

Schemata for Proofs by Coinduction

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Happy Birthday



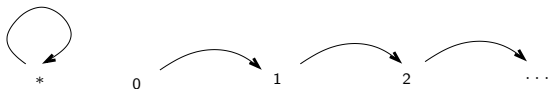
(Co)Iteration – (Co)Recursion – (Co)Induction

Schemata for Corecursive Definitions and Coinductive Proofs

\mathbb{N}^∞ , CoEven, CoOdd

Desired Coinductive Proof

- ▶ We want to have coinductive proof which are similar to inductive proofs
- ▶ Consider an unlabelled Transition system:



- ▶ A proof of $\forall n \in \mathbb{N}. * \sim n$ by coinduction could be as follows:
 - ▶ We show $\forall n \in \mathbb{N}. * \sim n$ by coinduction on \sim .
 - ▶ Assume $* \longrightarrow x$. We need to find y s.t. $n \longrightarrow y$ and $x \sim y$. Choose $y = n + 1$. By **co-IH** $* \sim n + 1$.
 - ▶ Assume $n \longrightarrow y$. We need to find x s.t. $* \longrightarrow x$ and $x \sim y$. Choose $x = *$. By **co-IH** $* \sim n + 1$.
- ▶ In essence same proof, but hopefully easier to teach and use.

Introduction/Elimination of Inductive/Coinductive Sets

- ▶ Introduction rules for the **inductive set** of natural numbers means that we have

$$\begin{aligned} 0 &\in \mathbb{N} \\ S &: \mathbb{N} \rightarrow \mathbb{N} \end{aligned}$$

so we have an \mathbb{N} -algebra

$$(\mathbb{N}, 0, S) \in (X \in \text{Set}) \times X \times (X \rightarrow X)$$

- ▶ Dually, **coinductive sets** are given by their elimination rules i.e. by **observations** or **eliminators**.

As an example we consider Stream:

$$\begin{aligned} \text{head} &: \text{Stream} \rightarrow \mathbb{N} \\ \text{tail} &: \text{Stream} \rightarrow \text{Stream} \end{aligned}$$

We obtain a Stream-coalgebra

$$(\text{Stream}, \text{head}, \text{tail}) \in (X \in \text{Set}) \times (X \rightarrow \mathbb{N}) \times (X \rightarrow X)$$

Unique Iteration

- ▶ That $(\mathbb{N}, 0, S)$ are minimal can be given by:

- ▶ Assume another \mathbb{N} -algebra (X, z, s) , i.e.

$$\begin{aligned} z &\in X \\ s &: X \rightarrow X \end{aligned}$$

- ▶ Then there exist a **unique homomorphism** $g : (\mathbb{N}, 0, S) \rightarrow (X, z, s)$, i.e.

$$\begin{aligned} g : \mathbb{N} &\rightarrow X \\ g(0) &= z \\ g(S(n)) &= s(g(n)) \end{aligned}$$

- ▶ This is the same as saying \mathbb{N} is an initial $F_{\mathbb{N}}$ -algebra.
- ▶ This means we can define uniquely

$$\begin{aligned} g : \mathbb{N} &\rightarrow X \\ g(0) &= x \quad \text{for some } x \in X \\ g(S(n)) &= x' \quad \text{for some } x' \in X \text{ depending on } g(n) \end{aligned}$$

- ▶ This is the principle of **unique iteration**.
- ▶ Definition by **pattern matching**.

Unique Coiteration

- ▶ Dually, that $(\text{Stream}, \text{head}, \text{tail})$ is maximal can be given by:
 - ▶ Assume another Stream-coalgebra (X, h, t) :

$$h : X \rightarrow \mathbb{N}$$

$$t : X \rightarrow X$$

- ▶ Then there exist a **unique homomorphism** $g : (X, h, t) \rightarrow (\text{Stream}, \text{head}, \text{tail})$, i.e.:

$$g : X \rightarrow \text{Stream}$$

$$\text{head}(g(x)) = h(x)$$

$$\text{tail}(g(x)) = g(t(x))$$

- ▶ Means we can define uniquely

$$g : X \rightarrow \text{Stream}$$

$$\text{head}(g(x)) = n \quad \text{for some } n \in \mathbb{N} \text{ depending on } x$$

$$\text{tail}(g(x)) = g(x') \quad \text{for some } x' \in X \text{ depending on } x$$

This is the principle of **unique coiteration**.

- ▶ Definition by **copattern matching**.

Unique Primitive (Co)Recursion

- ▶ From unique iteration for \mathbb{N} we can derive the principle of **unique primitive recursion**:

- ▶ We can define uniquely

$$\begin{aligned}
 g : \mathbb{N} &\rightarrow X \\
 g(0) &= x \quad \text{for some } x \in X \\
 g(S(n)) &= x' \quad \text{for some } x' \in X \text{ depending on } n, g(n)
 \end{aligned}$$

- ▶ From unique coiteration we can derive the principle of **unique primitive corecursion**:

- ▶ We can define uniquely

$$\begin{aligned}
 g : X &\rightarrow \text{Stream} \\
 \text{head}(g(x)) &= n \text{ for some } n \in \mathbb{N} \text{ depending on } x \\
 \text{tail}(g(x)) &= g(x') \text{ for some } x' \in X \text{ depending on } x \\
 &\text{or} \\
 &= s \text{ for some } s \in \text{Stream} \text{ depending on } x
 \end{aligned}$$

Induction

- ▶ Induction is essentially used to prove uniqueness of iteration and primitive recursion.

Theorem

Let $(\mathbb{N}, 0, S)$ be an \mathbb{N} -algebra. The following is equivalent

- 1. The principle of unique iteration.*
- 2. The principle of unique primitive recursion.*
- 3. The principle of iteration + induction.*
- 4. The principle of primitive recursion + induction.*

Coinduction

- ▶ Uniqueness in coiteration is equivalent to the principle:
Bisimulation implies equality
- ▶ Bisimulation on Stream is the largest relation \sim on Stream s.t.

$$s \sim s' \rightarrow \text{head}(s) = \text{head}(s') \wedge \text{tail}(s) \sim \text{tail}(s')$$

- ▶ Largest can be expressed as \sim being an indexed coinductively defined set.
- ▶ Primitive corecursion over \sim means:

We can prove

$$\forall s, s'. X(s, s') \rightarrow s \sim s'$$

by showing

$$\begin{aligned} X(s, s') &\rightarrow \text{head}(s) = \text{head}(s') \\ X(s, s') &\rightarrow X(\text{tail}(s), \text{tail}(s')) \vee \text{tail}(s) \sim \text{tail}(s') \end{aligned}$$

Schema of Coinduction

- ▶ Combining

- ▶ bisimulation implies equality
- ▶ bisimulation can be shown corecursively

we obtain the following principle of **coinduction**:

- ▶ We can prove

$$\forall s, s'. X(s, s') \rightarrow s = s'$$

by showing

$$\forall s, s'. X(s, s') \rightarrow \text{head}(s) = \text{head}(s')$$

$$\forall s, s'. X(s, s') \rightarrow \text{tail}(s) = \text{tail}(s')$$

where $\text{tail}(s) = \text{tail}(s')$ can be derived

- ▶ directly or
- ▶ from a proof of

$$X(\text{tail}(s), \text{tail}(s'))$$

invoking the **co-induction-hypothesis** (which can be only used directly)

$$X(\text{tail}(s), \text{tail}(s')) \rightarrow \text{tail}(s) = \text{tail}(s')$$

Example

- Define by **primitive corecursion**

$$s \in \text{Stream}$$

$$\text{head}(s) = 0$$

$$\text{tail}(s) = s$$

$$s' : \mathbb{N} \rightarrow \text{Stream}$$

$$\text{head}(s'(n)) = 0$$

$$\text{tail}(s'(n)) = s'(n+1)$$

$$\text{cons} : \mathbb{N} \rightarrow \text{Stream} \rightarrow \text{Stream}$$

$$\text{head}(\text{cons}(n, s)) = n$$

$$\text{tail}(\text{cons}(n, s)) = s$$

- We show $\forall n \in \mathbb{N}. s = s'(n)$ by **coinduction**:
Assume $n \in \mathbb{N}$. $\text{head}(s) = \text{head}(s'(n))$ and $\text{tail}(s) = s = s'(n+1) = \text{tail}(s'(n))$, where $s = s'(n+1)$ follows by the **co-IH**.
- We show $\text{cons}(0, s) = s$ by coinduction:
 $\text{head}(\text{cons}(0, s)) = 0 = \text{head}(s)$ and $\text{tail}(\text{cons}(0, s)) = s = \text{tail}(s)$, where we did not use the co-IH.

Equivalence

Theorem

Let $(\text{Stream}, \text{head}, \text{tail})$ be a Stream-coalgebra. The following is equivalent

- 1. The principle of unique coiteration.*
- 2. The principle of unique primitive corecursion.*
- 3. The principle of coiteration + coinduction*
- 4. The principle of primitive corecursion + coinduction*

Duality

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Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation/Elimination
Iteration	Coiteration
Pattern matching	Copattern matching
Primitive Recursion	Primitive Corecursion
Induction	Coinduction
Induction-Hypothesis	Coinduction-Hypothesis

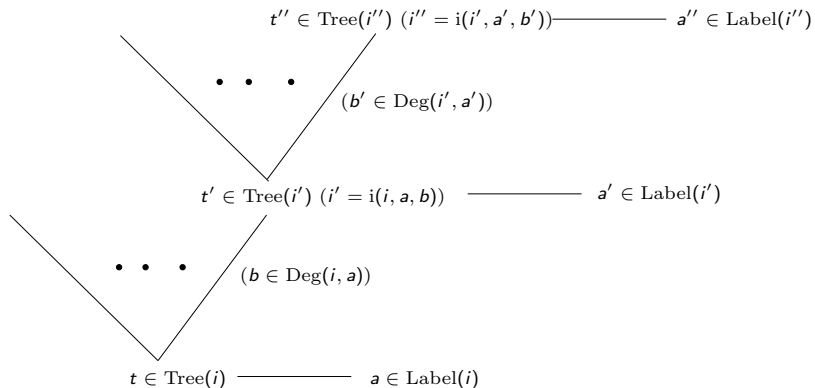
¹This table is essentially due to Peter Hancock.

(Co)Iteration – (Co)Recursion – (Co)Induction

Schemata for Corecursive Definitions and Coinductive Proofs

\mathbb{N}^∞ , CoEven, CoOdd

Generalisation: Petersson-Synek Trees (or Fixed Points of Containers)



Petersson-Synek Trees (PST)

- ▶ Strictly positive inductive definitions can be reduced to the PSTs
- ▶ Inductive PSTs are the data types

data Tree : I \rightarrow Set where

$$\begin{aligned} C : & (((i \in I) \times (a \in \text{Label}(i))) \\ & \times ((b \in \text{Deg}(i, a)) \rightarrow \text{Tree}(j(i, a, b))) \\ & \rightarrow \text{Tree}(i)) \end{aligned}$$

- ▶ Coinductive PSTs are defined follows:

coalg Tree[∞] : I \rightarrow Set where

$$\begin{aligned} \text{label} & : ((i \in I) \times \text{Tree}^\infty(i)) \rightarrow \text{Label}(i) \\ \text{subtree} & : ((i \in I) \times (t \in \text{Tree}^\infty(i))) \\ & \quad \times (b \in \text{Deg}(i, \text{label}(i, t))) \\ & \rightarrow \text{Tree}^\infty(j(i, \text{label}(i, t), b)) \end{aligned}$$

Equivalence of unique (Co)induction, (Co)recursion, (Co)induction

- ▶ The notions of (co)iteration, primitive (co)recursion, (co)induction can be generalised in a straightforward way to PSTs and Co-PSTs.
- ▶ One can show the equivalence of
 - ▶ unique iteration, unique primitive recursion, iteration + induction, primitive recursion + induction
 - ▶ unique coiteration, unique primitive corecursion, coiteration + coinduction, primitive corecursion + coinduction

Schema for Primitive Corecursion

► Consider

coalg $\text{Tree}^\infty : I \rightarrow \text{Set}$ where

label : $((i \in I) \times \text{Tree}^\infty(i)) \rightarrow \text{Label}(i)$

subtree: $((i \in I) \times (t \in \text{Tree}^\infty(i)) \times (b \in \text{Deg}(i, \text{label}(i, t))))$
 $\rightarrow \text{Tree}^\infty(j(i, \text{label}(i, t), b))$

► We can define a function

$f : ((i \in I) \times X(i)) \rightarrow \text{Tree}^\infty(i)$

label($i, f(i, x)$) = $a'(i, x) \in \text{Label}(i)$

subtree($i, f(i, x), b$) = $t'(i, x, b) \in \text{Tree}^\infty(i')$ with $i' := j(i, a', b)$

where $a'(i, x) \in \text{Label}(i)$

and $t'(i, x, b)$ can be defined

- as an element of $\text{Tree}^\infty(i')$ defined before
- or corecursively defined as subtree($i, f(i, x), b$) = $f(i', x')$ for some $x' \in X(i')$.

Here $f(i', x')$ will be called the **corecursion hypothesis**.

Schema for Coinduction

► Assume

$$\begin{aligned}
 J &\in \text{Set} \\
 \hat{i} &: J \rightarrow \mathbf{I} \\
 x_0, x_1 &: (j \in J) \rightarrow \text{Tree}^\infty(\hat{i}(j))
 \end{aligned}$$

We can show $\forall j \in J. x_0(j) = x_0(j')$ coinductively by showing

- $\text{label}(\hat{i}(j), x_0(j))$ and $\text{label}(\hat{i}(j), x_1(j))$ are equal
- and for all b that
 - $\text{subtree}(\hat{i}(j), x_0(j), b)$ and $\text{subtree}(\hat{i}(j), x_1(j), b)$ are equal, where we can use either the fact that
 - this was shown before,
 - or we can use the **coinduction-hypothesis**, which means using the fact
 - $\text{subtree}(\hat{i}(j), x_0(j), b) = x_0(j')$ and $\text{subtree}(\hat{i}(j), x_1(j), b) = x_1(j')$ for some $j' \in J$.

(Co)Iteration – (Co)Recursion – (Co)Induction

Schemata for Corecursive Definitions and Coinductive Proofs

\mathbb{N}^∞ , CoEven, CoOdd

Coinduction over Coinductively Defined Predicates

- ▶ When carrying out proofs over coinductively defined sets, one often proves a predicate which is defined coinductively indexed over the coinductively defined sets.
- ▶ So we have indexed coinductively defined sets, which can be introduced by corecursion.
- ▶ A proof by corecursion can be considered as a proof by coinduction.
- ▶ We consider the example of the co-natural numbers.

\mathbb{N}^∞

$\text{coalg } \mathbb{N}^\infty \in \text{Set}$ where
 $\text{shape} : \mathbb{N}^\infty \rightarrow (0 + S(\mathbb{N}^\infty))$

- ▶ \mathbb{N}^∞ can be reduced to non-indexed PSTs:

$\text{coalg } \mathbb{N}^\infty \in \text{Set}$ where
 $\text{label} : \mathbb{N}^\infty \rightarrow \{0, S\}$
 $\text{subtree} : ((n \in \mathbb{N}^\infty) \times \text{Deg}(\text{label}(n))) \rightarrow \mathbb{N}^\infty$
 where $\text{Deg}(0) = \emptyset$
 $\text{Deg}(S) = \{*\}$

- ▶ Define $+$ by primitive corecursion

$_ + _ : (\mathbb{N}^\infty \times \mathbb{N}^\infty) \rightarrow \mathbb{N}^\infty$
 $\text{shape}(n + m) = \text{case } \text{shape}(m) \text{ of}$

$$\left. \begin{array}{ll} 0 & \longrightarrow \text{shape}(n) \\ S(m') & \longrightarrow S(n + m') \end{array} \right\}$$

CoEven, CoOdd

- ▶ We define simultaneously coinductively

$$\text{CoEven} : \mathbb{N}^\infty \rightarrow \text{Set}$$

$$\text{CoEven}(n) \rightarrow \text{CoEvenCond}(\text{shape}(n))$$

$$\text{CoOdd} : \mathbb{N}^\infty \rightarrow \text{Set}$$

$$\text{CoOdd}(n) \rightarrow \text{CoOddCond}(\text{shape}(n))$$

where

CoEvenCond(0) is true

$$\text{CoEvenCond}(S(m)) = \text{CoOdd}(m)$$

CoOddCond(0) doesn't hold

$$\text{CoOddCond}(S(m)) = \text{CoEven}(m)$$

CoEven, CoOdd as PSTs

- Define CoEven, CoOdd as one PST indexed over

$$I := \{\text{CoEven}, \text{CoOdd}\} \times \mathbb{N}^\infty \times \mathbb{N}^\infty$$

coalg CoEvenOdd : $I \rightarrow \text{Set}$ where

$$\text{label} \quad : \quad ((i \in I) \times \text{CoEvenOdd}(i)) \rightarrow \text{Label}(i)$$

$$\begin{aligned} \text{subtree} \quad : \quad & ((i \in I) \times (p \in \text{CoEvenOdd}(i)) \times \text{Deg}(i, \text{label}(i, p))) \\ & \rightarrow \text{CoEvenOdd}(j(i)) \end{aligned}$$

where

$$\text{Label}(c, n, m) = \begin{cases} \emptyset & \text{if } \text{shape}(m) = 0 \text{ and } c = \text{CoOdd} \\ \{*\} & \text{otherwise} \end{cases}$$

$$\text{Deg}(c, n, m) = \begin{cases} \emptyset & \text{if } \text{shape}(m) = 0 \text{ and } c = \text{CoEven} \\ \{*\} & \text{otherwise} \end{cases}$$

$$j(\text{CoEven}, n, m) = (\text{CoOdd}, n, \text{pred}(m))$$

$$j(\text{CoOdd}, n, m) = (\text{CoEven}, n, \text{pred}(m))$$

Closure of CoEven under +

- ▶ We show simultaneously

$$\forall n, m \in \mathbb{N}^\infty. \text{CoEven}(n) \rightarrow \text{CoEven}(m) \rightarrow \text{CoEven}(n + m)$$

$$\forall n, m \in \mathbb{N}^\infty. \text{CoEven}(n) \rightarrow \text{CoOdd}(m) \rightarrow \text{CoOdd}(n + m)$$

by coinduction on CoEven, CoOdd

- ▶ Assume $n, m, \text{CoEven}(n), \text{CoEven}(m)$.
For showing $\text{CoEven}(n + m)$ we have to show $\text{CoEvenCond}(\text{shape}(n + m))$.
 - ▶ If $\text{shape}(m) = \text{zero}$ then $\text{shape}(n + m) = \text{shape}(n)$ and by $\text{CoEven}(n)$ we have $\text{CoEvenCond}(\text{shape}(n))$.
 - ▶ If $\text{shape}(m) = S(m')$ then $\text{shape}(n + m) = S(n + m')$, $\text{CoEvenCond}(\text{shape}(n + m)) = \text{CoOdd}(n + m')$ which follows by the **colH** and $\text{CoOdd}(m')$.
- ▶ The proof of the second condition follows similarly

Conclusion

- ▶ Coiteration, primitive corecursion, coinduction are the duals of iteration, primitive recursion, induction.
- ▶ In iteration/recursion/induction, the instances of the co-IH used are restricted, but the result can be used in arbitrary functions and formulas.
- ▶ In coiteration/corecursion/coinduction, the instances of the co-IH are unrestricted, but the result can be only used directly.
- ▶ General case of indexed coinductively defined sets can be reduced to co-PSTs.
- ▶ Schemata for primitive corecursion and coinduction.
- ▶ Schemata can be applied to indexed coinductively defined sets and relations.
- ▶ Relations on coinductively defined sets seem to be often coinductively defined indexed relations and can be shown by indexed corecursion.

Happy Birthday

