

The Use of the Coinduction Hypothesis in Coinductive Proofs

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Transfer from Type Theory to Ordinary Mathematics

- ▶ When proving a property $\forall n : \mathbb{N}.\varphi(n)$ we don't use directly that \mathbb{N} is least set closed under 0 and S.

- ▶ We don't define

$$A := \{n \in \mathbb{N} \mid \varphi(n)\}$$

and show that A is closed under 0 and S.

- ▶ Instead we use proofs by induction.

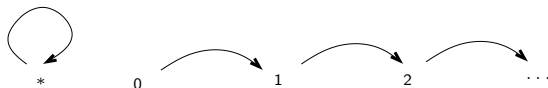
- ▶ Proofs by induction are essentially **recursive proofs** with **restrictions** on the use of the **IH**.

- ▶ **Goal of Talk**

- ▶ Define proofs by coinduction as corecursive proofs with restrictions on the use of the **co-IH**.
 - ▶ Introduce methodology for carrying out proofs in **ordinary mathematics**.
 - ▶ Based on theory of coinductive proofs in type theory.

Desired Coinductive Proof

- ▶ Consider an unlabelled Transition system:



- ▶ Textbook proof:

Define $R := \{(*, n) \mid n \in \mathbb{N}\}$.

Show that R is a bisimulation relation, i.e. closed under elimination.

- ▶ A proof of $\forall n : \mathbb{N}. * \sim n$ by **coinduction** should be as follows:

- ▶ We show $\forall n : \mathbb{N}. * \sim n$ by coinduction on \sim .

- ▶ Assume $* \longrightarrow x$. We need to find y s.t. $n \longrightarrow y$ and $x \sim y$. Choose $y = n + 1$. By **co-IH** $* \sim n + 1$.
- ▶ Assume $n \longrightarrow y$. We need to find x s.t. $* \longrightarrow x$ and $x \sim y$. Choose $x = *$. By **co-IH** $* \sim n + 1$.

- ▶ In essence same proof as textbook proof, but hopefully easier to teach and use.

Iteration

- ▶ \mathbb{N} is defined inductively by the introduction rules

$$\begin{aligned}0 & : \mathbb{N} \\ S & : \mathbb{N} \rightarrow \mathbb{N}\end{aligned}$$

- ▶ So we have an \mathbb{N} -algebra

$$(\mathbb{N}, 0, S) : (X : \text{Set}) \times X \times (X \rightarrow X)$$

- ▶ Minimality of $(\mathbb{N}, 0, S)$ means:
- ▶ Assume another \mathbb{N} -algebra (X, z, s) , i.e.

$$\begin{aligned}z & : X \\ s & : X \rightarrow X\end{aligned}$$

- ▶ Then there exist a **unique homomorphism** $g : (\mathbb{N}, 0, S) \rightarrow (X, z, s)$, i.e.

$$\begin{aligned}g : \mathbb{N} & \rightarrow X \\ g\ 0 & = z \\ g\ (S\ n) & = s\ (g\ n)\end{aligned}$$

Iteration

- ▶ This means we can define uniquely

$$\begin{aligned}g &: \mathbb{N} \rightarrow X \\g \ 0 &= x \quad \text{for some } x : X \\g \ (S \ n) &= x' \quad \text{for some } x' : X \text{ depending on } (g \ n)\end{aligned}$$

- ▶ This is the principle of **unique iteration**.
- ▶ Definition by **pattern matching**.
- ▶ Can be strengthened to principle of **unique primitive recursion**:
- ▶ We can define uniquely

$$\begin{aligned}g &: \mathbb{N} \rightarrow X \\g \ 0 &= x \quad \text{for some } x : X \\g \ (S \ n) &= x' \quad \text{for some } x' : X \text{ depending on } n, g \ n\end{aligned}$$

Coiteration and Primitive Corecursion

- ▶ Dually, **coinductive sets** are given by their elimination rules i.e. by **observations** or **eliminators**. Consider Stream given coinductively by

$$\text{head} : \text{Stream} \rightarrow \mathbb{N}$$

$$\text{tail} : \text{Stream} \rightarrow \text{Stream}$$

We obtain a Stream-coalgebra

$$(\text{Stream}, \text{head}, \text{tail}) : (X : \text{Set}) \times (X \rightarrow \mathbb{N}) \times (X \rightarrow X)$$

- ▶ That $(\text{Stream}, \text{head}, \text{tail})$ is maximal can be given by:
 - ▶ Assume another Stream-coalgebra (X, h, t) :
$$h : X \rightarrow \mathbb{N}$$
$$t : X \rightarrow X$$
 - ▶ Then there exist a **unique homomorphism**
$$g : (X, h, t) \rightarrow (\text{Stream}, \text{head}, \text{tail}), \text{ i.e.:$$

$$g : X \rightarrow \text{Stream}$$

$$\text{head } (g \ x) = h \ x$$

$$\text{tail } (g \ x) = g \ (t \ x)$$

Unique Coiteration

- ▶ Means we can define uniquely

$$g : X \rightarrow \text{Stream}$$

$$\text{head } (g \ x) = n \quad \text{for some } n : \mathbb{N} \text{ depending on } x$$

$$\text{tail } (g \ x) = g \ x' \quad \text{for some } x' : X \text{ depending on } x$$

This is the principle of **unique coiteration**.

- ▶ Definition by **copattern matching**.
- ▶ Can be extended to the principle of **unique primitive corecursion**:
- ▶ We can define uniquely

$$g : X \rightarrow \text{Stream}$$

$$\text{head } (g \ x) = n \quad \text{for some } n : \mathbb{N} \text{ depending on } x$$

$$\text{tail } (g \ x) = g \ x' \quad \text{for some } x' : X \text{ depending on } x$$

or

$$= s \quad \text{for some } s : \text{Stream} \text{ depending on } x$$

Comparison

- ▶ When using iteration the **instances** of g we can use is **restricted**, but we can **apply an arbitrary function to it**.
- ▶ When using coiteration we can **choose any instance a of g** , but **cannot apply** any function to $(g a)$.
- ▶ **Product** used in primitive recursion is **dualised** to **disjoint union** in primitive corecursion.

Induction

- ▶ Induction is in type theory **dependent primitive recursion**.
 - ▶ We don't know how to dualise this because that would require something like “codependent primitive corecursion”.
- ▶ The rôle of induction is to have a principle which is essentially an **introduction principle**, which allows to **prove** the **uniqueness** of the functions defined by iteration and primitive recursion.
- ▶ So we need a proof principle for coinduction which is **introductory** in nature and allows to prove the **uniqueness** of the functions defined by **coiteration** and **primitive corecursion**.
- ▶ **Remark:** Uniqueness means that we have a **final coalgebra**.
 - ▶ Therefore **equality is undecidable**,
 - ▶ **type checking** becomes undecidable.
 - ▶ For **weakly final coalgebras** as e.g. in Agda we need to omit this conditions.

Coinduction

- ▶ Uniqueness in coiteration is equivalent to the principle:
Bisimulation implies equality
- ▶ Bisimulation on Stream is the largest relation \sim on Stream s.t.

$$s \sim s' \rightarrow \text{head } s = \text{head } s' \wedge \text{tail } s \sim \text{tail } s'$$

- ▶ Largest can be expressed as \sim being an **indexed coinductively defined set**.
- ▶ Primitive corecursion over \sim means:
We can prove

$$\forall s, s'. X \ s \ s' \rightarrow s \sim s'$$

by showing the corecursive steps

$$\forall s, s'. X \ s \ s' \rightarrow \text{head } s = \text{head } s'$$

$$\forall s, s'. X \ s \ s' \rightarrow X \ (\text{tail } s) \ (\text{tail } s') \vee \text{tail } s \sim \text{tail } s'$$

Schema of Coinduction

- ▶ Combining

- ▶ bisimulation implies equality
- ▶ bisimulation can be shown corecursively

we obtain the following principle of **coinduction**:

- ▶ We can prove

$$\forall s, s'. X \ s \ s' \rightarrow s = s'$$

by showing

$$\forall s, s'. X \ s \ s' \rightarrow \text{head } s = \text{head } s'$$

$$\forall s, s'. X \ s \ s' \rightarrow \text{tail } s = \text{tail } s'$$

where $\text{tail } s = \text{tail } s'$ can be derived

- ▶ directly or
- ▶ from a proof of

$$X \ (\text{tail } s) \ (\text{tail } s')$$

invoking the **co-induction-hypothesis** (which can be only used directly)

$$X \ (\text{tail } s) \ (\text{tail } s') \rightarrow \text{tail } s = \text{tail } s'$$

Coinduction Hypothesis

- ▶ When using iteration/primitive recursion/induction
 - ▶ there are **restrictions on the instances of the IH** to be used.
 - ▶ in case of iteration/primitive recursion we can **apply arbitrary functions** to the IH
 - ▶ in case of induction can use **use arbitrary reasoning steps** to obtain the statement from the IH.
- ▶ When using coiteration/primitive corecursion/coinduction
 - ▶ there are **no restrictions on the instances of the colH** to be used.
 - ▶ however can **use the colH only directly**.

Example

- Define by **primitive corecursion**

$$s : \text{Stream}$$
$$\text{head } s = 0$$
$$\text{tail } s = s$$
$$\text{cons} : \mathbb{N} \rightarrow \text{Stream} \rightarrow \text{Stream}$$
$$\text{head } (\text{cons } n \ s) = n$$
$$\text{tail } (\text{cons } n \ s) = s$$
$$s' : \mathbb{N} \rightarrow \text{Stream}$$
$$\text{head } (s' \ n) = 0$$
$$\text{tail } (s' \ n) = s' \ (n + 1)$$

- We show $\forall n : \mathbb{N}. s = s' \ n$ by **coinduction**:

$$\text{head } s = 0 = \text{head } (s' \ n)$$
$$\text{tail } s = s \stackrel{\text{co-IH}}{=} s' \ (n + 1) = \text{tail } (s' \ n)$$

- We show $\text{cons } 0 \ s = s$ by **coinduction**:

$$\text{head } (\text{cons } 0 \ s) = 0 = \text{head } s$$
$$\text{tail } (\text{cons } 0 \ s) = s = \text{tail } s \quad (\text{no use of co-IH})$$

Equivalence

- ▶ (Co)iteration, primitive (co)recursion, (co)induction can be generalise to (in the sense of Dybjer/AS) restricted indexed (co)inductively defined sets, which can be reduced to **Petersson Synek Trees (PST)** (= fixed points of **indexed containers**).

Theorem

The following is equivalent for PST-(co)algebras:

1. *The principle of unique (co)iteration.*
2. *The principle of unique primitive (co)recursion.*
3. *The principle of (co)iteration + (co)induction.*
4. *The principle of primitive (co)recursion + (co)induction.*

Duality

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Inductive Definition	Coinductive Definition
Determined by Introduction	Determined by Observation/Elimination
Iteration	Coiteration
Pattern matching	Copattern matching
Primitive Recursion	Primitive Corecursion
Induction	Coinduction
Induction-Hypothesis	Coinduction-Hypothesis

¹This table is essentially due to Peter Hancock.

Coinduction over Coinductively Defined Predicates

- ▶ When carrying out practical proofs of properties of a coinductively defined set I , one often **doesn't prove equalities** (Question by Schwichtenberg at PCC 2015).
- ▶ Instead one proves that a predicate P over the coinductively defined set holds.
- ▶ Such predicates are often defined as an **indexed coinductively defined set**, indexed over I which follow the coinductive definition of I .
 - ▶ Examples are bisimulation (indexed over a pair of elements of I), or the predicate CoEven on \mathbb{N}^∞ (see my PCC 2015 talk)
- ▶ Proofs of such kind of predicates can be done by **primitive corecursion over the indexed coinductively defined set**.
- ▶ A proof by corecursion can be considered as a proof by coinduction.
- ▶ We consider as example the predicate of increasing streams.

Example IncStream

- ▶ Define coinductively

$\text{IncStream} : \text{Stream} \rightarrow \text{Set}$ by

$\forall s : \text{Stream}. \text{IncStream } s \rightarrow \text{head } (\text{tail } s) < \text{head } s$

$\forall s : \text{Stream}. \text{IncStream } s \rightarrow \text{IncStream } (\text{tail } s)$

- ▶ Define $_+\text{str}_ : \text{Stream} \rightarrow \text{Stream} \rightarrow \text{Stream}$ by primitive corecursion:

$\text{head } (s +\text{str } s') = \text{head } s + \text{head } s'$

$\text{tail } (s +\text{str } s') = \text{tail } s +\text{str } \text{head } s'$

- ▶ **Remark:** We deviate from the abstract by defining IncStream as a predicate on Stream rather than a directly defined indexed coinductive set.

Example IncStream

- ▶ We prove

$$\forall s, s'. \text{IncStream } s \rightarrow \text{IncStream } s' \rightarrow \text{IncStream } (s + \text{str } s')$$

by coinduction on $\text{IncStream } (s + \text{str } s')$:

- ▶ We need to show $\text{head } (\text{tail } (s + \text{str } s')) < \text{head } (s + \text{str } s')$:

$$\begin{aligned} \text{head } (\text{tail } (s + \text{str } s')) &= \text{head } (\text{tail } s) + \text{head } (\text{tail } s') \\ &< \text{head } s + \text{head } s' \\ &= \text{head } (s + \text{str } s') \end{aligned}$$

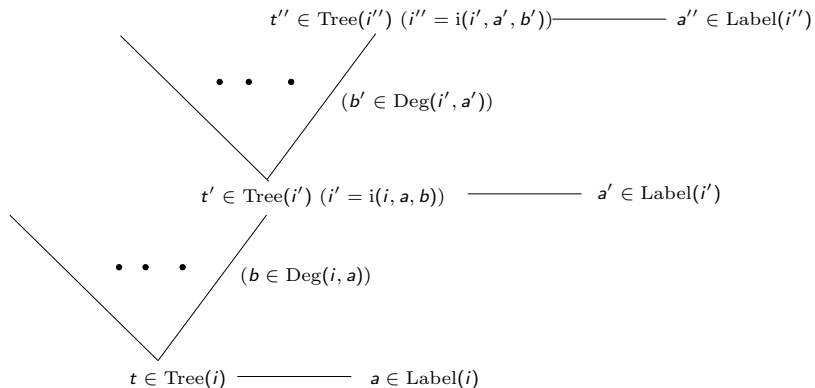
- ▶ We need to show $\text{IncStream } (\text{tail } (s + \text{str } s'))$:

$$\begin{aligned} \text{tail } (s + \text{str } s') &= \text{tail } s + \text{str } \text{tail } s' \\ \text{by co-IH } &\text{IncStream } (\text{tail } s + \text{str } \text{tail } s') \\ \text{therefore } &\text{IncStream } (\text{tail } (s + \text{str } s')) \end{aligned}$$

Conclusion

- ▶ Coiteration, primitive corecursion, coinduction are the duals of iteration, primitive recursion, induction.
- ▶ Coinduction is primitive corecursion over indexed coinductively defined sets
 - ▶ In case of bisimulation we obtain equality for final coalgebras.
- ▶ In iteration/primitive recursion/induction, the instances of the IH used are restricted, but the result can be used in arbitrary functions and formulas.
- ▶ In coiteration/primitive corecursion/coinduction, the instances of the IH used are unrestricted, but the result can be only be used directly.

Generalisation: Petersson-Synek Trees (or Fixed Points of Containers)



Petersson-Synek Trees (PST)

- ▶ Strictly positive inductive definitions can be reduced to the PSTs
- ▶ Inductive PSTs are the data types

data Tree : I → Set where
 C : (i : I)
 → (a : Label i)
 → ((b : Deg i a) → Tree (j i a b))
 → Tree i

- ▶ Coinductive PSTs are defined follows:

coalg Tree[∞] : I → Set where
 label : (i : I) → Tree[∞] i → Label i
 subtree : (i : I)
 → (t : Tree[∞] i)
 → (b : Deg i (label i t))
 → Tree[∞] (j i (label i t) b)

Schema for Primitive Corecursion

► Consider

coalg $\text{Tree}^\infty : I \rightarrow \text{Set}$ where

label : $(i : I) \rightarrow \text{Tree}^\infty i \rightarrow \text{Label } i$

subtree: $(i : I) \rightarrow (t : \text{Tree}^\infty i) \rightarrow (b : \text{Deg } i (\text{label } i t))$
 $\rightarrow \text{Tree}^\infty (j i (\text{label } i t) b)$

► We can define a function

$f : (i : I) \rightarrow X i \rightarrow \text{Tree}^\infty i$

label $i (f i x)$ = $a' i x$: $\text{Label } i$

subtree $i (f i x) b$ = $t' i x b$: $\text{Tree}^\infty i'$ with $i' := j i a' b$

where $a' i x : \text{Label } i$

and $(t' i x b)$ can be defined

- as an element of $\text{Tree}^\infty i'$ defined before
- or corecursively defined as $\text{subtree } i (f i x) b = f i' x'$
for some $x' : X i'$.

Here $f(i', x')$ will be called the **corecursion hypothesis**.

Schema for Coinduction

► Assume

$$\begin{aligned} J & : \text{Set} \\ \widehat{i} & : J \rightarrow \mathbf{I} \\ x_0, x_1 & : (j : J) \rightarrow \text{Tree}^\infty (\widehat{i} j) \end{aligned}$$

We can show $\forall j : J. x_0 j = x_1 j'$ coinductively by showing

- label $(\widehat{i} j) (x_0 j)$ and label $(\widehat{i} j) (x_1 j)$ are equal
- and for all b that
subtree $(\widehat{i} j) (x_0 j) b$ and subtree $(\widehat{i} j) (x_1 j) b$ are equal,
where we can use either the fact that
 - this was shown before,
 - or we can use the **coinduction-hypothesis**, which means using the fact
subtree $(\widehat{i} j) (x_0 j) b = x_0 j'$ and subtree $(\widehat{i} j) (x_1 j) b = x_1 j'$ for some $j' : J$.

$\text{coalg } \mathbb{N}^\infty : \text{Set}$ where
 $\text{shape} : \mathbb{N}^\infty \rightarrow (0 + S \mathbb{N}^\infty)$

- ▶ \mathbb{N}^∞ can be reduced to non-indexed PSTs:

$\text{coalg } \mathbb{N}^\infty : \text{Set}$ where
 $\text{label} \quad : \mathbb{N}^\infty \rightarrow \{0, S\}$
 $\text{subtree} \quad : (n : \mathbb{N}^\infty) \rightarrow \text{Deg}(\text{label } n) \rightarrow \mathbb{N}^\infty$
 where $\text{Deg } 0 = \emptyset$
 $\text{Deg } S = \{*\}$

- ▶ Define $+$ by primitive corecursion

$_ + _ : \mathbb{N}^\infty \rightarrow \mathbb{N}^\infty \rightarrow \mathbb{N}^\infty$
 $\text{shape } (n + m) = \text{case } (\text{shape } m) \text{ of}$

$$\left\{ \begin{array}{ll} 0 & \longrightarrow \text{shape } n \\ S \ m' & \longrightarrow S (n + m') \end{array} \right\}$$

CoEven, CoOdd

- ▶ We define simultaneously coinductively

$$\begin{aligned}\text{CoEven} &: \mathbb{N}^\infty \rightarrow \text{Set} \\ \text{CoEven } n &\rightarrow \text{CoEvenCond (shape } n)\end{aligned}$$

$$\begin{aligned}\text{CoOdd} &: \mathbb{N}^\infty \rightarrow \text{Set} \\ \text{CoOdd } n &\rightarrow \text{CoOddCond (shape } n)\end{aligned}$$

where

$$\begin{aligned}\text{CoEvenCond } 0 &\text{ is true} \\ \text{CoEvenCond (S } m) &= \text{CoOdd } m\end{aligned}$$

$$\begin{aligned}\text{CoOddCond } 0 &\text{ doesn't hold} \\ \text{CoOddCond (S } m) &= \text{CoEven } m\end{aligned}$$

CoEven, CoOdd as PSTs

- Define CoEven, CoOdd as one PST indexed over

$$I := \{\text{CoEven}, \text{CoOdd}\} \times \mathbb{N}^\infty \times \mathbb{N}^\infty$$

coalg CoEvenOdd : I \rightarrow Set where

$$\text{label} \quad : \quad (i : I) \rightarrow \text{CoEvenOdd } i \rightarrow \text{Label};$$

$$\begin{aligned} \text{subtree} \quad : \quad (i : I) \rightarrow (\rho : \text{CoEvenOdd } i) \rightarrow \text{Deg } i \text{ (label } i \rho) \\ \rightarrow \text{CoEvenOdd } (j \ i) \end{aligned}$$

where

$$\text{Label } c \ n \ m \quad = \quad \begin{cases} \emptyset & \text{if shape } m = 0 \text{ and } c = \text{CoOdd} \\ \{*\} & \text{otherwise} \end{cases}$$

$$\text{Deg } c \ n \ m \quad = \quad \begin{cases} \emptyset & \text{if shape } m = 0 \text{ and } c = \text{CoEven} \\ \{*\} & \text{otherwise} \end{cases}$$

$$j \ (\text{CoEven } n \ m) \quad = \quad \text{CoOdd } n \ (\text{pred } m)$$

$$j \ (\text{CoOdd } n \ m) \quad = \quad \text{CoEven } n \ (\text{pred } m)$$

Closure of CoEven under +

- ▶ We show simultaneously

$$\forall n, m : \mathbb{N}^\infty. \text{CoEven } n \rightarrow \text{CoEven } m \rightarrow \text{CoEven } (n + m)$$

$$\forall n, m : \mathbb{N}^\infty. \text{CoEven } n \rightarrow \text{CoOdd } m \rightarrow \text{CoOdd } (n + m)$$

by coinduction on CoEven, CoOdd

- ▶ Assume $n, m, (\text{CoEven } n), (\text{CoEven } m)$.
For showing $(\text{CoEven } (n + m))$ we have to show $\text{CoEvenCond } (\text{shape } (n + m))$.
 - ▶ If $\text{shape } m = \text{zero}$ then $\text{shape } (n + m) = \text{shape } n$ and by $(\text{CoEven } n)$ we have $(\text{CoEvenCond } (\text{shape } n))$.
 - ▶ If $\text{shape } m = S \ m'$ then $\text{shape } (n + m) = S \ (n + m')$,
 $\text{CoEvenCond } (\text{shape } (n + m)) = \text{CoOdd } (n + m')$ which follows by the **colH** and $\text{CoOdd}(m')$.
- ▶ The proof of the second condition follows similarly