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A coinductive approach to digital computation

Ulrich Berger  
Swansea

# Outline

- ▶ Introduction
- ▶ Induction and coinduction
- ▶ Digit spaces
- ▶ Metric digit spaces
- ▶ Program extraction
- ▶ Case studies
- ▶ Conclusion

# The aims of this talk

- ▶ to outline a constructive theory of digital computation;
- ▶ to show that program extraction from proofs is a practical method for obtaining certified programs for digital computation.

# Background

- ▶ Exact Real Arithmetic via infinite streams of Signed Digits and Linear Fractional Transformations
- ▶ Coalgebraic modelling of infinite data types
- ▶ Proof Theory: Program extraction from proofs via realisability
- ▶ Domain-theoretic modelling and termination proofs

## Example: computing with signed digits

$$\mathbb{I} := [-1, 1] \subseteq \mathbb{R}$$

$$\text{SD} := \{-1, 0, 1\}$$

$$x \in \mathbb{I}$$

$$a = (a_n)_{n \in \mathbb{N}} \in \text{SD}^\omega$$

$$x \sim a \quad :\Leftrightarrow \quad x = \sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)}$$

A function  $f : \mathbb{I} \rightarrow \mathbb{I}$  is *represented* by a function  $\hat{f} : \text{SD}^\omega \rightarrow \text{SD}^\omega$  if

$$\forall x, a \ (x \sim a \Rightarrow f(x) \sim \hat{f}(a))$$

## Power series as infinite composition

$$\sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)} = \frac{1}{2}(a_0 + \frac{1}{2}(a_1 + \dots))$$

$$\text{av}_d : \mathbb{I} \rightarrow \mathbb{I}, \quad \text{av}_d(x) := \frac{1}{2}(d + x) \quad (d \in \text{SD}).$$

$$\sum_{n=0}^{\infty} a_n \cdot 2^{-(n+1)} = \text{av}_{a_0}(\text{av}_{a_1}(\dots)) = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$$

Therefore,  $x \sim a \Leftrightarrow x = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$

$$\text{AV} := \{\text{av}_{-1}, \text{av}_0, \text{av}_1\} \subseteq \mathbb{I} \rightarrow \mathbb{I}.$$

$(\mathbb{I}, \text{AV})$  is an example of a *digit space*.

## Digit spaces

We study digit spaces  $(X, D)$ , where  $X$  is a set and  $D \subseteq X \rightarrow X$ , and characterise the functions  $f : X \rightarrow Y$  that have a continuous digital representation  $\hat{f} : D^\omega \rightarrow E^\omega$ .

The characterisation does not refer to infinite objects (like streams of digits), but uses a combined inductive/coinductive definition.

Program extraction yields implementations of  $\hat{f}$  by finitely branching non-wellfounded trees.

We also consider *metric digit spaces*  $(X, \sigma, P, D)$ , where  $\sigma$  is a metric on  $X$  and  $P \subseteq X$  is dense, and study the relation between digital representability and uniform continuity.

## Induction

$\Phi: \mathcal{P}(U) \rightarrow \mathcal{P}(U)$  is monotone if  $X \subseteq Y$  implies  $\Phi(X) \subseteq \Phi(Y)$ .

A set  $X \subseteq U$  is  $\Phi$ -closed if  $\Phi(X) \subseteq X$ .

$\mu\Phi$ , the set *inductively* defined by  $\Phi$ , is the least  $\Phi$ -closed set.

*Closure*      $\Phi(\mu\Phi) \subseteq \mu\Phi$

*Induction*    if  $\Phi(X) \subseteq X$ , then  $\mu\Phi \subseteq X$

## Coinduction

A set  $X \subseteq U$  is  $\Phi$ -coclosed if  $X \subseteq \Phi(X)$ .

$\nu\Phi$ , the set *coinductively* defined by  $\Phi$ , is the largest  $\Phi$ -coclosed set.

*Coclosure*      $\nu\Phi \subseteq \Phi(\nu\Phi)$

*Coinduction*    if  $X \subseteq \Phi(X)$ , then  $X \subseteq \nu\Phi$

## Digital maps (motivation)

Let  $x = \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots$  Consider  $h: \mathbb{I} \rightarrow \mathbb{I}$  (e.g. a polynomial)

*Writing a digit*

$$\begin{aligned} h(x) &= h \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots \\ &= \text{av}_b \circ ((\text{av}_b^{-1} \circ h) \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots) \quad \text{if } h[\mathbb{I}] \subseteq \text{av}_b[\mathbb{I}] \end{aligned}$$

*Reading a digit*

$$\begin{aligned} h(x) &= h \circ \text{av}_{a_0} \circ \text{av}_{a_1} \circ \dots \\ &= (h \circ \text{av}_{a_0}) \circ \text{av}_{a_1} \circ \dots \end{aligned}$$

After reading finitely many digits writing must be possible again.

## Digital maps (definition)

Let  $(X, D)$  and  $(Y, E)$  be digit spaces.

We define the set  $C_{D,E} \subseteq X \rightarrow Y$  of *digital maps* as follows.

Let  $F, G$  range over subsets of  $X \rightarrow Y$   
and let  $\nu F \dots$  stand for  $\nu \lambda F \dots$  e.t.c.

$C_{D,E} :=$

$$\nu F . \mu G . \{e \circ f \mid e \in E, f \in F\} \cup \{h : X \rightarrow Y \mid \forall d \in D h \circ d \in G\}$$

## Identity and composition

### Identity Lemma

Let  $(X, D)$  be a digit spaces.

- (a)  $\text{id}_X \in C_{D,D}$ .
- (b)  $D \subseteq C_{D,D}$ .

### Composition Lemma

Let  $(X_i, D_i)$  ( $i=1,2,3$ ) be digit spaces.

If  $f \in C_{D_1,D_2}$  and  $g \in C_{D_2,D_3}$ , then  $g \circ f \in C_{D_1,D_3}$ .

## The category of digit spaces

By the Identity Lemma and the Composition Lemma, digit spaces and digital maps form a category.

### **Product Lemma**

The category  $\mathcal{D}$  has finite products.

## Digital global elements

The set of global elements of a digit space  $(X, D)$  is

$$C_D := C_{\mathbf{1},(X,D)}$$

where  $\mathbf{1}$  denotes the terminal object  $(\mathbf{1}, \{\text{id}_{\mathbf{1}}\})$  in  $\mathcal{D}$ . We identify  $C_D$  with a subset of  $X$ .

### Global Element Lemma

$$C_D = \nu A. \{d(x) \mid d \in D, x \in A\} \stackrel{\text{roughly}}{=} \{d_0 \circ d_1 \circ \dots \mid (d_n)_{n \in \mathbb{N}} \in D^\omega\}$$

### Application Lemma

If  $f \in C_{D,E}$  and  $x \in C_D$ , then  $f(x) \in C_E$ .

**Proof:** Composition Lemma.

## Metric spaces

A *metric space*  $X = (X, \sigma, P)$  consists of

- ▶ a set  $X$ ,
- ▶ a metric  $\sigma$  on  $X$ ,
- ▶ a dense set  $P \subseteq X$  (of *concrete* elements).

For a rational number  $\epsilon > 0$  and  $p \in P$  we define

$$B_\epsilon(p) := \{x \in X \mid \sigma(p, x) \leq \epsilon\}$$

For convenience, we only work with metric spaces that are *bounded*, i.e.  $X \subseteq B_M(p)$  for some  $M > 0$  and  $p \in P$ .

## Uniform continuity

Let  $X = (X, P, \sigma)$  and  $Y = (Y, Q, \tau)$  be metric spaces.

In the following:  $p \in P$ ,  $q \in Q$ ,  $a, b \in \mathbb{R}^+$ ,  $\delta, \epsilon \in \mathbb{Q}^+$ ,  
 $\hat{a} := \{\delta \in \mathbb{Q}^+ \mid \delta \leq a\} = ]0, a]$ .

A *modulus* is a relation  $m \subseteq \mathbb{Q}^+ \times \mathbb{Q}^+$  such that

$$\forall b \exists a \ m[\hat{a}] \subseteq \hat{b}$$

A relation  $f \subseteq X \times Y$  is *m-continuous*, if

$$\forall \delta, p \exists \epsilon \in m(\delta) \exists q \ f[B_\delta(p)] \subseteq B_\epsilon(q)$$

A relation  $f$  is *uniformly continuous (u.c.)* if it is *m-continuous* for some modulus  $m$ .

## Properties of uniform continuity

### Lemma

A relation  $f \subseteq X \times Y$  is u.c. iff it is a partial function which is uniformly continuous on its domain,  $\text{dom}(f) := \{x \in X \mid \exists y \in Y (x, y) \in f\}$ , in the usual sense, i.e.

$$\forall \epsilon \exists \delta \forall x, x' \in \text{dom}(F)$$

$$\sigma(x, x') \leq \delta \Rightarrow \tau(f(x), f(x')) \leq \epsilon$$

### Composition Lemma

If  $g \subseteq Y \times Z$  and  $f \subseteq X \times Y$  are uniformly continuous, so is  $g \circ f \subseteq X \times Z$ .

## Lipschitz conditions and contractivity

For  $\lambda \geq 0$  we define

$$m_\lambda := \{(\delta, \epsilon) \mid \epsilon \leq \lambda\delta\}$$

Clearly,  $m_\lambda$  is a modulus and  $m_\lambda \circ m_\gamma = m_{\lambda\gamma}$ .

A relation  $f \subseteq X \times Y$  is called *Lipschitz* if it is  $m_\lambda$ -continuous for some  $\lambda \geq 0$ . If  $\lambda < 1$ , we call  $f$  *contracting*.

**Lemma** A relation  $f \subseteq X \times Y$  is  $\lambda$ -continuous iff it is a partial function and  $\tau(f(x), f(x')) \leq \lambda \cdot \sigma(x, x')$  for all  $x, x' \in \text{dom}(f)$ . Hence a function is Lipschitz iff it is Lipschitz in the usual sense.

## Metric digit spaces

A *metric digit space*  $X = (X, \sigma, P, D)$  is a metric space  $(X, \sigma, P)$  together with a set of digits  $D \subseteq X \rightarrow X$ .

$(X, \sigma, P, D)$  is called

- ▶ *contracting* if there is  $\lambda < 1$  such that all  $d \in D$  are contracting with factor  $\lambda$ .
- ▶ *invertible* if  $d^{-1}$  is u.c. for all  $d \in D$ .
- ▶ *covering* if there is an  $\epsilon_0 > 0$  such that, for all  $\epsilon > 0$  and  $p \in P$ , either there exists  $d \in D$  with  $B_\epsilon(p) \subseteq d[X]$ , or  $\epsilon > \epsilon_0$ .
- ▶ *finitely covering* if there is a finite subset of  $D$  which is uniformly covering.

Example:  $(\mathbb{I}, AV)$  has all these properties.

## Characterisation of uniform continuity

### Characterisation Lemma

Let  $X = (X, \sigma, P, D)$  and  $Y = (Y, \tau, Q, E)$  be metric digit spaces. Set  $U := \{f : X \rightarrow Y \mid f \text{ u.c.}\}$  and  $C := C_{D,E}$ .

- (a) If  $X$  is contracting, and  $Y$  is invertible and covering, then  $U \subseteq C$ .
- (b) Assume  $D$  is finite. If  $X$  is invertible and finitely covering, and  $Y$  is contracting, then  $C \subseteq U$ .

### Corollary (change of digits)

Let  $(X, \sigma, P)$  be a metric space and let  $D, E \subseteq X \rightarrow X$ . If  $D$  is contracting, and  $E$  is invertible and covering, then  $C_D \subseteq C_E$ .

### Proof

The identity function on  $X$  is u.c. and hence in  $C_{D,E}$ , by (a).

## The type of a formula

To every formula  $A$  we assign the type  $\tau(A)$  of its *realisers*, i.e. the type a program extracted from a proof of  $A$  will have:

- ▶  $\tau(A)$  is the unit type if  $A$  contains neither  $\vee$  nor predicate variables ( $A$  may contain predicate constants like “=”, “ $\leq$ ” and “ $\in \mathbb{R}$ ”).
- ▶ The propositional connectives  $\wedge$ ,  $\vee$ ,  $\Rightarrow$  are translated into the type constructors  $\times$ ,  $+$ ,  $\rightarrow$ .
- ▶ Quantifiers and terms are ignored.
- ▶ Predicate variables are translated into type variables.
- ▶ Inductive and coinductive definitions are translated into initial algebras and terminal coalgebras, respectively.

Example:  $\tau$ (“ $f$  is uniformly continuous”)

Recall that  $f : \mathbb{I} \rightarrow \mathbb{I}$  is uniformly continuous if there is some modulus  $m$  such that

$$\forall \delta, p \exists \epsilon \in m(\delta) \exists q \ f[B_\delta(p)] \subseteq B_\epsilon(q)$$

Since  $\delta, \epsilon, p, q \in \mathbb{Q}$  and  $\tau(\epsilon \in \mathbb{Q}) = \mathbb{Q}$ , and furthermore  $\tau(\epsilon \in m(\delta))$  is the unit type, we have

$$\tau(f \text{ u.c.}) = \mathbb{Q} \times \mathbb{Q} \rightarrow \mathbb{Q} \times \mathbb{Q}$$

Example:  $\tau(C_{AV})$ 

Recall the definition of  $C_{AV} \subseteq \mathbb{I}$ :

$$\begin{aligned} C_{AV} &= \nu A. \{d(x) \in \mathbb{I} \mid d \in AV, x \in A\} \\ &= \nu A. \{y \in \mathbb{R} \mid -1 \leq y \leq 1 \wedge \\ &\quad \exists d, x (d \in AV \wedge x \in A \wedge y = \text{av}_a(x))\} \end{aligned}$$

where

$$AV = \{\text{av}_a \mid a \in SD\} = \{d : \mathbb{R} \rightarrow \mathbb{R} \mid \exists a \in SD \, d = \text{av}_a\}$$

$$SD = \{-1, 0, 1\} = \{a \mid a = -1 \vee a = 0 \vee a = 1\}:$$

Therefore

$$\begin{aligned} \tau(C_{AV}) &= \nu \alpha. SD \times \alpha \\ &= SD^\omega \end{aligned}$$

Example:  $\tau(C_{AV,AV})$ 

Recall the definition of  $C_{AV,AV} \subseteq \mathbb{I} \rightarrow \mathbb{I}$ :

$$\begin{aligned}
 C_{AV,AV} &= \nu F . \mu G . \\
 &\quad \{e \circ f : \mathbb{I} \rightarrow \mathbb{I} \mid e \in AV, f \in F\} \cup \\
 &\quad \{h : \mathbb{I} \rightarrow \mathbb{I} \mid \forall d \in AV \ h \circ av_d \in G\} \\
 &= \nu F . \mu G . \\
 &\quad \{h : \mathbb{R} \rightarrow \mathbb{R} \mid h[\mathbb{I}] \subseteq \mathbb{I} \wedge \\
 &\quad \quad (\exists e, f (e \in AV \wedge f \in F \wedge h = e \circ f) \vee \\
 &\quad \quad (h \circ d_{-1} \in G \wedge h \circ d_0 \in G \wedge h \circ d_1 \in G))\}
 \end{aligned}$$

Therefore

$$\tau(C_{AV,AV}) = \nu \alpha . \mu \beta . SD \times \alpha + \beta^3$$

See also [Hancock, Pattinson, Ghani]

# Understanding $\tau(C_{AV,AV}) = \nu\alpha . \mu\beta . SD \times \alpha + \beta^3$

Define  $T$  as the largest solution of the domain equation

$$T = SD \times T + T^3$$

i.e. the elements of  $T$  are non-wellfounded trees with two kinds of nodes:

- ▶ **Writing nodes:**  $W(d, t)$  where  $d \in SD$  and  $t \in T$ .
- ▶ **Reading nodes:**  $R(t_{-1}, t_0, t_1)$  where  $t_i \in T$ .

$\tau(C_{AV,AV})$  is the set of those trees in  $T$  that have on every infinite path infinitely many writing nodes.

## Realising inductive definitions

Assume the set operator  $\Phi$  corresponds to the type operator  $\varphi$ .

Then, the inductively defined set  $\mu\Phi$  together with the axioms

$$\text{Closure} \quad \Phi(\mu\Phi) \subseteq \mu\Phi$$

$$\text{Induction} \quad \text{if } \Phi(X) \subseteq X, \text{ then } \mu\Phi \subseteq X$$

are realised by the initial algebra  $(\mu\varphi, \text{In}_\varphi)$

and the family  $\text{It}_\varphi$  of universal arrows, i.e.

$$\text{In}_\varphi \quad : \quad \varphi(\mu\varphi) \rightarrow \mu\varphi$$

$$\text{It}_\varphi[s] \quad : \quad \mu\varphi \rightarrow \alpha \quad (s : \varphi(\alpha) \rightarrow \alpha)$$

with the defining recursion equation expressing that  $\text{It}_\varphi[s]$  is an algebra morphism

$$\text{It}_\varphi[s] \circ \text{In}_\varphi = s \circ \mathbf{map}_\varphi(\text{It}_\varphi[s])$$

Hence, the function  $\text{It}_\varphi[s]$  is defined by *structural recursion* with step function  $s$ .

## Realising coinductive definitions

For coinductive definitions the situation is dual.

The coinductively defined set  $\nu\Phi$  and its axioms

$$\text{Coclosure} \quad \nu\Phi \subseteq \Phi(\nu\Phi)$$

$$\text{Coinduction} \quad \text{if } X \subseteq \Phi(X), \text{ then } X \subseteq \nu\Phi$$

are realised by the terminal coalgebra  $(\nu\varphi, \text{Out}_\varphi)$  and the family  $\text{Coit}_\varphi[s]$  of universal arrows

$$\text{Out}_\varphi : \nu\varphi \rightarrow \varphi(\nu\varphi)$$

$$\text{Coit}_\varphi[s] : \alpha \rightarrow \nu\varphi \quad (s : \alpha \rightarrow \varphi(\alpha))$$

with the equation expressing that  $\text{Coit}_\varphi[s]$  is a coalgebra morphism

$$\text{Out}_\varphi \circ \text{Coit}_\varphi[s] = \mathbf{map}_\varphi(\text{Coit}_\varphi[s]) \circ s$$

Hence  $\text{Coit}_\varphi[s]$  is the function defined by *guarded recursion* with “state transition” function  $s$ .

## Iterated maps

The family of logistic maps (transformed from  $[0, 1]$  to  $\mathbb{I} = [-1, 1]$ ):

$$f_a : \mathbb{I} \rightarrow \mathbb{I}, \quad f(x) = a * (1 - x^2) - 1 \quad (0 \leq a \leq 2).$$

$f_a$  is  $2a$ -Lipschitz, hence uniformly continuous (Lipschitz Lemma), hence in  $\mathbb{C} := \mathbb{C}_{AV,AV} \subseteq \mathbb{I} \rightarrow \mathbb{I}$  (Characterisation Lemma (a)).

Hence the iterated maps  $f_a^n$  are in  $\mathbb{C}$  (Composition Lemma) and therefore define signed digit stream transformers (Application Lemma).

The main point of this example is to demonstrate the **memoizing effect** of the tree representation of u.c. functions (see also Hinze, Altenkirch).



$f_2(x) = 2 * (1 - x^2) - 1$ . Computing  $f_2^n(1/3)$

n = 10

Exact SD: PPZZZZZZNZPZPNZPNZZNPPNPZNPZNPZNPZZPZZNZZZZZZNPZZZ  
PZZZZZZP

... as Float: 0.7493017528354341

Float: 0.7493017528354383

E. R. as Float: 0.7493017528354341

Exact Rat: 2797831667561095955203291549538860747228990633859021  
77740551732553042997330746919549126577046636631596857447826125531004  
55275469619973310064456547818090396304560929400688366970738212885462  
64844417999225202069134402085116597175924067307663489082904387928038  
65580294776553932679091174750985548564347963457895727062471618250343  
99787779443351588523431266046450103423936416024727327712311056145767  
80329653408043140886531065840850302727959404399911873974992591272020  
98801908525573383524124995583%37339184874102004353295975418486658822  
54097767837340077506369317220790406172652512299936889388039772204687  
65065431475158108727054592160858581351336982809187314191748594262580  
93880701995195640428557181804104668128879740292551766801234061729839  
65747316191523867230462351259348960585905882846547935405059362023765  
47807442730582144527058988756251452817793413352141920744623027518729  
18543286237573706398548531947641692626381997288700690701389925652429

$f_2(x) = 2 * (1 - x^2) - 1$ . Computing  $f_2^n(1/3)$

n = 30

Exact SD: PZZZZZPNPZPNPPNPNPZZZZZNZPNZZZZZZPNPZPNPNPNPZZNPZNZP  
ZNZPZZZN

... as Float: 0.5062674954994535

Float: 0.5062674897488822

n = 60

Exact SD: NNNZPNPZZNZPNZPNZPNZZPZZNZZNPZZPNPZPNZNZPZZNZNZZNZZP  
NZNZZZZN

... as Float: -0.8526437597407311

Float: -0.9469915748606237

n = 600

Exact SD: NNZZZNPZZNZZZZZZPNZPNZPNPPNPNPZZPNPZZPNZZZNZPNPZPNZ  
ZZZNPZNZ

... as Float: -0.7587994543102519

Float: 0.33218504745590244

$\pi$ 

For the metric digit space  $(\mathbb{I}, AV)$  we have  $\pi/4 \in C_D$ .

**Proof** We use the formula

$$\frac{\pi}{4} = \frac{1}{2} + \frac{1}{3} \left( \frac{1}{2} + \frac{2}{5} \left( \frac{1}{2} + \frac{3}{7} \left( \frac{1}{2} + \frac{4}{9} \left( \frac{1}{2} + \dots \right) \right) \right) \right) \right)$$

i.e.  $\pi/4 = f_0(f_1(\dots))$  where

$$f_n(x) := \frac{1}{2} + \frac{nx}{2n+1}.$$

Hence we have  $\pi/4 \in C_F$  where  $F := \{f_n \mid n \in \mathbb{N}\}$ . Since  $F$  is contracting and  $AV$  is invertible and covering, it follows, by the Change of Digits L.,  $\pi/4 \in C_D$ .

## Integration

For a continuous function  $f : \mathbb{I} \rightarrow \mathbb{R}$  we set

$$\int f := \int_{-1}^1 f = \int_{-1}^1 f(t) dt \in \mathbb{R}.$$

### Lemma

$$(a) \int(\text{av}_i \circ f) = \text{av}_{2 \cdot i}(\int f)$$

$$(b) \int f = \frac{1}{2}(\int(f \circ \text{av}_{-1}) + \int(f \circ \text{av}_1)).$$

(see also Simpson, Scriven)

### Integration Lemma

Let  $(X, \sigma, P, D)$  be a covering and invertible metric digit system and  $f \in C_{D \otimes AV, AV}$ . Then the function mapping  $(a, b, x) \in \mathbb{I}^2 \times X$  to  $\int_a^b f(x, t) dt$  is well-defined and uniformly continuous.

# Conclusion

- ▶ “Proofs as programs” deserves a “last chance”.
- ▶ New (correct!) programs extracted that would have been difficult to “guess”.
- ▶ Using a fine tuning of realisability it is possible to do abstract mathematics as usual, and still get computational content.

## Further work

- ▶ Clarify connections with related work by Edalat, Heckmann, Potts, Escardo, Simpson, Bauer, Taylor, Hutchinson, Scriven, Hancock, Pattinson, Buchholz, Bertot, Niqui, O'Connor, Spitters, . . . .
- ▶ Implement and automate (joint work with the Munich logic group (Minlog) and Anton Setzer (Agda)).
- ▶ Overcome limitations: no finite system of contracting and uniformly covering digits exists on the compact metric space of non-empty compact sets with the Hausdorff metric (joint work with Dieter Spreen).
- ▶ Power series via higher type digits.
- ▶ “Realiser sensitive” logic:  
 $\forall x (A(x) \rightarrow B(x) \rightarrow C(x))$  vs.  
 $\forall x (A(x) \rightarrow \neg B(x) \vee C(x))$  for decidable  $B(x)$ .

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