computations works very well.
- ▶ Applications to programming with dependent types in general. and totality.